

OVERVIEW AND SCRUTINY MANAGEMENT BOARD

- Date and Time :-** Wednesday, 15 July 2020 at 11.00 a.m.
- Venue:-** Rotherham Town Hall, Moorgate Street, Rotherham.
- Membership:-** Councillors Cusworth, R. Elliott, Jarvis, Jepson, Keenan, Mallinder, Napper, Steele (Chair), Taylor, Tweed, Walsh and Wyatt.

This meeting will be webcast live and will be available to view [via the Council's website](#). The items which will be discussed are described on the agenda below and there are reports attached which give more details.

Rotherham Council advocates openness and transparency as part of its democratic processes. Anyone wishing to record (film or audio) the public parts of the meeting should inform the Chair or Governance Advisor of their intentions prior to the meeting.

AGENDA

1. Apologies for Absence

To receive the apologies of any Member who is unable to attend the meeting.

2. Minutes of the previous meetings held on 28 April and 10 June 2020 (Pages 1 - 21)

To consider the minutes of the previous meetings of the Overview and Scrutiny Management Board held on 28 April and 10 June 2020 and approve them as a true and correct record of the proceedings.

3. Declarations of Interest

To receive declarations of interest from Members in respect of items listed on the agenda.

4. Questions from Members of the Public and the Press

To receive questions relating to items of business on the agenda from members of the public or press who are present at the meeting.

5. Exclusion of the Press and Public

To consider whether the press and public should be excluded from the meeting during consideration of any part of the agenda.

Items for Pre-Decision Scrutiny

In accordance with the outcome of the Governance Review in 2016, the following items are submitted for pre-scrutiny ahead of the Cabinet meeting on 20 July 2020. Members of the Overview and Scrutiny Management Board are invited to comment and make recommendations on the proposals contained within the report.

6. Financial Outturn 2019-20 (Pages 22 - 57)

Cabinet Portfolio: Corporate Services and Finance
Strategic Directorate: Finance and Customer Services

7. Community Energy Switching Scheme (Pages 58 - 68)

Cabinet Portfolio: Jobs and the Local Economy
Strategic Directorate: Regeneration and Environment

8. Consultation on Public Spaces Protection Orders - Town Centre and Borough Wide Dog Fouling (Pages 69 - 94)

Cabinet Portfolio: Waste, Roads and Community Safety
Strategic Directorate: Regeneration and Environment

For Discussion/Decision:-

9. Overview and Scrutiny Annual Report 2019-20 (Pages 95 - 131)

To consider the Overview and Scrutiny Annual Report covering the 2019-20 municipal year and recommend it to Council.

10. Update on Work Programme for 2020-21 (Pages 132 - 141)

To consider an update on the work programme for the 2020-21 municipal year.

11. Urgent Business

To determine any item which the Chair is of the opinion should be considered as a matter of urgency.

12. Date and time of next meeting

The next meeting of the Overview and Scrutiny Management Board will be held via Microsoft Teams on Wednesday 29 July 2020 at 11.00 a.m.



SHARON KEMP,
Chief Executive.

OVERVIEW AND SCRUTINY MANAGEMENT BOARD
Tuesday 28 April 2020

Present:- Councillor Steele (in the Chair); Councillors Cusworth, R. Elliott, Jarvis, Keenan, Mallinder, Taylor, Tweed, Walsh and Wyatt.

Apologies for absence:- Apologies were received from Councillors Jepson and Napper.

The webcast of the Council Meeting can be viewed at:-
<https://rotherham.public-i.tv/core/portal/home>

170. DECLARATIONS OF INTEREST

There were no declarations of interest in respect of any of the items of business on the agenda.

171. EXCLUSION OF THE PRESS AND PUBLIC

The Chair advised that there were no items of business that would require the exclusion of the press or public from the meeting.

172. UPDATE ON THE COUNCIL RESPONSE TO THE COVID-19 EMERGENCY

The Leader commenced by saying that everyone's thoughts and prayers were with those affected by the pandemic. He also praised the excellent work from key workers across services.

A brief overview of the current situation in Rotherham was outlined:

- support for vulnerable people with a shielded list of 8,600+ people
- 1,500 in receipt of support/supplies via Government
- more than 600 positive tests for Covid-19 but with a limited testing regime there would be more
- 89 deaths in Rotherham Hospital and over 50 outside hospital
- the hospital was coping with the demand of those with acute symptoms and less acute
- over 1,200 volunteers for the Community Hub/Rotherham Heroes to help the wider community who needed help i.e. people not in the shielded group
- Disclosure and Barring Service checks undertaken and 364 volunteers were active
- 348 requests the previous week (w/c 20 April)
- Leaflets would be going out to all households where people were not known to services
- RMBC staff absence was back down to 10% as some had returned from self-isolation; at one point over a third of waste operatives had been absent

The Council was working at the national, regional and local level to respond to the COVID-19 emergency and to ensure that critical services were delivered and the most vulnerable residents supported. The Chief Executive emphasised that the Local Authority (LA) had responded to Government guidance as it emerged.

The Local Resilience Forum (LRF) coordinated the response across South Yorkshire and a critical incident had been declared. Council staff were working with the LRF in six themed cells, including one established to focus on recovery. The majority of critical services continued to be delivered and the level of overall capacity was positive. Many employees had been moved into other roles, such as the Rotherham Community Hub, with some now back working in wards.

The Chief Executive proceeded to talk through the briefing paper at length, which covered the following areas:

- Governance, management and control arrangements
- Rotherham Community Hub (RCH)
- Neighbourhood working
- Communication and engagement
- Availability and accessibility of Personal Protective Equipment (PPE)
- Managing the deceased
- Impact on services - adult care, children's, waste, domestic abuse and housing
- Access to data on cases of COVID-19 and deaths
- Supporting the workforce

The COVID-19 workstreams would continue to be delivered and reported to GOLD group and Tactical group daily. Any further changes needed in response to the crisis would continue to be reported and mitigating action taken where necessary.

A number of issues were raised and discussed by Members.

1. **Leaflets to households** – These would be delivered by the Royal Mail and contain general information rather than more specific local information. Elected Members would have small quantities of other leaflets and work with the Neighbourhood Coordinators regarding delivery. The general leaflets were only available in English as it would be a challenge to produce them quickly in other languages. Some local leaflets would be in community languages where appropriate. Accessibility and cost effectiveness were key.
2. **PPE** – It was a common access point for both health and social care, with clinical testing first, but if Members had a contact for a supplier, they could send details in and stipulate that it was to be for Adult Care use.

3. **Establishing Rotherham Community Hub** – This had been set up by reassigning staff from the Assistant Chief Executive's Directorate, with the Neighbourhoods team engaging with the community to bring in volunteers. Customer contact call handling was set up. Although there had been issues with logistics and some food banks having closed or via FareShare, overall it had gone very smoothly.
4. **Rotherham Heroes** – As this had taken a while to set up initially Members asked whether the initiative would be retained for longer beyond Covid-19, for example with a mailing list of volunteers, for any future emergencies. Potentially it could be although it was likely to be needed for several months still as some people could be in prolonged self-isolation and social distancing measures were likely to endure until the end of the year. In time it would fold back naturally into the general voluntary and community sector work through Voluntary Action Rotherham (VAR).
5. **NHS Responder Service** and if this would be used to release staff for other duties – There could be supplementary volunteers but not replacing key co-ordination. The volunteer capacity in Heroes met the level of demand. The Government had initially called for LA-led schemes then launched the NHS Responders. It was a question of how to join up all volunteer efforts, balanced against staff in their day jobs.
6. **Traffic management and Household Waste Recycling Centres (HWRC)** - Members wondered whether an appointment system might be required to manage demand. This was one possibility and the service was considering options, as demand was greater than supply and two centres had been closed by the police. Officers were working on re-opening the joint Barnsley, Doncaster and Rotherham service which needed to be done safely for the public. More information would follow.
7. **LRF** – As a multi-agency forum this would have considered various scenarios and although a debrief would follow all partners would have their own way of doing things so Members inquired about any issues that might have arisen, including in relation to data sharing. RMBC's own staff had been involved in the LRF and robust local arrangements were in place for data sharing so there were no concerns in that regard. Good, positive collaboration was reported across all organisations, although there were always lessons learned.
8. **Communications and debriefs** for the community and staff and keeping in touch with the volunteer base. There had been proactive communications and a steady click rate i.e. numbers of people reading the briefings. Feedback had been received about

what was helpful, including from staff and any ideas from Scrutiny would be welcomed.

9. **Support for wellbeing of staff/volunteers in the RCH –**
Members were reassured that debriefs took place at the end of the shift where issues could be raised. Occupational Health support and access to therapies were available and if necessary could be escalated to the NHS.
10. **Data collation and mapping** in relation to where help was needed and for whom, to inform the framework for emergency planning and target resources. Intelligence was collated across organisations, especially in relation to vulnerability, to use for the RCH and for areas or communities where there might be a need to be more active to direct resources in the right way. The intention was to draw upon the skills and expertise of the volunteers in their own localities in the next phase.
11. **Work with staff at Citizens Advice Bureau (CAB) –** The triage team and contact centre would access CAB and the third most common action was signposting people to other services. Information was also collected on signposting activity.
12. **Support for care homes and accurate local statistics on cases**
– This was recognised as a concern and mortality was monitored. It was more straight forward with data from the hospital but regular dialogue took place with care homes who were private businesses working with the Council. The Registrars Service provided a more detailed picture but there was a time lag on the data for deaths. The situation varied between care homes and decisions would be made regarding the Government duty for financial support to care homes to ensure their effective functioning. Care homes were also helped with PPE when requested.

In terms of more specific data from care homes being available to Members, confidentiality as well as the time lag were factors, but an overview could probably be provided.

In response to a question about cases of COVID-19 in Black, Asian and Minority Ethnic communities, the data available lacked that degree of granularity. Wider testing was only just starting to be rolled out but the issue of granularity would be raised with Public Health England as it would help with understanding and responding to the disease.
13. **Food referrals** – Guarantees were sought that referrals from Members would be accepted as they were not always informed and hiccoughs regarding centralisation of food supplies had been reported. This was another case of learning by experience with mechanisms for Members as for staff with the same information

requested. This should lead to food parcels going to where they were needed, which was essential with a limited stock. Members were asked to raise any examples of failures.

14. **Safeguarding children outside the Borough** – Assurances were given that regular contact took place with Looked After Children (LAC) wherever they were placed; not always through visits but also by phone, technology or virtually. Risk assessment tools were used to decide if a visit was needed and if it could be undertaken safely. Creative activity was still continuing and the commissioning team were working with providers and reviewing contracts. Attention was also drawn to the work by schools to ensure children were safe and well.
15. **Corporate Parenting Panels** – Members inquired if these would be expected to resume in light of concerns regarding relaxation of the legislation on safeguarding regarding foster panels, timescales and foster carers having a close connection. There was no reason why Corporate Parenting Panels could not be held virtually. The policy change had been unexpected and there were concerns about why that had been done.
16. **Encouragement for vulnerable children to go to school** – Looked After Children, children known to services or with other vulnerabilities and the children of key workers were encouraged to have a place as per revised Department for Education guidance. Services were reaching out if it would be in the best interest of the child to be in school and numbers going to school had increased since Easter. As most LAC were in family based settings a degree of caution was required regarding them being especially vulnerable and therefore needing to be in school. The majority of children in school were children of key workers.
17. **Voucher System** – Members reported difficulties in accessing the Edenred website, codes being a week in arrears and then delays in obtaining the vouchers. It was agreed that this would be followed up with the Interim Director of Children and young People's Services (CYPS) and with the Regional Schools Commissioner.
18. **Support for families and children** – Members compared activity in Rotherham, where Children's Centres had closed immediately, with a neighbouring LA family hub that had remained open with an appointment system and continued to run its food bank. Members asked about arrangements to keep in touch with those who used the centres and for those who used routinely used food banks. It was confirmed that most Children Centre activities were group-based and held at the centres but Early Help had direct contact with families on-line, virtually or by telephone. Names of people on the food banks registration list should have been transferred through so this would be doublechecked.

19. **Support for young carers** – Both CYPS and Adult Care were continuing to monitor and support carers if connected to services through virtual means. Members praised the speedy response from services where extra formal carer demands were needed in cases of informal carers becoming ill or self-isolating.
20. **Cases of Kawasaki Disease** – This had not emerged as an issue locally but the question would be asked at GOLD group.
21. **Resumption of street cleaning, grass cutting and weeding** - Grass cutting was still taking place in cases of health and safety concerns such as an obscured view. As staff capacity increased this would be considered, together with ensuring safe social distancing for staff.
22. **Service operational updates** – A suggestion was made about informing Members on which services were fully/partially operational and providing contact numbers, plus using Parish Council websites to prevent any misinformation. It was confirmed that only green waste and HWRC had been stood down. Other Streetpride services were continuing and without significant reductions despite fluctuations in capacity. The contact centre could be contacted if any hotspots were identified. It was agreed to check if residents were being kept informed about highway resurfacing work.
23. **Budget overspend** – It was very early to assess the full impact. Circa £8m national money had come in, with further money announced for Councils but no details regarding allocation. Potentially there would be a multi-million overspend if the crisis were to continue to the end of the financial year, possibly up to £50m. Weekly returns were made through the Ministry of Housing, Communities and Local Government. Much would depend on service demands, Council Tax returns, and support for Adult Care providers. Lost service income would be a big hit. Undoubtedly the impact would be significant, and the returns were important so that the Government was fully aware. The initial funding tranche had not come close to covering the impact.

The Chair asked whether Scrutiny could be provided with more detailed data on the budget in a future meeting. When the year-end accounts had been closed down, and the business support grants had gone out, potentially in about four weeks a discussion could take place on long-term impact. Finance staff were commended on their work to administer the grants which had been rolled out well and speedily.

The Leader sounded a cautionary note regarding financial projections due the number of variables and how long the

emergency situation would last. For Rotherham it was more difficult to project as other LAs operated a more commercialised model. For example, if traded services were via a trust it would be easier to determine the financial impact.

Business Rates and Council Tax would be dependent on what businesses re-opened post lockdown. Additionally, a concern was that the Council might not be refunded by the Government for savings due to be delivered in year, £13m in Adult Care and CYPS, with reassessments unable to be carried out in the present situation, for example, as part of the learning disability transformation.

24. Housing – Members explored whether lost rental income and higher numbers of property voids due to people not moving house would become a concern. This was acknowledged but more due to the overall economic impact of the pandemic i.e. unemployment, people being furloughed or working fewer hours, and would be one to continue to monitor due to the time lag on data.

25. Rough Sleepers – All rough sleepers now had accommodation and were in direct contact. Guidance was awaited post-pandemic to determine strategy to move out of lockdown and determine what people would need. Some people were housed in temporary accommodation so it was an opportunity to develop relationships and the right support.

The Chair asked a question that had been submitted in advance of the meeting by a member of the public who had inquired about potential refunds for people who had signed up for the garden waste collection as the collections had been suspended.

It was hoped to resume garden waste collections from early May and subscribers would be contacted regarding proposals for a refund or continuation of the service over a longer period. In terms of clearing the backlog, capacity was a consideration and re-opening the HWRC when possible formed part of the thinking.

The Chair thanked the Leader and Chief Executive for the briefing and responses to Member questions.

As this was such an important issue the response to the pandemic and its impact would feature greatly in the coming Scrutiny work programme. Concerns were highlighted regarding PPE, impact on the budget and implications for ongoing services. Further discussion would take place with Members and officers regarding the work programme but it was important for Scrutiny to continue to monitor and scrutinise developments. The level of support given by officers and services to the public was acknowledged and it was important that the degree of scrutiny did not impact negatively on this work.

Resolved:

- 1) To note the management and control arrangements in place.
- 2) To note the COVID-19 workstreams and the action being taken.
- 3) To provide feedback in relation to the workstreams and current actions.
- 4) To continue to monitor and scrutinise the response to and recovery from COVID-19 as a key element of the Scrutiny work programme in 2020-21.

OVERVIEW AND SCRUTINY MANAGEMENT BOARD
Wednesday, 10th June, 2020

Present:- Councillor Steele (in the Chair); Councillors Cusworth, R. Elliott, Jarvis, Jepson, Keenan, Mallinder, Napper, Taylor, Walsh and Wyatt.

Apologies for absence:- Apologies were received from Councillor Tweed.

The webcast of the Council Meeting can be viewed at:-

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176. MINUTES OF THE PREVIOUS MEETINGS HELD ON 4, 12 AND 18 MARCH AND 7 MAY 2020

Resolved: -

That the minutes of the meetings of the Overview and Scrutiny Management Board held on 4, 12 and 18 March and 7 May 2020 be approved as a true and correct record of the proceedings.

177. DECLARATIONS OF INTEREST

Councillor Wyatt declared a personal interest in agenda item 6, Rotherham All Age Autism Strategy and Implementation Plan 2020 -2023, as he had a family member who accessed these services.

178. QUESTIONS FROM MEMBERS OF THE PUBLIC AND THE PRESS

There were no questions.

179. EXCLUSION OF THE PRESS AND PUBLIC

180. AUTISM STRATEGY

Consideration was given to a report that was submitted for pre-decision scrutiny ahead of the Cabinet meeting scheduled for 15 June 2020 in respect the proposed Rotherham All Age Autism Strategy and Implementation Plan 2020 -2023.

The Cabinet Member for Adult Social Care and Health, the Joint Assistant Director Commissioning, Performance and Inclusion, the Assistant Director - Strategic Commissioning and the Service Manager - Commissioning attended the meeting to present the report and to answer members questions.

The Cabinet Member noted that the proposed strategy had been developed with reference to the vision expressed in the Council Plan and in the Rotherham's Integrated Health and Social Care Place Plan, and

that the proposed strategy would also support and progress the outcomes as detailed in the Integrated Health and Social Care Place Plan and in the Councils Housing Strategy.

It was noted that the proposed strategy had been developed with reference to and shared the 'whole life approach' with the Rotherham Health and Wellbeing Strategy and had been the subject of wide and varied consultation, including consultation with service users. The proposed strategy identified key activity, focused on five areas. There were:

- Starting Well: All Rotherham's autistic children and young people are healthy and safe from harm
- Developing Well: All Rotherham's autistic children and young people start school ready to learn for life
- Moving on well to independence: Rotherham's autistic children and young people are provided with the same opportunities to thrive going into adulthood.
- Living well: Autistic adults living in Rotherham will get the right support when needed
- Ageing well: Autistic adults living in Rotherham will be better supported as they grow old.

The Cabinet Member for Adult Social Care and Health noted that the proposed All Age Autism Strategy and Implementation Plan would provide an overarching framework for the Council, NHS Rotherham Clinical Commissioning Group other delivery partners and would clearly identify priority areas based on both national requirements and on local findings. It was noted that the Strategy would build on work being carried out in Rotherham and South Yorkshire by individuals, groups and communities, as well as the Council and partners to improve the lives of people with autism and would make Rotherham an Autism friendly Borough.

The Cabinet Member advised that the strategy showed a commitment to personalised approach to the delivery of services and would encourage people to recognise their strengths in a way that would support choice and control over their lives.

It is proposed that both the Strategy and the accompanying Implementation Plan would be delivered over a period of three years, from 2020 to 2023.

The officer's report also contained information on the consultation processes that had been conducted as part of the development of the strategy as well as information on the proposed timelines for the strategy's implementation. The full draft of the All Age Autism Strategy and

Implementation Plan 2020 -2023 were attached as an appendix to the report.

The Chair of the Health Select Commission noted with approval that the comments made by the Health Select Commission during the development of the strategy had been included in the final document. Members asked whether any updates had been received by the Council on the deployment of a new tool for the diagnosis of autism as it had been recognised that the current diagnostic tool had an inbuilt gender imbalance that had the potential to lead to less diagnoses being made in females. The Service Manager advised that there had been no further developments on this matter.

Members asked whether the Covid-19 pandemic would impact negatively on the development of specialist housing provision for people with autism. The Assistant Director advised that the pandemic would have some impact but assured members that interest and commitment from developers in providing this type of housing remained strong. Members noted their approval for the prominent definition of autism in the strategy as it was a condition that was frequently misunderstood by many people.

Members asked for further information on how the strategy would be integrated into education provision and delivered by schools. The Joint Assistant Director advised that a detailed piece of work on the development of a neurological pathway was being carried out that and that this would enable structured support to be provided to people displaying signs of autism whether or not they had been diagnosed, and that much of this support would be delivered via a toolkit by schools.

Members sought assurance that the carer's assessments that were part of the implementation of the strategy would be carried out in timely manner. The Assistant Director provided assurance on this issue and provided details of how the process for carrying out carer's assessments.

The Chair thanked the Cabinet Member for Adult Social Care and Health, the Joint Assistant Director Commissioning, Performance and Inclusion, the Assistant Director - Strategic Commissioning and the Service Manager - Commissioning for attending the meeting and answering members questions.

Resolved: -

- 1) That Cabinet be advised that the recommendations be supported.
- 2) That a report on the implementation of the Rotherham All Age Autism Strategy and Implementation Plan 2020 -2023 be submitted to the Health Select Commission in due course.

181. SUPPORT SERVICES FOR ADULT SURVIVORS OF CHILD SEXUAL EXPLOITATION: COMMISSIONING AND PROCUREMENT APPROACH

Consideration was given to a report that was submitted for pre-decision scrutiny ahead of the Cabinet meeting scheduled for 15 June 2020 in respect a report on Support Services for Adult Survivors of Child Sexual Exploitation: Commissioning and Procurement Approach.

The Deputy Leader and Cabinet Member for Children's Services and Neighbourhood Working and the Joint Assistant Director Commissioning, Performance and Inclusion attended the meeting to present the report and to answer members questions.

It was noted that the current contracts with providers to support adults who are survivors of child sexual exploitation would be coming to an end in December 2020 and that it was proposed that support services should be recommissioned for at least three more years in order to provide ongoing support to Rotherham residents who were vulnerable due to their historic experiences. It was noted that existing contracts that had been awarded in 2016 for the provision of services with the current providers of support services being Rotherham Rise, GROW and Rotherham Abuse Counselling Service (Rothacs) had been extended until 31 December 2020, so as to enable the procurement of new services in a way that did not leave any gaps in service provision.

The Joint Assistant Director advised that since 2016 there had been many changes to how the impact of historic child sexual exploitation was responded to in the Borough, most notably by the establishment of the NHS Stovewood Trauma and Resilience Service (TRS) in 2018. It was noted that since its establishment the TRS had established its role as a neutral hub that was available for consultation and support, and that the providers of CSE support commissioned by the Council were now fully aligned with the TRS and were benefiting from the level of cohesion and coordination that it provided.

The Joint Assistant Director noted that the role of the TRS had been fully taken account of in the drafting of the needs analysis that would define how CSE support services would look like in Rotherham in the future.

The Joint Assistant Director provided information on how the needs analysis, the key document that would inform the recommissioning of CSE support services had been created, noting that it had been prepared by drawing together a range of qualitative and quantitative information from a range of sources that had included:

- contract monitoring information for the lifetime of the contracts
- a service review undertaken in 2017 in response to concerns about waiting lists
- an independently commissioned pre-consultation report, provided

by the All-Party Parliamentary Group report on Adult Survivors of Childhood Sexual Abuse

- working directly with service users who had been working with GROW, Rotherham RISE and Rothacs
- a benchmarking exercise with other local authorities who have similar service provision, led by a sub-group of the Improving Lives Select Commission
- a web-based public survey.

The full draft needs analysis was attached as an appendix to the officer's report.

Members noted that it was very positive to see the wide ranging consultation that had taken place as well as the communication that had been carried out with the TRH in creation of the needs analysis.

The Chair of the Improving Lives Select Commission provided further information on the benchmarking exercise that had been carried out by the sub-group of the commission. The Chair advised that the other local authorities that had been contacted to share their experiences regarding the provision of post-CSE support had engaged well, but noted that the work carried out had not been as detailed or as thorough as the sub-group would have liked due to limitations put on it by having to meet the timescales originally allocated for the recommissioning processes. The Chair of the Improving Lives Select Commission noted that the benchmarking exercise had shown that the provision offered by Rotherham compared favourably when compared to other local authorities provision. The Chair advised that the Improving Lives Select Commission planned to continue to benchmark provision in Rotherham with services provided elsewhere.

Councillor Clark, who had been part of the sub-group, and who had been invited to the meeting by the Chair of the Overview and Performance Management Board noted the limitations of the benchmarking work that had been carried out and stated that it was important that the work of the sub-group continued and fed into to how support services in Rotherham were provided.

Members welcomed the report and noted that the continuing support of survivors of CSE was an essential service for the Council to provide. The Joint Assistant Director noted that the new services that would be commissioned would offer 12 months of support before services were stepped down in a managed way so as to ensure that dependency on the service was not created. The Joint Assistant Director assured members that after 12-months re-referrals to the service could be made if required. Members asked for clarification on how the decision to limit service access to 12-months had been arrived at. The Joint Assistant Director advised that this had been informed by an in-depth study of the time spent on the current programmes by existing service users, and noted that as well as not creating dependency, limiting access initially to 12-months

would help to manage waiting lists and ensure that all of those residents needing support could access the services that they required.

Members asked that due to the Covid-19 pandemic whether the proposed timescales for the commissioning and procurement processes could still be met. The Joint Assistant Director assured members that everything that was would be done to ensure that the new services were implemented on time as planned.

The Chair asked for further information on the impact of the current support services on survivors of CSE. The Joint Assistant Director referred to the report and advised that the outcomes from existing service provision have been broadly positive with a majority advising that the services had impacted positively to an extent on their health, their ability to cope and on their ability to make decisions and take control of their lives. The Joint Assistant Director advised that the service users were extremely vulnerable and that even small improvements in how they felt were an achievement, but also noted that moving forwards it was hoped that the new services would help to improve the outcomes for service users further. The Joint Assistant Director provided information on the procedures that would be in place to monitor the performance of the support services that would be commissioned.

The Leader thanked the members of the sub-group of the Improving Lives Select Commission for the benchmarking work that they had carried out and that had fed into the development of the draft needs analysis. The Leader welcomed their commitment going forwards to monitoring performance and to carrying out further benchmarking, noting that this activity and passion clearly showed the commitment of members in ensuring that survivors of CSE in Rotherham were well supported.

The Chair thanked the Deputy Leader and Cabinet Member for Children's Services and Neighbourhood Working and the Joint Assistant Director Commissioning, Performance and Inclusion for attending the meeting and answering members questions.

Resolved: -

- 1) That Cabinet be advised that the recommendations be supported.
- 2) That, subject to Cabinet approving the recommendations set out in the report, the benchmarking exercise by Members of Improving Lives Select Commission be continued, with interviews with Members from other authorities being prioritised to better inform the approach to the procurement process.
- 3) That, in view of the individual personal circumstances of victims and survivors, the specification for the contract ensure that the re-referral process be expedited swiftly to ensure that service users receive timely support.

- 4) That Improving Lives Select Commission monitor the effectiveness of the service and contract arrangements on an annual basis.

182. FINANCE UPDATE AND BUDGET MONITORING REPORT

Consideration was given to a report that was submitted for pre-decision scrutiny ahead of the Cabinet meeting scheduled for 15 June 2020 in respect of the latest Finance Update and Budget Monitoring Report.

The Leader, the Cabinet Member for Corporate Services and Finance, the Strategic Director of Finance and Customer Services, the Assistant Director – Financial Services and the Head of Corporate Finance attended the meeting to present the report and to answer members questions.

The report provided an update on a number of financial matters, including those related to Covid-19. It was noted that the report provided an interim update following on from the approval of the Budget and Council Tax 2020/21 in February 2020 and in advance of the final Financial Outturn report for 2019/20 and Financial Monitoring 2020/21 reports that were scheduled to be submitted to Cabinet for consideration in July 2020.

The report noted that in common with other local authorities across the country the Council had and continued to face significant challenges both operationally and financially due to the Covid-19 pandemic and by the restrictions that had been put in place in order to manage it.

It was noted that the MHCLG had been collating monthly reports to monitor the financial impact of the pandemic on local authorities. The report stated that the latest figures that had been submitted to the MHCLG showed a total estimated financial impact of the pandemic to the Council of £40.1million due to a combination of additional costs incurred, the loss of sales, fees and charges and other income, the non-delivery of required budget savings, an increase in rent arrears and other costs for the Housing Revenue Account and a loss of business rates and council tax income.

It was noted that the majority of the increased costs had been incurred by Adult Social Care in dealing with issues directly linked to the pandemic including dealing with additional demand for services, supporting the local social care market to ensure its sustainability and providing personal protective equipment. The report stated that with regard to loss of income that the Regeneration and Environment Directorate had been most severely impacted due to a loss of sales, fees and charges and income, with the main areas of impact having been incurred by the Cultural and Related Services and Planning and Development services.

It was also noted that the council's response to the pandemic had put at risk its ability to deliver on the £16.3million of savings and cost reductions

that were needed to deliver a balanced budget for 2020/21 budget, and that with the majority of the required savings being within social care services there was a significant risk of the Council being unable to be deliver on these due to the impact of Covid-19.

The Strategic Director noted that the Council had received £16.239 million of emergency funding to date in order to deal with the financial impact of the Covid-19 pandemic and that this funding would cover the vast majority of additional expenditure incurred directly as a result of Covid-19, but that it would not cover the loss of income for the Council as a result of the pandemic or non-delivery of savings and planned cost reductions. The report provided further information on the funding received and how it had been used to support service provision.

The Strategic Director of Finance and Customer Services advised that regular updates on Covid-19 financial impact would be provided within the 2020/21 Financial Monitoring reports to Cabinet. The report also provided a summary of the potential financial impact on each directorate within the Council. The Strategic Director noted the significant financial challenges for the Council moving forward and emphasised that the predicted financial impact had been based on certain assumptions, and as such could change in the future.

Members asked if the calculations that had been made included any assumptions based on the predicted economic impact of the pandemic on the wider Sheffield City Region. The Strategic Director advised that the calculations made related only to the Council's own finances, but as they did include assumptions regarding business rates and Council Tax collection, it was confirmed that these would be impacted on by the wider economic impact in the Borough. Members sought assurance with regard to the robustness of the methodologies used in estimating the financial impact on the Council of the pandemic. The Strategic Director provided information on how extra expenditure incurred by the Council was being recorded and provided assurance that the working practices in place that monitored the financial impact were as robust as was possible in a difficult situation that was constantly changing.

The Strategic Director advised that a key part of the approach to managing the Council's finances continued to be the delivery of the savings that had been approved in the Council's budget in February 2020 and noted that even with the budgetary pressures of the pandemic it was essential that that as much of the approved budget strategy, including savings targets were delivered. The Strategic Director noted the difficulties of delivering savings in both Adults and Children's Social Care, where significant savings had been identified to be made, when it Adult Care in particular was the directorate that had faced the majority of increased demand and expenditure during the pandemic.

The Chair asked whether the Council would need to consider cutting or stopping all non-essential spending due the budgetary pressures created

by the pandemic, as had been the case at other local authorities. The Strategic Director advised that this was not something that was currently being considered and the most important thing was to get as much of the budget strategy as possible back on track.

Members asked for information on the lobbying activity that was being made to Government with regard to additional financial support being made available to the Council. The Leader advised that lobbying activity via the Local Government Association had been taking place and that representations to Government had also been made by the three MP's that represented the Borough.

Members asked whether additional pressure on the Children's and Young People's Services budget was expected when more children returned to school and demand for services could increase. The Leader advised that this was a concern, and that an increase of children coming into the care system would create increased budgetary pressures. The Leader reaffirmed that despite the pressures created by the pandemic on the Council's finances, he was committed to carrying on and delivering on the commitments detailed in the 2020/21 budget.

Members asked that with large numbers of staff having worked from home during the pandemic whether future savings could be enabled with reduction of the Council's office space. The Strategic Director advised that this was something that could be looked at in the future in order to deliver some savings, but noted that any savings delivered while welcome, would be modest in scale.

The Chair asked for further information on business rates and Council Tax collection. The Head of Corporate Finance advised that support for businesses with business rates had been provided and that analysis had shown that the impact of reduced business rates collection in Rotherham was potentially not as severe as faced by other local authorities. The Strategic Director advised that support was being offered to residents struggling to pay their Council Tax but noted that it was important when offering support that this support did not create increased and unsustainable burdens of debt for residents.

The Leader noted that it continued to be difficult to assess the financial impact of the pandemic on the Council, and that the situation would become clearer as the economy reopened and scale of the economic recession became clearer.

The Chair thanked the Leader, the Cabinet Member for Corporate Services and Finance, the Strategic Director of Finance and Customer Services, the Assistant Director – Financial Services and the Head of Corporate Finance for attending the meeting and answering their questions.

Resolved: -

- 1) That Cabinet be advised that the recommendations be supported.
- 2) That the Leader of the Council write to all three Members of Parliament for the borough seeking their support to lobby the Government to ensure the Council is fairly funded to respond to the impact of the Covid19 pandemic.
- 3) That, at an appropriate time later in the year, the Leader of the Council hold an all Member Seminar on the proposals for the authority's recovery from the Covid19 pandemic and the likely financial impact of proposed changes.

183. COVID-19 DISCRETIONARY BUSINESS GRANTS SCHEME

Consideration was given to a report that was submitted for pre-decision scrutiny ahead of the Cabinet meeting scheduled for 15 June 2020 in respect of the proposed Covid-19 Discretionary Business Grants Scheme.

The Cabinet Member for Corporate Services and Finance, the Strategic Director of Finance and Customer Services and the Head of Corporate Finance attended the meeting to present the report and to answer members questions.

It was noted that on 1 May 2020 the Government had announced that a new discretionary grant fund scheme would be launched that had been designed to provide grant support to those businesses adversely affected by Covid-19 and who had not been eligible to receive any support under the business support grants that had been announced earlier during the pandemic.

The Cabinet Member advised that the grants that would be provided by the scheme were predominantly aimed at assisting small and micro businesses, businesses with relatively high ongoing fixed property-related costs, businesses that could demonstrate that they had suffered a significant fall in income due to the Covid-19 pandemic and businesses that occupied property, or part of a property, that had a rateable value or annual rent or annual mortgage payments below £51,000. It was noted that while the scheme offered some flexibility for each local authority to decide which business it should support; the Government had indicated the types of business that it expected the funding to be used in support of.

The officer's report set out in detail the proposals for how the Discretionary Grant Fund would be utilised by the Council to support business in Rotherham and also the types of businesses that the Council aimed to support. The report also set out in detail the eligibility criteria and application processes. It was noted that there would be a clearly defined time period allowed for applications to be made for the financial support that was available that would enable the Council to assess the value of

the payments needed to be made at an early stage. This approach would enable any surplus funds to be used to make further top-up grants to eligible small businesses in a timely manner.

Members welcomed the proposed scheme and the support that it would provide to business that had been ineligible for assistance from previous support schemes. Members noted their approval on how the scheme had been designed in such a way that both enabled businesses to be supported but also did not over commit the Council to providing support in excess of the funding that it had received.

Resolved: -

That Cabinet be advised that the recommendations be supported.

184. CHILDREN'S COMMISSIONER TAKEOVER CHALLENGE - HATE CRIME

Consideration was given to a report that had been submitted to advise the Overview and Scrutiny Management Board on the findings and recommendations that had come from the Children's Commissioner's Takeover Challenge spotlight review undertaken by Rotherham Youth Cabinet in March 2020 on Hate Crime.

It was noted that The Children's Commissioner's Takeover Challenge (CCTOC) was a national initiative where children and young people took over an organisation or meeting and assumed management and leadership roles. As part of the Council's commitment to the CCTOC, each year the Overview and Scrutiny Management Board supported the Rotherham Youth Cabinet in undertaking a focused piece of work on a topic chosen by the young people.

In 2020 the Rotherham Youth Cabinet had chosen Hate Crime as the theme for the year's takeover challenge, as it was one of the key priorities in their manifesto for 2020.

The report advised that the spotlight review had shown that that good work was taking place in Rotherham in raising awareness about Hate Crime that challenged related attitudes and behaviour. The review also highlighted some areas of concern from members of the Rotherham Youth Cabinet. These areas of concern included:

- the under-reporting of Hate Crime incidents was still perceived to be an issue, in particular in relation for disability-related incidents.
- media coverage of Hate Crime incidents being perceived as often creating divisions and tensions within the local community. It was recognised that this was difficult to control, certainly at national level, although it was hoped that there may be scope for more liaison locally.

- the number of hate incidents experienced by taxi drivers and their families and how further measures could be introduced that would protect drivers.

In light of their findings, the Rotherham Youth Cabinet had developed a set of recommendations, these were detailed the appended report and focussed on:

- partners continuing to engage with young people and to consider having a dedicated young person's seat on the Independent Hate Scrutiny Panel.
- liaison between primary and secondary schools to be undertaken to support preventative work on Hate Crime.
- encouraging all schools to invite the Hate Crime Co-ordinator to work with students, with a focus on work in smaller groups.
- involving young people in a peer educator initiative for Hate Crime awareness raising.
- partners working with the Rotherham Youth Cabinet on a campaign to raise awareness with young people about how and where to report hate incidents.
- sharing the concerns raised by RYC with regard to press coverage of issues that may impact more widely on communities with the local media.

The full report that detailed the findings and recommendations that had resulted from the review was attached as an appendix to the officer's report.

The Head of Democratic Services in presenting the report noted that as the recommendations were cross cutting and involved organisations outside of the Council, a multi-agency response to the recommendations would be provided, and in due course reported back to the Overview and Scrutiny Management Board.

The Chair and members noted their thanks to the members of the Rotherham Youth Cabinet for participating in the review and noted that the meeting had been very constructive and had raised some important issues. The Chair noted his thanks on behalf of the committee to Janet Spurling, Governance Advisor, who would be retiring from the Council over the summer, for all the work that she had put into making the Children's Commissioner Takeover Challenge such a success at Rotherham since its initiation.

Resolved: -

- 1) That the report and the conclusions and recommendations as outlined at Appendix 1 of the officer's report, be noted.
- 2) That the report be forwarded to Cabinet and partners for their consideration and to Council for information.
- 3) That a detailed response to the recommendations be presented to the Overview and Scrutiny Management Board and Rotherham Youth Cabinet in October 2020.

185. URGENT BUSINESS

There were no urgent items of business.

186. DATE AND TIME OF NEXT MEETING

Resolved: -

That the next meeting of the Overview and Scrutiny Management Board be held on Wednesday 8 July 2020 commencing at 11.00 a.m. as a Microsoft Teams Virtual Meeting.

Committee Name and Date of Committee Meeting

Cabinet – 20 July 2020

Report Title

Financial Outturn 2019/20

Is this a Key Decision and has it been included on the Forward Plan?

Yes

Strategic Director Approving Submission of the Report

Judith Badger, Strategic Director of Finance and Customer Services

Report Author(s)

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Ward(s) Affected

Borough-Wide

Report Summary

The report outlines the final revenue and capital outturn position for 2019/20.

The Revenue Budget 2019/20 was approved by Council on 27 February 2019. A budget of £221.1m was set for General Fund services; this excludes schools budgets and Housing Revenue Account (HRA). The final outturn position was a balanced budget which required £2.0m less use of corporate reserves than planned for. The original budget was supported by budget contingency reserves of £3.2m. Additional funding received in year, use of earmarked reserves and flexible use of capital receipts has resulted in a reduced call on the reserves of £1.2m leaving a balance of £2.0m to support the budget in later years.

A summary of the outturn position for each Directorate is shown in the table in Section 2.1 below together with the actions and measures taken to deliver a balanced budget. The Council continues to face demand pressures, in particular in respect of social care. This coupled with the significant financial challenges the Councils response to Covid-19 will bring, as reported in detail to Cabinet in June 2020, means the outlook is very challenging.

The Council's General Fund minimum balance has been increased to £20.700m as planned and set out within the Council's Reserves Strategy reported in the Budget and Council Tax Report 2020/21. The reserve is held to protect the Council against unforeseen events and realisation of contingent liabilities.

The Housing Revenue Account had an underspend of £2.9m, however, the revenue contribution to capital outlay was increased by £0.2m. Therefore, the net budgeted use of HRA reserves reduced by £2.7m.

The schools outturn position which is funded by the ring-fenced Dedicated Schools Grant had an underspend of £1.968m.

The capital outturn shows slippage and underspend of £6.3m against the estimated spend for 2019/20 included within the Capital Programme.

Recommendations

1. That the revenue outturn position be noted.
2. That it be noted that the budgeted transfer from HRA reserves was reduced by £2.7m following the HRA outturn position.
3. That the carry forward of the combined schools balance of £1.740m in accordance with the Department for Education regulations be noted.
4. That the reserves position set out in section 2.32 be noted.
5. That the capital outturn and funding position as set out in sections 2.40 to 2.57 be noted.
6. That the report be referred to Council for information and for approval of the updated Capital Programme as set out in paragraphs 2.58 to 2.66 and Appendices 1 to 4 of this report.

List of Appendices Included

Appendices 1 to 4 Capital Programme 2020/21 to 2023/24.
Appendix 5 Equalities Screening

Background Papers

Revenue Budget and Council Tax Setting Report for 2019/20 to Council on 27th February 2019.

May Financial Monitoring Report to Cabinet 8th July 2019

July Financial Monitoring Report to Cabinet 16th September 2019

October Financial Monitoring Report to Cabinet 23rd December 2019

December Financial Monitoring Report to Cabinet 17th February 2020

January Financial Monitoring Report to Cabinet 23rd March 2020

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Overview and Scrutiny Management Board – 15 July 2020

Council Approval Required

Yes

**Exempt from the Press and Public
No.**

Financial Outturn 2019/20

1. Background

- 1.1 This report sets out the Council revenue, capital, HRA and schools outturn position in 2019/20. It also describes the details of the Council's reserves balances as at the end of the financial year. The Council set a revenue budget of £221.1m and a capital programme of £99.689m.
- 1.2 The report illustrates the Council's financial position on a management accounts basis which is used to monitor performance throughout the financial years. The Audit Committee will consider the Council's draft unaudited Statement of Accounts 2019/20 on 18th August 2020 and will consider the final Statement of Accounts on 24th November 2020 following the audit of the accounts by the Council's external auditors Grant Thornton. These required timetables for publication of local authority accounts have been pushed back nationally in response to the additional pressures placed on public sector finance teams due to Covid-19. The Statement of Accounts are prepared in accordance with the Code of Practice on Local Authority Accounting in the United Kingdom 2019/20 and appropriate accounting standards. As such, the reporting in the Statement of Accounts is different in format to the management reporting.
- 1.3 In understanding the outturn position it is helpful to set out the financial context of the Council.
- 1.4 The Council set a two-year budget for 2019/20 and 2020/21 at the Council Budget meeting in February 2019. The two-year budget included savings to address a funding gap of £30m over those two years, those savings included £7.8m of Service Directorate savings to be delivered in 2019/20 and a further £15.8m to be delivered in 2020/21. There were no additions in the 2020/21 budget to those savings, though £8.6m of one-off additional budget support was provided in recognition that more time was required to deliver key savings in Adults and CYPS. The existing agreed savings will continue to be monitored to ensure delivery.
- 1.5 However, the challenge is significant in light of increased demand for services and funding uncertainty for the local government sector. Notwithstanding, the Council will continue to examine service change and improvement work across the Council in meeting the challenges faced.
- 1.6 In common with other local authorities across the country, there continues to be increasing demand pressures on social care services. These increased demands continued to place additional pressures on the Council's financial position, which has been closely monitored and appropriate mitigations have been implemented by management to ensure that total expenditure remained within the overall budget for 2019/20 set by Council in February 2019.
- 1.7 The Council has a programme to identify, track and deliver a savings programme over the medium term in order to maintain a balanced budget. The process draws on an approach that ensures that both investment and savings options are not considered in isolation and contribute to the principles and priorities as set out in the Council Plan and Medium Term Financial Strategy. The Council's 'Big Hearts, Big Changes' programme helps the Council to address the financial challenges.

- 1.8 The Council's Medium Term Financial Strategy was revised and updated as part of 2020/21 budget setting and supports the Council's ambition of financial sustainability over the medium term.

2. Key Issues

- 2.1 The table below provides a summary of the Revenue Outturn for 2019/20. This is followed by a summary of the position by Directorate which includes an explanation of the key variances.

Directorate	Budget 2019/20	Outturn 2019/20	Variance (over (+)/under (-))
	£'m	£'m	£'m
Children and Young People's Services	65.9	70.3	4.4
Adult Care, Housing and Public Health	77.0	77.7	0.7
Regeneration and Environment	42.2	44.7	2.5
Finance and Customer Services	18.2	17.6	-0.6
Assistant Chief Executive	6.6	6.4	-0.2
Central Services	11.2	5.6	-5.6
SUB TOTAL			
Budget Contingency	0.0	0.0	0.0
TOTAL	221.1	222.3	1.2
Actions to address budget overspend			
Use of Reserves – Budget Contingency		-1.2	-1.2
Total Actions to Address Budget Overspend	0.0	-1.2	-1.2
Net Financial Outturn	221.1	221.1	0.0

2.2 Children and Young People's Services

- 2.3 Children & Young People Services are implementing a two-year budget recovery plan to reduce the budget pressures from previous years (£15.7m in 2018/19) and deliver budget savings
- 2.4 The outturn position at the end of March is £4.4m with a £1.5m favourable movement since January's monitoring report to March cabinet, in the main due to £1.3m received from the DfE linked to Stovewood costs. The Looked After Children number of 595 is 5 under the budget target of 600, but the placement mix of having too many young people in residential care is the main reason for the budget overspend.
- 2.5 The direct employee budget was £39.03m and is a combination of general fund, traded and grant funded services. The staffing underspend at the end of financial year was £1.43m, of which £1.33m is a general fund underspend, with a £60k underspend on Traded Services and a £34k underspend on DSG staffing.

- 2.6 A significant element of the CYPS non-pay budgets relates to placements which has a net budget of £31.2m, the spend in 2019/20 was £37.6m, creating a financial pressure of £6.4m. The main financial pressures were Residential placements (£4.5m), Special Guardianship Payments (£1.0m), Emergency placements (£0.6m), Direct Payments (£0.4m) offset by Parent and Baby assessment savings of (£0.4m), plus a reduction in the estimated income from the Clinical Commissioning Group (£1.0m).
- 2.7 The other major budget pressures have also been incurred linked to the current number of Looked After Children this financial year. Transport overspent by £490k and section 17 & 23 payments by £543k.
- 2.8 High Needs Block
- 2.9 The High Needs Block (HNB) is £34.5m (including the £2.8m transfer from the schools block) and remains under significant pressure due to rising numbers of children supported in specialist provision and the rising costs of Education Health Care (EHC) plans. Consequently, the central DSG reserve has changed over a three-year period from a £1m reserve deficit at the end of 2015/16 to £15.1m deficit at the end of the 2018/19 financial year (£5.1m overspend in 2018/19).
- 2.10 The outturn was an overspend of £4.6m. The main pressures are due to growth in alternative provision and top up payments, plus the number of high cost external residential and independent sector placements.
- 2.10 **Adult Care, Public Health and Housing**
- 2.11 The final outturn position for the Directorate was an overspend of £0.7m. This was an improvement on the position which had been previously reported. This is based on full delivery of savings identified by implementing the new target operating model and part year savings from the reassessment programme and review of Learning Disability services.
- 2.12 Adult Care Services are overspending largely as a result of demand for services. The net reduction in people requiring the service is less than anticipated, people are presenting with increasingly complex needs and the average number of hours for a domiciliary care package is increasing.
- 2.13 The budget includes savings of £5.7m, of which £2.4m was delivered giving a shortfall of £3.3m in 2019/20.
- 2.14 Some of the forecast savings are lower than originally planned and will take longer to deliver. The reassessment savings have been re-profiled based on the reassessments delivered in 2018/19, and the forecast activity across 2019/20 to 2021/22. The outturn position includes the impact of the reassessments completed during 2019/20 which show a deficit of £0.5m against plan.
- 2.15 My Front Door has been re-profiled for the amended inhouse services timeline. Other savings are on track to be delivered as planned.
- 2.16 Neighbourhood Services' (Housing) has an underspend position due to additional fee income from the Furnished Homes scheme (-£0.4m) and additional contributions from the HRA for advocacy and appeals.

2.17 A number of mitigating actions have been identified, including maximising the use of one-off resources, which have bridged the savings shortfall this financial year and also covers some of the costs of additional demand for services, resulting in a net overall forecast of £0.7m overspend for the Directorate.

2.18 The Public Health grant has been fully utilised. A Budget saving agreed as part of the budget setting process totalling £0.1m in respect Sexual Health contract was fully achieved in year.

2.19 **Regeneration and Environment**

2.20 The Directorate has an overspend of £2.5m. This is in excess of the £2m reported to Cabinet in March 2020 but is reflective of the significant budgetary challenges that faced the Directorate during the financial year. A summary of the main budget variances are identified below.

- **Community Safety and Street Scene (CSS) - £1.1m overspend**

Street Scene services were £1.4m overspent. This included an overspend of £1.4m in the Corporate Transport Unit, which was principally made up of a £1.1m overspend in respect of Home to School transport. This is a result of demand pressures on the Service, which is affecting all local authorities. As part of the 2020/21 budget setting the Council has recognised these pressures by providing a revenue budget investment of £1.1m into this service. The Council is also working towards bringing the fleet maintenance service back inhouse from September 2020.

Network Management reported an overspend of £0.219m. Overspends of £0.197m were reported in Highway Maintenance and £0.162m in Winter Maintenance, where the budget was insufficient even for a mild winter. The Council has recognised this, with a base budget realignment of £0.181m in 2020/21. An underspend of £0.219m in Streetworks and Enforcement, largely from income over recovery, has helped to mitigate the pressures in the Network Management Service.

The overall overspend position in CSS was significantly mitigated by underspends of £0.421m in Regulation and Enforcement and £0.118m in Community Safety Resilience and Emergency Planning. This is principally as a result of staff vacancies, with some additional income.

- **Culture Sport and Tourism (CST) - £0.475m overspend**

Majority of the overspend relates to an underachievement of income (£0.8m) in Green Spaces, both at Rother Valley Country Park and Thrybergh Country Park. The shortfall is across several revenue streams, including leisure activities, café, accommodation and car-parking. The under recovery was the result of a number of factors; blue green algae in the Rother Valley lake impacted on trading at Rother Valley Country Park. Poor weather during the peak summer and autumn seasons contributed. Less than planned income led to a review of commercial traded opportunities and led to £0.400m revenue budget adjustment being applied for 2020/21 onwards. A delay to the opening of Gulliver's Valley Resort had a further knock on effect on trading conditions. It had been anticipated one off pressures would be resolved through 2020-21 until the onset of Coronavirus

Despite running a varied programme of activity, the Civic Theatre was unable to balance against planned income, recording a £0.097m overspend. The overspend position in CST was significantly mitigated by savings in Libraries of £0.287m, a combination of staff savings, reduced spend on stock and additional grant income. In addition, there was a £0.183m saving on Customer Services, largely as a result of additional income generation in Registrars. Cost restraint on non-pay budgets helped to achieve a better financial outcome

- **Planning Regeneration and Transport (PRT) - £0.952m overspend**

The principal reason for the overspend in this Service was an overspend in Facilities Management (FM) of £0.883m. Overspends were recorded in a number of FM areas, however, the largest ongoing pressure is in respect of delays to the achievement of the Council's £1m property savings, which resulted in a pressure of £0.513m. Progress has been made, but decisions to vacate buildings are subject to the completion of service reviews. Other pressures in this Service included a mixture of unbudgeted building repair and maintenance costs, building security costs, lease costs and unachievable savings from leasing out part of Riverside House (£0.16m). A number of these pressures were one-offs or have been resolved through budget realignments. Underspends in utilities partly mitigated the overall pressure in FM.

Overall the Asset Management service, of which FM is a part, overspent by £0.851m. Building Consultancy over-recovered against its surplus target by £0.171m. However, Estates overspent by £0.232m, as a result of a delay to the completion of the business units at Beighton Link. This is a one-off pressure, as the units are now covered by a rent guarantee scheme, up to the point that they are successfully let.

Elsewhere within PRT, RIDO recorded an overspend of £0.255m. The principal pressure in this Service, is the on-going under-recovery of income within the Markets Service, as a result of the difficult retail trading conditions. This has been recognised with a base revenue budget increase of £0.250m from 2020/21.

Facilities Services reported an overspend of £0.101m, as a result of lost income to the School Meals service as schools closed in late March, following Government Covid 19 lockdown restrictions. Income over recovery in the Planning and Transportation service, which reported an overall underspend of £0.196m, partly mitigated the other overspends in PRT.

As the PRT Service is heavily dependent on income to support over services, the budgetary impact of Covid 19 in 2020/21 will be significant.

2.21 Finance and Customer Services

2.22 There was an underspend of £0.6m in the Directorate, details of which are set out below.

- **Legal Services - £0.4m underspend**– Reduced challenges during the year from the demand for legal support with child protection hearings and court cases relating to Looked After Children. In addition to this staff savings generated through vacancy control have enabled a significant in year saving to be generated.

- **Customer Information and Digital Services - £0.5m underspend** – Within Customer, Information and Digital Services, there were financial pressures on Schools Connect Trading to reflect the continued loss of schools/academies subscribing to services, which is resulted in a loss of £0.1m. The service mitigated the cost pressures this year through vacancy control with plans to cease provision of the service from the new financial year.
- **Financial Services - £0.3m underspend** - within finance, the financial pressure remains from staff absence claims from the Schools Traded Service, however the pressure in-year was offset by vacancy control across the wider finance function and savings on the Council's insurance budgets.
- **Customer and Digital Programme - £0.5m overspend** – The Customer and Digital Programme aims to deliver more streamlined customer services through the use of digital ways of working for Council's services. These improved ways of working are expected to generate savings of £0.5m year on year with the FCS directorate. During 2019/20 financial year new proposals were being developed and finalised in order that they would generate full year savings from 2020/21. Some savings were achieved during 2019/20 with other work ongoing to deliver in 2020/21. The shortfall within the current year was mitigated via vacancy control across the directorate.

2.23 Assistant Chief Executive

2.24 There was an underspend of £0.2m, in the main due to vacancy control and savings from the HR restructure. Further details are:

- **Human Resources - £0.2m underspend** – this is largely due to vacancy control and the ability to capitalise salary costs relating to the implementation of the new HR and Payroll system. There were pressures in the service due to loss of income, however, these were offset by income from salary sacrifice schemes and additional income from new payroll contracts.
- **Policy and Partnerships - £0.1m underspend** – the service has been undertaking a restructure and due to this a number of posts within the service remained vacant for significant periods. In additional income was generated via a contribution from the Better Care Fund to the Performance and Quality Team.
- **Customer and Digital Programme - £0.2m overspend** – The Customer and Digital Programme aims to deliver more streamlined customer service through the use of digital ways of working for Councils services. These improved ways of working are expected to generate savings of £0.2m from the ACX directorate year on year. During 2019/20 financial year new proposals were being developed in order that they would generate full year savings from 2020/21, with the current year mitigated via vacancy control across the directorate.

2.25 Central Services

2.26 There was an underspend of £5.6m, this was mainly as a result of further savings identified from treasury management activity, a review of PFI funding and payment profiles, additional business rates relief grant income and savings from early payment of pension costs to SYPA.

2.27 HOUSING REVENUE ACCOUNT (HRA) OUTTURN 2019/20

2.28 The Housing Revenue Account (HRA) is a statutory ring-fenced account that the Council must maintain in respect of the income and expenditure incurred in relation to council dwellings and associated assets. The original approved budget included a revenue contribution to capital expenditure of £14.7m and a contribution of £12.1m from reserves to provide an overall balanced budget.

2.29 The overall HRA position is an underspend of £2.9m, in addition the final revenue contribution to capital was £14.9m, £0.2m more than anticipated. Overall this reduced the transfer from the HRA reserve from a budgeted £12.1m to £9.4m, a reduction in use of HRA reserves of £2.7m.

2.30 The forecast underspend relates to:

- additional income from rents due to a quicker turnaround of void properties and a reduction in forecast rent allowances - £0.5m;
- additional fees and charges -£0.5m
- £1.3m underspend on Repairs and Maintenance due to increased recovery of tenants repairs income, savings on void costs and a reduction in some cyclical repairs;
- staff vacancies and savings on other employee costs - £0.3m;
- -£0.2m additional interest

2.31 SCHOOLS OUTTURN 2019/20

School balances at the end of 2019/20 for the Council's maintained schools and pupil referral units was £1.740m. This is a £1.629m decrease compared to the previous year and takes account of schools that have converted to academies during 2019/20.

2.32 RESERVES

2.33 The Councils budget for 2019/20 included a reserves strategy that made available use of £3.2m budget contingency to support the Councils projected financial position for 2019/20.

2.34 However, due to the identification of additional savings from Central Services budgets, additional government funding and use of capital receipts flexibility, only £1.2m was required, leaving a balance of £2m for use in later years.

2.35 The total General Fund revenue reserves balances as at 31 March 2020 was £49.4m compared to £39.1m in the previous year. The most significant adjustment relating to the Councils receipt of Covid-19 response grants of £8.9m specifically for additional costs as a result of Covid-19 and £6.3m section 31 grants paid early to assist local authority cash flows.

- 2.36 Total reserves including, HRA, Schools and DSG as at 31 March 2020 was £29.5m (note DSG Reserve (£19.892m)). A summary of the balances and the movements in reserves in 2019/20 is shown in the Table 2 below.
- 2.37 The Budget and Council Tax Report 2019/20 set out the proposed Reserves Strategy, which includes a planned increase in the Council's General Fund Minimum Balance to £21m by 31st March 2020 and a move to three Corporate Reserves. The outturn position reflects the projected balances for these Corporate Reserves, as well as the General Fund minimum balance as set out in the Reserves Strategy. The Corporate Reserve balances are shown in the table below and make up the Council's total earmarked reserves.
- 2.38 The Council's General Fund minimum balance has been increased to £20.700m as planned and set out within the Council's reserves strategy reported in the Budget and Council Tax Report 2019/20.

Table 3 Total Earmarked Reserves as at 31 March 2020

	Balance as at 1 April 2019 £m	Transfer to/(from) in the year £m	Balance as at 31 March 2020 £m
General Fund Reserves			
Transformation	2.7	1.3	4.1
Insurance Fund	0.4	-0.4	0.0
Business Rates	4.0	0.0	4.0
Pensions	4.9	-4.9	0.0
PFI – Education (Schools)	3.1	-1.7	1.4
Looked After Children	0.0	0.0	0.0
Corporate Revenue Grants Reserve	2.2	-1.1	1.2
Budget Contingency	3.2	-1.2	2.0
Housing Transformation Fund	0.7	0.0	0.7
Total	21.3	-7.9	13.4
Total HRA (within grant reserve)	0.0	0.0	0.0
Total General Fund Reserves	21.2	-7.9	13.3
General Fund Minimum Balance	16.8	3.9	20.7
Total General Fund	38.0	-4.0	34.0
Covid-19 Grants Reserve	0.0	15.3	15.3
DSG Grant Reserve	-15.1	-4.8	-19.9

2.39 **Capital Reserves**

The total earmarked and un-earmarked capital reserve balances at the end of 2019/20 are shown in Table 4 below.

Table 4 Capital Reserves as at 31 March 2020

	Balance as at 31 March 2020 £m	Committed Resources £m	Un- earmarked as at 31 March 2020 £m
Capital Receipts			
General Fund	4.5	4.5	0.0
HRA	12.4	1.9	10.5
Sub-Total	16.9	6.4	10.5
Capital Grants – Unapplied			
General Fund (not service specific)	0.0	0.0	0.0
General Fund (service specific)	15.5	15.5	0.0
HRA	0.9	0.9	0.0
Sub-Total	16.4	16.4	0.0
Major Repairs Reserve – HRA	16.0	16.0	0.0
Total	49.2	38.7	10.5

2.40 **CAPITAL OUTTURN 2019/20**

2.41 The Capital Programme for 2019/20 was £99.854m split between the general fund £55.887m and HRA £43.967m. As at the financial year end 31 March 2020, the capital programme had expenditure of £96.985m, with underspend and slippage of (£2.869m). The breakdown of the outturn position by Directorate is shown below, together with a summary of the key variances between budget and outturn, and some key achievements in the year.

Table 4 Capital Outturn 2018/19

Directorate	2020/21 Budget £m	2020/21 Outturn £m	2020/21 Variance £m
General Fund Capital			
Children and Young Peoples Services	9.155	8.831	-0.324
Assistant Chief Executive	0.884	0.808	-0.076
Adult Care & Housing	4.529	4.278	-0.250
Finance & Customer Services	5.210	4.928	-0.282
Regeneration & Environment	34.110	33.163	-0.947
Capitalisation Direction	2.000	0.712	-1.288
Total General Fund Capital	55.887	52.720	-3.167
Total HRA Capital	43.967	44.266	0.299
Total RMBC Capital Programme	99.854	96.985	-2.869

2.42 Children and Young People's Services

2.43 The CYPS capital programme outturn had £0.324m of underspend and slippage against the approved budget of £9.155m. The main variances contributing to this position are:

- **Primary Schools: Waverley New Primary School - (£0.896m)** Building under construction, with internal and external walls underway, roof partially constructed and drainage complete. Contract. The contract has been delayed slightly by the COVID19 pandemic, with the building now expected to be handed over to the school in September 20 and the remainder of the site by November 20.
- This underspend is offset by an accelerated spend on the Schools PFI planned maintenance programme. The budgets are based on a life cycle maintenance programme, and whilst in 2019/20 the programme overspent, overall expenditure is still within the programme budget across the 30 year lifecycle.

As part of the outturn position the following key outputs have been delivered:

- Primaries: added two new classrooms at Rawmarsh Sandhill Academy and commenced building the new primary school at Waverley.
- Secondary Schools: started the design work and asbestos removal on the building at the Aston Academy site
- Special: completed work on SEND phase I at The Willows (single classroom) Newman School (10 additional places), and started design works to a number of

SEND phase II projects at Wales high School, Thrybergh Rainbow academy, Kelford Academy, Maltby & Wath Victoria.

- Investment in twenty-seven schools through the capitalised enhancement programme.
- Work commenced or completed across eight careers properties, via Pathways to Care.

2.44 **Adult Care & Housing**

2.45 The Adult Care & Housing capital programme outturn had £0.250m of underspend and slippage against the approved budget of £4.529m. The main variances contributing to this position are:

- Assistive Technology scheme, underspend by £0.088m against budget, this is a demand led scheme, that provides technology equipment and alarms to assist in allowing vulnerable people to remain within their own home.
- Aids and Adaptations for Private Properties, underspend by £0.053m against budget, this is a demand led scheme, that provides adaptations to properties to allow vulnerable people to remain within their own home.
- Conway Fit Out, underspend by £0.040m against budget, this is to provide total fit out with furniture, fixtures, fittings and technology equipment to 2 new properties to deliver specialist care, slippage due to a delayed start on site.
- Bellows Scheme, underspend against budget by £0.068m on finalisation of all costs.

As part of the outturn position the following key outputs have been delivered:

- 239 Major Adaptations completed (Fair Access) to properties, including, but not limited to, hoists, level access showers, extensions, ramps, and stairlifts.
- In excess of 3,500 customers have benefited from assistive technology equipment been issued to vulnerable people to help them remain in their homes, including but not limited to, riser/recliner chairs, bed sensors, automatic door openers, and radio guardian monitors

2.46 **Assistant Chief Executive**

2.47 The Assistant Chief Executive capital programme outturn had £0.076m of underspend and slippage against the approved budget of £0.884m. Ward budgets have been re-profiled into the new financial year as a number of projects are still either being developed or going through an approval process.

- The Ward budgets were used to deliver in excess of 180 projects across the borough through both community and Council led initiatives, with budgets on commitments carried forward.
- The replacement HR system was completed and became fully operational during the year.

2.48 **Finance & Customer Services**

2.49 The Finance & Customer Services capital programme outturn had £0.282m of underspend and slippage against the approved budget of £5.210m.

As part of the outturn position the following key outputs have been delivered:

- Office 365 Implementation and Deployment
- Migration of our on-premise email platform to the cloud including the migration of 4000 individual user mailboxes
- Upgrade to Microsoft Office 365 from Office 2010 for 3500 computers
- The rollout of Skype for Business across the council for instant messaging, internal telephony and presence
- Implementation of enhanced security for mobile device management and data loss prevention within Office 365
- Replacement of the all contact centre and switchboard telephony

- Device deployment
 - 2000 laptops deployed
 - 330 desktop monitors replaced
 - 500 mobile phones deployed
 - Replaced 14 printers

2.50 Regeneration & Environment

2.51 The Regeneration & Environment capital programme outturn had (£0.947m) of underspend and slippage against the approved budget of £34.110m. The main variance contributing to this position was:

- **Corporate Property Unit:** Commercial Property capital investment programme has underspent by (£0.318m), this funding is for backlog maintenance. Quite a large proportion of the budget has been earmarked for particular schemes as and when they are ready to be delivered
- **Transport & Highways:** College Road Roundabout completion was put back to the Easter holidays due to the need to have the roundabout running temporarily with no signals; the Easter holidays would exhibit lower traffic flows and therefore be easier to manage causing an underspend in-year of (£0.831m).
- **Cultural Heritage & Sports:** Waleswood Caravan Park was completed during 2019/20, the project overspent by £0.305m overall, with the additional costs funded by service funded borrowing. The overspend is due to several issues relating to increased professional fees, non-planned highways works, and main contractor overspend due to specification changes. A review process is being carried out to establish some lessons learnt from the project that will enable more effective budget management on future projects.
- **Street Scene Services:** Fleet Management Vehicle Purchase underspent by (£0.544m) due to delay in agreeing specifications from with services on the required vehicle types.

As part of the outturn position the following key outputs have been delivered:

- £9.647m invested into improving the borough's Carriageways, unclassified roads.
- Fenton Road shared cycle footway is a £1m project that seeks to promote cycling between Kimberworth Park/Greasbrough and the town centre and builds on works carried out in previous years to improve cycle access to the town centre. This year Frederick Street has been opened for use by cyclists at peak times avoiding the peak shopping times of between 10am and 4pm.
- Beighton Link is a £5.5m development completed in 19/20 comprises two units of 20,000 sq ft and 29,000 sq ft respectively constructed through a design and build contract. The completed units will be let on the open market to end users – inward investors or expanding local companies. Once let, the investment will be retained and managed by the Council although there is flexibility in the Council's investment strategy and an investment sale is possible, subject to market conditions.

2.52 Transformation Programme (Capitalisation Direction)

2.53 The expenditure that the Council can capitalise under this direction is limited to the level of new-year Capital Receipts. A budget estimate of £2.000m of receipts is included in the Capital Programme to fund revenue expenditure that meets the criteria of being transformational spend. The Council's policy is to use new-year capital receipts to fund transformational costs that would otherwise be held within revenue expenditure accounts, releasing pressure on the revenue budget. The total receipts for 2019/20 was £0.712m.

2.54 Housing Revenue Account (HRA)

2.55 The HRA capital programme outturn was a £0.299m overspend against the approved budget of £43.967m. The main variance contributing to this position was:

- Site Clusters - this scheme is to deliver 217 units of new build housing across 7 sites, with a mixed tenure, including new Council Housing and private for sale properties. The scheme £1.8m overspent against the 2019/20 budget at the year end and is forecast to overspend overall by a £1.8m.

The project is funded from the Housing Revenue Account (HRA), as such the overspend on Site Clusters will be an additional cost to the approved overall HRA capital programme 2020/21 to 2023/24. However, for 2020/21 the total capital programme will not change as projected underspends/slippage will be utilised to cover the in-year requirement, with those underspends needing to be re-provided for in 2021/22.

- Asbestos – Underspend by £0.269m against budget, due to a planned reduction in spend to ease pressure on remaining projects within the capital programme and secondly due to the change in contractor the survey works for 20/21 were not completed.
- Braithwell Bungalows – Underspend by £0.649m against budget, due to issues with selected sites and further work required for costing of the project. The scheme is due to commence in 2020/21.

- Strategic Acquisitions – Underspend by £0.430m against budget, this was due to a delay in the properties being completed to RMBC specifications delaying handover and purchase.

As part of the outturn position the following key outputs have been delivered:

- 35 new units delivered for social housing, comprising of 32 houses from site clusters (Dinnington, East Herringthorpe and Canklow), 3 new purchased units under the strategic acquisitions programme.
- 495 Major Adaptations completed (Fair Access)
- 14 live environmental schemes completed within the year.
- 6,577 properties that received investment during the financial year.

2.56 Funding of the Capital Programme 2019/20

2.57 The £96.985m of capital expenditure was funded as shown in the table below:

Table 5 Funding of the Capital Programme 2019/20

Funding Stream	Outturn £m
Grants and Contributions	24.512
Unsupported Borrowing	21.625
Capital Receipts	5.696
Capital Receipts - Flexible Use	0.712
Revenue Contributions	0.175
Total Funding - General Fund	52.720
Grants and Contributions	1.460
Housing Major Repairs Allowance	15.548
Useable Capital Receipts	12.565
Revenue Contributions	14.692
Total Funding - HRA	44.265
Total	96.985

2.58 Capital Receipts Outturn 2019/20

2.59 The Council is continuing to undertake a comprehensive review of its assets and buildings portfolio with the aim of rationalising both its operational and non-operational asset holdings. This may contribute future capital receipts which are earmarked to support the revenue budget, using the capital receipts flexibilities in place until 2021/22 to fund expenditure relating to transforming Council services to generate future revenue efficiency savings.

2.60 In 2019/20 General Fund Capital receipts of £3.462m were generated as shown in the table below. Although, £2.750m of this was for loan repayments, these cannot be used to support the revenue budget as only those receipts by the disposal of property, plant

and equipment can be used under the new capital receipts flexibilities introduced from the 1st April 2016.

Table 6 – General Fund Capital Receipts Received in 2019/20

Description	Total as at 31st March 2020 £m
4b Beighton Link	-0.021
Erkine Road	-0.100
Rockliffe Road	-0.058
Treeton Youth Centre. Church Lane	-0.280
St Leonards Youth Centre	-0.091
Fitzwilliam Street, Mexborough	-0.095
40 Lady Oak Way	-0.035
Tractor	-0.026
De-minimus receipts	-0.006
Total Capital Receipts (Excluding loan repayments)	-0.712
Repayment of Loans	-2.750
Total Capital Receipts	-3.462

2.61 The useable capital receipts available to support the capital programme outturn of £0.712m, were fully utilised to support transformational expenditure within the Council.

2.62 Updated Capital Programme 2020/21 to 2023/24

2.63 The Capital Programme 2020/21 totals £171.209m split between the general fund £111.129m (higher than normal due to reflecting the Council's Future High Streets Fund bid) and HRA £58.080m, this has increased overall by £5.045m from the position reported to Cabinet on 23 March 2020. The movement is based on the latest profiles of expenditure against schemes, following the 2019/20 outturn position, factoring in slippage from 2019/20 of £4.771m and additional funding of £0.274m. The total slippage from 2019/20 was £6.384m, £4.721m moving into 2020/21 and a further £1.612m re-profiled across 2021/22 to 2023/24.

The slippage into 2020/21 is higher than the reported outturn underspend for 2019/20, as the underspend on the overall programme for that year, also factors in overspends on projects. Therefore, the overall programme underspend would have been greater, had those projects not overspent.

The additional funding of £0.274m relates to the Councils Holmes Tail GOIT pumping station and linked highway bridge. It has been agreed with the partner organisation on this scheme, that they will contribute this sum towards the delivery of the project outcomes, in recognition of the benefit they will also derive from the works.

- 2.64 The Site Cluster scheme overspent against the 2019/20 budget at the year end and is forecast to overspend overall by £1.8m, the details of the overspend and mitigation were covered in a report to cabinet in June 2020. Therefore, the budget for Site Clusters has been increased for 2020/21 by £1.8m. However, for 2020/21 the total capital programme will not change as projected underspends/slippage will be utilised to cover the in-year requirement, with those underspends needing to be re-provided for in 2021/22.

On review of the HRA programme for 2021/22 the underspends and slippage identified to cover the Site Clusters overspend will not need to be re-provided in full. Therefore, the 2021/22 HRA capital programme has been increased by £0.867m, for the re-provision of budgets for those schemes used to support Site Clusters in 2020/21.

2.65 **Table 8: Updated Capital Programme 2020/21 to 2023/24**

Directorate	2020/21 Budget £m	2021/22 Budget £m	2022/23 Budget £m	2023/24 Budget £m	Total Budget £m
General Fund Capital					
Children and Young People's Services	17.817	8.618	4.508	5.573	36.516
Assistant Chief Executive	0.255	0.210	0.210	0.210	0.885
Adult Care & Housing	4.578	6.523	12.624	6.130	29.855
Finance and Customer Services	9.582	3.008	3.124	10.523	26.236
Regeneration and Environment	78.897	56.412	43.821	41.036	220.166
Capitalisation Direction	2.000	2.000	-	-	4.000
Total General Fund Capital	113.129	76.771	64.287	63.471	317.658
Total HRA Capital					
	58.080	55.925	44.178	43.198	201.381
Total RMBC Capital Programme					
	171.209	132.695	108.465	106.669	519.038

In light of the challenges that Covid-19 has brought about the Council will need to carry out a detailed review of the current capital programme, to assess the deliverability of projects to planned budgets and timescales. It is too early at this point to accurately carry out this piece of work as such the review will need to take place in the autumn when a great level of clarity is available about the impact of Covid-19 on the capital programme.

2.66 **Forecast funding position of Capital Programme 2020/21**

The £170.935m of capital expenditure is funded as shown in the table below;

Table 9: Funding of the approved Capital Programme

Funding Stream	2020/21 Budget £m
Grants And Contributions	52.532
Unsupported Borrowing	53.386
Capital Receipts	5.032
Capital Receipts - Flexible Use & HRA Contribution	2.000
HRA Contribution	0.178
Total Funding - General Fund	113.129
Grants And Contributions	10.214
Unsupported Borrowing	-
Housing Major Repairs Allowance	29.952
Capital Receipts	3.998
Revenue Contribution	13.917
Total Funding - HRA	58.080
Total	171.209

3. Options considered and recommended proposal

3.1 This detail is set out in Section 2 above.

4. Consultation on proposal

4.1 None identified

5. Timetable and Accountability for Implementing this Decision

5.1 The Strategic Director - Finance and Customer Services is responsible for implementing any actions arising from the supported recommendations in this report. These should be actioned at the earliest opportunity to aid the monitoring of the 2020/21 Revenue Budget and Capital Programme.

6. Financial and Procurement Advice and Implications

6.1 As set out in the sections above.

6.2 There are no direct procurement implications arising from the report.

7. Legal Advice and Implications

7.1 None identified

8. Human Resources Advice and Implications

8.1 None identified

9. Implications for Children and Young People and Vulnerable Adults

9.1 None identified

10. Equalities and Human Rights Advice and Implications

10.1 None identified

11. Implications for Partners

11.1 None identified

12. Risks and Mitigation

12.1. There are increasing cost pressures associated with the rising demand for social care services. The Council will continue to closely monitor its financial position throughout the year and if required management will implement appropriate mitigations.

12.2 There is funding uncertainty for the local government sector beyond 2020/21 pending the outcomes of the Government Spending Review and the Fair Funding Review, which will implement changes to the way in which local government is currently funded. The Council's Medium Term Financial Strategy will be revised and updated later in the year to reflect the outcomes of these reviews. This will of course need to factor in the financial implications of Covid-19 and its wider impact on the short and long term sustainability of Council finances.

13. Accountable Officers

Judith Badger, Strategic Director of Finance and Customer Services

Graham Saxton, Assistant Director – Financial Services

Rob Mahon, Head of Corporate Finance

Approvals obtained on behalf of Statutory Officers:-

	Named Officer	Date
Chief Executive	Sharon Kemp	26/06/20
Strategic Director of Finance & Customer Services (S.151 Officer)	Judith Badger	26/06/20
Head of Legal Services (Monitoring Officer)	Stuart Fletcher	26/06/20

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This report is published on the Council's [website](#).

Capital Programme General Fund 2020/21 to 2024/25

Directorate	Current Year	2021/22	2022/23	2023/24	Total Project
	Budget (£)	Budget (£)	Budget (£)	Budget (£)	Budget (£)
Adult Care & Housing	4,578,158	6,523,000	12,624,091	6,129,512	29,854,761
Children & Young Peoples Serv	17,817,460	8,618,092	4,508,023	5,572,629	36,516,204
Finance & Customer Services	11,581,539	5,007,659	3,123,931	10,523,000	30,236,129
Assistant Chief Executive	254,558	210,000	210,000	210,000	884,558
Regeneration & Environment	78,897,460	56,411,990	43,820,915	41,035,811	220,166,176
Total	113,129,175	76,770,741	64,286,960	63,470,952	317,657,828

Funding:

Funding Stream	Current Year	2020/21	2021/22	2022/23	Total Project
	Budget (£)	Budget (£)	Budget (£)	Budget (£)	Budget (£)
Grants And Contributions	52,533,396	45,043,643	33,511,531	37,771,477	168,860,047
Prudential Borrowing	53,385,802	28,886,098	28,156,429	25,070,475	135,498,804
Revenue Contribution	2,178,000	2,173,000	176,000	179,000	4,706,000
Usable Capital Receipts	5,031,977	668,000	2,443,000	450,000	8,592,977
Total	113,129,175	76,770,741	64,286,960	63,470,952	317,657,828

Capital Programme General Fund 2020/21 to 2024/25

Directorate	Service Area	Service Area	Sub Service	Project Code	Project	Current Year	2021/22	2022/23	2023/24	Full Project Budget	
						Budget (£)	Budget (£)	Budget (£)	Budget (£)	Budget (£)	
Adult Care & Ho	Adult Services	Adult Services	Adults Grants Unallocated	CUZBUN	Adults Grants Unallocated	83,150	0	0	1,856,512	1,939,662	
			Adults Grants Unallocated			83,150	0	0	1,856,512	1,939,662	
			Assistive Technology	CU0700	Assistive Technology Equipment	680,000	680,000	680,000	680,000	2,720,000	
			Assistive Technology			680,000	680,000	680,000	680,000	2,720,000	
			REWS Equipment	CU0701	REWS Capital	190,000	190,000	190,000	190,000	760,000	
			REWS Equipment			190,000	190,000	190,000	190,000	760,000	
			Specialist Equipment	CU0107	SALSE Provision	14,000	0	0	0	14,000	
			Specialist Equipment	CU0108	Conway Crescent F&F	90,882	0	0	0	90,882	
			Specialist Equipment			104,882	0	0	0	104,882	
			Adult Services			1,058,032	870,000	870,000	2,726,512	5,524,544	
	Adult Services			1,058,032	870,000	870,000	2,726,512	5,524,544			
				CNF103	Adapts - OTHERS - Private Majr	1,028,000	0	0	0	1,028,000	
				CNF104	Adapts - LOT1 - Private Majr	384,500	0	0	0	384,500	
				CNF105	Adapts - LOT2 - Private Majr	351,500	0	0	0	351,500	
				CNF204	Adapts - LOT1 - Private Minr	200,000	0	0	0	200,000	
				CNF205	Adapts - LOT2 - Private Minr	233,000	0	0	0	233,000	
				CNFBUN	Private Adaps Bud Unall	0	2,197,000	2,197,000	2,197,000	6,591,000	
				Aids and Adaptations (Private)		2,197,000	2,197,000	2,197,000	2,197,000	8,788,000	
				Fair Access to All		2,197,000	2,197,000	2,197,000	2,197,000	8,788,000	
				Extra Care Housing	CPZBUN	Extra Care Housing Scheme	0	2,000,000	8,000,000	0	10,000,000
				Extra Care Housing			0	2,000,000	8,000,000	0	10,000,000
				Monksbridge Demolition	CP0401	Monksbridge, Dinnington	71,800	0	0	0	71,800
				Monksbridge Demolition			71,800	0	0	0	71,800
				Neighbourhood Regeneration & Re			71,800	2,000,000	8,000,000	0	10,071,800
				Neighbourhood Capital Programm			2,268,800	4,197,000	10,197,000	2,197,000	18,859,800
		Neighbourhood Improv	Neighbourhood Improvemen	Fuel Poverty Vulnerable People	CP0802	Fuel Poverty-Vulnerable People	28,733	0	0	0	28,733
				Fuel Poverty Vulnerable People			28,733	0	0	0	28,733
				Furnished Homes CPTL	CPA001	Furnished Homes New CPTL	1,134,000	1,134,000	1,134,000	1,134,000	4,536,000
					CPA002	Furnished Homes Replace CPTL	72,000	72,000	72,000	72,000	288,000
				Furnished Homes CPTL			1,206,000	1,206,000	1,206,000	1,206,000	4,824,000
				N'bourhood Grants Unallocated	CPXBUN	N'Hoods Grants Unallocated	0	0	84,000	0	84,000
				N'bourhood Grants Unallocated	CPD001	N'Hoods Grants Unallocated	0	250,000	250,000	0	500,000
			N'bourhood Grants Unallocated			0	250,000	334,000	0	584,000	
			Specialist Equipment	CU0503	Specialist Equipment	16,593	0	17,091	0	33,684	
			Specialist Equipment			16,593	0	17,091	0	33,684	
			Neighbourhood Improvements Non			1,251,326	1,456,000	1,557,091	1,206,000	5,470,417	
			Neighbourhood Improvements - N			1,251,326	1,456,000	1,557,091	1,206,000	5,470,417	
	Adult Care & Housing					4,578,158	6,523,000	12,624,091	6,129,512	29,854,761	
				CPC008	Capt'I Inv't Ward Anst wdssets	12,666	10,500	10,500	10,500	44,166	
				CPC009	Capt'I Inv't-Ward - Dinnington	7,886	10,500	10,500	10,500	39,386	

Directorate	Service Area	Service Area	Sub Service	Project Code	Project	Current Year	2021/22	2022/23	2023/24	Full Project Budget
						Budget (£)	Budget (£)	Budget (£)	Budget (£)	Budget (£)
				CPC010	Capt'I Inv't-Ward - Wales	10,464	7,000	7,000	7,000	31,464
				CPC011	Capt'I Inv't-Wd-Brinwth/catffe	12,023	0	0	0	12,023
				CPC012	Capt'I Inv't-Ward - Holderness	10,552	0	0	0	10,552
				CPC013	Capt'I Inv't-Ward - RotherVale	10,165	7,000	7,000	7,000	31,165
				CPC014	Capt'I Inv't-Ward - Keppel	10,007	10,500	10,500	10,500	41,507
				CPC015	Capt'I Inv't-Ward - Roth West	10,603	10,500	10,500	10,500	42,103
				CPC016	Capt'I Inv't-Ward - Wingfield	19,906	0	0	0	19,906
				CPC017	Capt'I Inv't-Ward - Bostn Ctle	17,820	10,500	10,500	10,500	49,320
				CPC018	Capt'I Inv't-Ward - Roth East	9,220	10,500	10,500	10,500	40,720
				CPC019	Capt'I Inv't-Ward - Sitwell	10,082	10,500	10,500	10,500	41,582
				CPC020	Capt'I Inv't-Ward - Hooper	10,003	10,500	10,500	10,500	41,503
				CPC021	Capt'I Inv't-Ward - Swinton	14,940	0	0	0	14,940
				CPC022	Capt'I Inv't-Ward - Wath	11,105	7,000	7,000	7,000	32,105
				CPC023	Capt'I Inv't-Ward - Rawmarsh	10,478	0	0	0	10,478
				CPC024	Capt'I Inv't-Ward - Silverwood	15,738	0	0	0	15,738
				CPC025	Capt'I Inv't-Ward - Valley	13,406	0	0	0	13,406
				CPC026	Capt'I Inv't-Ward - Maltby	9,903	0	0	0	9,903
				CPC027	Capt'I Inv't-Ward - Hellaby	13,686	0	0	0	13,686
				CPC028	Capt'I Inv't-Ward - Wickersley	13,905	0	0	0	13,905
				CPC029	Hellaby & Maltby West	0	7,000	7,000	7,000	21,000
				CPC030	Maltby East	0	7,000	7,000	7,000	21,000
				CPC031	Thurcroft & Wickersley South	0	7,000	7,000	7,000	21,000
				CPC032	Aughton & Swallownest	0	7,000	7,000	7,000	21,000
				CPC033	Aston & Todwick	0	10,500	10,500	10,500	31,500
				CPC034	Brinsworth	0	7,000	7,000	7,000	21,000
				CPC035	Greasborough	0	7,000	7,000	7,000	21,000
				CPC036	Dalton & Thrbergh	0	7,000	7,000	7,000	21,000
				CPC037	Wickersley North	0	10,500	10,500	10,500	31,500
				CPC038	Bramley & Ravenfield	0	7,000	7,000	7,000	21,000
				CPC039	Swinton Rockingham	0	7,000	7,000	7,000	21,000
				CPC040	Rawmarsh West	0	7,000	7,000	7,000	21,000
				CPC041	Kilnhurst & Swinton East	0	7,000	7,000	7,000	21,000
				CPC042	Rawmarsh East	0	7,000	7,000	7,000	21,000
			Democratic Services			254,558	210,000	210,000	210,000	884,558
		Democratic Services				254,558	210,000	210,000	210,000	884,558
		Democratic Services				254,558	210,000	210,000	210,000	884,558
		Assistant Chief Executive				254,558	210,000	210,000	210,000	884,558
				CED900	Adaptations - Foster Care	1,661,835	640,000	640,000	640,000	3,581,835
				CEL900	Early Education Place Grant	103,491	0	0	0	103,491
				CER001	In-House redidential	1,499,712	500,000	0	0	1,999,712
			Children & Families			3,265,038	1,140,000	640,000	640,000	5,685,038
		Other CYPS				3,265,038	1,140,000	640,000	640,000	5,685,038
				C0119N	Badsley Moor PS-Cladding&roof	157,705	0	0	0	157,705
				C0121N	Bramley S'side-Heat&Roof	5,175	0	0	0	5,175

Directorate	Service Area	Service Area	Sub Service	Project Code	Project	Current Year	2021/22	2022/23	2023/24	Full Project Budget	
						Budget (£)	Budget (£)	Budget (£)	Budget (£)	Budget (£)	
				CENBUN	Capitalised Enhancements Unall	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000	
					Schools - Capitalised Enh	1,162,880	1,000,000	1,000,000	1,000,000	4,162,880	
			Schools - Prims - Major	CE1005	New Central Prim School	32,495	0	0	0	32,495	
				CE1028	Waverley New Primary School	5,564,110	135,000	0	0	5,699,110	
					Schools - Prims - Major	5,596,605	135,000	0	0	5,731,605	
			Schools - PRUs	CE8902	Riverside (Catcliffe) PRU CPTL	79,835	0	0	0	79,835	
					Schools - PRUs	79,835	0	0	0	79,835	
				CE5004	Aston Acad replace classrooms	2,093,441	1,691,175	0	0	3,784,616	
				CE5BUN	Secondary BUDGET UNALLOC	2,845,898	3,236,853	1,000,000	1,000,000	8,082,751	
					Schools - Secs - Major	4,939,339	4,928,028	1,000,000	1,000,000	11,867,367	
				CE7005	Abbey School SEND 2 add C/Room	73,732	0	0	0	73,732	
				CE7006	Newman Sch- SEND 10 add places	13,995	0	0	0	13,995	
				CE7008	The Willows - 1 C'room Ext	17,555	0	0	0	17,555	
				CE7009	Hutton Park Pru	3,605	0	0	0	3,605	
				CE7010	Milton School SEND	390,703	0	0	0	390,703	
				CE7011	Wales High School SEND	393,031	0	0	0	393,031	
				CE7012	Milton School SEND	250,000	0	0	0	250,000	
				CE7013	Wales High School SEND	129,475	0	0	0	129,475	
				CE7014	Brinsworth School SEND	21,933	0	0	0	21,933	
				CE7015	Thomas Rotherham College SEND	98,147	0	0	0	98,147	
				CE7016	Thrbergh School SEND	46,008	0	0	0	46,008	
				CE7017	James Montgomery Academy SEND	116,121	0	0	0	116,121	
				CE7018	Kelford School SEND	70,000	0	0	0	70,000	
				CE7BUN	Special BUDGET UNALLOC	146,494	66,500	0	0	212,994	
					Schools - Spcls - Major	1,770,799	66,500	0	0	1,837,299	
			Schools PFI Life Cycle Program	CES900	Schools PFI Life Cycle Program	808,994	1,173,564	1,718,023	2,270,000	5,970,581	
					Schools PFI Life Cycle Program	808,994	1,173,564	1,718,023	2,270,000	5,970,581	
			Schools			14,358,452	7,303,092	3,718,023	4,270,000	29,649,567	
			CYPS - RMBC			17,623,490	8,443,092	4,358,023	4,910,000	35,334,605	
		DFC	DFC - RMBC	DFC - RMBC all	CEXBUN	DFCG Unallocated	193,970	175,000	150,000	662,629	1,181,599
				DFC - RMBC all		193,970	175,000	150,000	662,629	1,181,599	
			DFC - RMBC			193,970	175,000	150,000	662,629	1,181,599	
			DFC			193,970	175,000	150,000	662,629	1,181,599	
			Children & Young Peoples Serv			17,817,460	8,618,092	4,508,023	5,572,629	36,516,204	
Finance & Cust	F&CS (DUMMY)	F&CS	F&CS - REFCUS	CTR805	Transformation Projects	2,000,000	2,000,000	0	0	4,000,000	
			F&CS - REFCUS			2,000,000	2,000,000	0	0	4,000,000	
			F&CS			2,000,000	2,000,000	0	0	4,000,000	
			F&CS (DUMMY)			2,000,000	2,000,000	0	0	4,000,000	
		ICT 2	ICT 2	CTT109	EDRMS Roll Out	85,000	0	0	0	85,000	
				CTT208	Finl Sysms Upgrdes-ICT2	412,224	0	0	0	412,224	
				CTT225	Fee Billing System Upgrade	0	0	40,000	0	40,000	
				CTT286	Iken Upgrade	1,250	0	0	0	1,250	
				CTT287	Planned print leased machines	2,660	0	0	0	2,660	
				CTT288	Fleet of MFD printers	403,398	232,470	198,931	0	834,799	

Directorate	Service Area	Service Area	Sub Service	Project Code	Project	Current Year	2021/22	2022/23	2023/24	Full Project Budget
						Budget (£)	Budget (£)	Budget (£)	Budget (£)	Budget (£)
				CTT291	Social Care IT System - CNTL C	161,437	0	0	0	161,437
				CTT294	ICT RESILIENCE	9,341	0	0	0	9,341
				CTT299	ContrOCC	75,000	0	0	0	75,000
				CTT300	Audit Management	0	30,000	0	0	30,000
				CTT301	Legal Services - Ebundles	60,000	30,000	30,000	30,000	150,000
			ICT 2			1,210,310	292,470	268,931	30,000	1,801,711
			ICT 2			1,210,310	292,470	268,931	30,000	1,801,711
		ICT Refresh	ICT Refresh	CTT218	ICT Digital Strategy	969,762	500,000	500,000	800,000	2,769,762
				CTT219	Computer Refresh	942,209	1,022,000	1,025,000	1,278,000	4,267,209
				CTT220	Network Equipment Refresh Proj	1,139,073	630,000	630,000	630,000	3,029,073
				CTT221	Replacement of server equip	519,997	235,000	235,000	0	989,997
				CTT222	Telephony System Replacement	94,209	0	465,000	1,900,000	2,459,209
				CTT223	Hybrid Cloud Computing	195,020	0	0	1,750,000	1,945,020
				CTT224	Microsoft 365 Implementation	3,556,047	0	0	4,000,000	7,556,047
				CTT296	SY superfast broadband	334,089	328,189	0	0	662,278
				CTT297	Libraries Network	620,823	0	0	135,000	755,823
			ICT Refresh			8,371,229	2,715,189	2,855,000	10,493,000	24,434,418
		ICT Refresh				8,371,229	2,715,189	2,855,000	10,493,000	24,434,418
		ICT				9,581,539	3,007,659	3,123,931	10,523,000	26,236,129
		Finance & Customer Services				11,581,539	5,007,659	3,123,931	10,523,000	30,236,129
Regeneration &	Community Safety &St	Network Management	Drainage	CGF005	Wath Flood Alleviation	14,883	0	0	0	14,883
				CGF012	Rotherham to Kilnhurst FAS	135,886	0	0	0	135,886
				CGF013	Maltby Surface Water FAS	11,274	0	0	0	11,274
				CGF014	Eel Mires Dike FAS	11,972	0	0	0	11,972
				CGF015	Flood Alleviation (ERDF)	1,319,439	1,430,800	0	0	2,750,239
			Drainage			1,493,454	1,430,800	0	0	2,924,254
			Highways Delivery	CGR001	Carriageway Resurfacing	2,824,000	2,485,850	2,485,850	2,485,850	10,281,550
				CGR008	Unclassified Rds 17/18 ? 19/20	5,618,376	6,000,000	6,000,000	6,000,000	23,618,376
				CGR010	Capitalisation Carriageways	233,257	500,000	500,000	500,000	1,733,257
				CGR011	Multi Hog vehicle	302,121	300,000	300,000	300,000	1,202,121
				CGR013	Cap Rights of way	33,888	34,000	34,000	34,000	135,888
				CGR017	A6178 Sheffield Road	800,000	0	0	0	800,000
			Highways Delivery			9,811,642	9,319,850	9,319,850	9,319,850	37,771,192
			Street Lighting	CGL005	St Lighting LTP 15/16 - 19/20	213,206	179,700	179,700	179,700	752,306
				CGL007	Capitalisation Lighting	150,000	150,000	0	0	300,000
				CGL008	Cap benches signs bollards	75,000	75,000	75,000	75,000	300,000
				CGY018	Safety Barriers Replacement	300,000	0	0	0	300,000
				CGL009	Replace Obsolete Strt Lighting	40,000	40,000	40,000	40,000	160,000
			Street Lighting			778,206	444,700	294,700	294,700	1,812,306
			Parking Services	CGP001	TownCentre CarPark Improvement	254,000	0	0	0	254,000
				CGP002	TownCentreCarParks-Pay&DisMach	130,000	0	0	0	130,000
			Parking Services			384,000	0	0	0	384,000
			Network Management			12,467,302	11,195,350	9,614,550	9,614,550	42,891,752
		Safer Neighbourhoods	Safer Neighbourhoods	CN0100	Carhill Landfill Site	45,000	0	0	0	45,000

Directorate	Service Area	Service Area	Sub Service	Project Code	Project	Current Year	2021/22	2022/23	2023/24	Full Project Budget
						Budget (£)	Budget (£)	Budget (£)	Budget (£)	Budget (£)
				CN0105	Wath Landfill Site	7,400	0	0	0	7,400
				CN0106	CCTV Investment	50,000	0	0	0	50,000
			Safer Neighbourhoods			102,400	0	0	0	102,400
			Safer Neighbourhoods			102,400	0	0	0	102,400
		Street Scene Services	Waste Management	CGY004	Bins	254,506	150,775	150,775	150,775	706,831
				CGY013	Fleet Mgt System	18,631	0	0	0	18,631
				CGY014	Fleet Mgt Vehicle Purchase	2,626,756	5,866,000	730,000	100,000	9,322,756
				CLC011	Cap damaged litter bins	8,000	8,000	8,000	8,000	32,000
				CLC012	Equipment & Bins	395,562	0	0	0	395,562
				CLC017	Litter Bin Strategic Review	968,000	100,000	100,000	42,000	1,210,000
				CLC018	Street Scene Equip / Vehicles	395,000	0	0	0	395,000
				CLC019	Street Scene - Zonal Working	87,000	31,000	0	0	118,000
			Waste Management			4,753,455	6,155,775	988,775	300,775	12,198,780
		Street Scene Services				4,753,455	6,155,775	988,775	300,775	12,198,780
		Community Safety & Street Scene				17,323,157	17,351,125	10,603,325	9,915,325	55,192,932
				CLH004	Keppel's Column Preservation	177,500	0	0	0	177,500
			Heritage Services			177,500	0	0	0	177,500
	Culture, Sport & Tourism	Cultural Heritage & Sports	CST Events	CLE001	Events Equipment	15,000	0	0	0	15,000
			CST Events			15,000	0	0	0	15,000
			Libraries	CLL001	Brinsworth Library	7,552	0	0	0	7,552
				CLL002	Strat Review of Libraries	34,753	298,000	2,682,000	0	3,014,753
				CLL008	Libraries & N'hood Hubs	470,000	422,000	0	0	892,000
			Libraries			512,305	720,000	2,682,000	0	3,914,305
		Cultural Heritage & Sports				704,805	720,000	2,682,000	0	4,106,805
		Leisure & Community Service	Green Spaces	CLA001	Allotments	100,000	0	0	0	100,000
				CLC014	Clifton Park Dalben Tower	53,000	0	0	0	53,000
				CLS004	Leisure PFI lifecycle	415,402	848,000	461,000	500,000	2,224,402
				CLU010	Alexandra Park Play Area	21	0	0	0	21
				CLU012	Sanctuary Fields s106	1,646	0	0	0	1,646
				CLU018	Barkers Park Changing Rooms Re	10,062	0	0	0	10,062
				CLU020	CCTV Investment	360,538	0	0	0	360,538
				CLU021	CliftonPark GardenBldg Bar-ITS	25,000	0	0	0	25,000
				CLU024	Manor Farm Rawsh Play Sec106	9,823	0	0	0	9,823
				CLC015	RVCP Automated Parking	91,000	0	0	0	91,000
				CLC016	RVCP Safety Boats	84,000	0	0	0	84,000
				CLC020	GreenSpaces CarParks/Signs	50,000	0	0	0	50,000
				CLC021	GreenSpaces CarPark Surfaces	210,000	0	0	0	210,000
			Green Spaces			1,410,492	848,000	461,000	500,000	3,219,492
		Leisure & Community Service				1,410,492	848,000	461,000	500,000	3,219,492
		Culture, Sport & Tourism				2,115,297	1,568,000	3,143,000	500,000	7,326,297
	Planning, Regen & Tra	Corp Property Unit	Corporate Property Cap Proj	CGY016	Wellgate Cpark Ret. Wall	40,200	0	0	0	40,200
				CGY017	Route Optimisation - ITS	31,500	11,700	11,700	11,700	66,600
				CLD001	Treeton St Helen Church Yard	450,000	0	0	0	450,000
				CLD002	Closed Church Yards	30,000	0	0	0	30,000

Directorate	Service Area	Service Area	Sub Service	Project Code	Project	Current Year	2021/22	2022/23	2023/24	Full Project Budget
						Budget (£)	Budget (£)	Budget (£)	Budget (£)	Budget (£)
		RIDO				19,381,785	18,962,715	26,482,440	27,028,336	91,855,276
		Transportation & Highways	Bridges	CGBBUN	Bridges unallocated	677,091	329,450	329,450	329,450	1,665,441
			Bridges			677,091	329,450	329,450	329,450	1,665,441
			Connectivity	CGCBUN	Unallocated Connectivity	75,000	341,000	341,000	341,000	1,098,000
			Connectivity			75,000	341,000	341,000	341,000	1,098,000
			Local Safety Schemes	CGLBUN	Unallocated Local Safety S	678,000	375,000	375,000	375,000	1,803,000
				CGY015	Comm Aspects of Rd Sfty	300,000	150,000	0	0	450,000
				CGW062	Cont pedxings job3 A633 High S	120,000	0	0	0	120,000
			Local Safety Schemes			1,098,000	525,000	375,000	375,000	2,373,000
			LSTF & Smarter Choices	CGSBUN	Unallocated Smarter Choices	65,000	0	0	0	65,000
			LSTF & Smarter Choices			65,000	0	0	0	65,000
			Major Schemes	CGA012	A618 Growth Corridor Phase 2	376,892	0	0	0	376,892
				CGA013	Parkway Widening ph2	25,751,335	14,438,000	0	0	40,189,335
				CGA015	College Road NPIF	1,430,878	0	0	0	1,430,878
				CGB023	Crinoline Bridge Repairs	49,534	0	0	0	49,534
				CGC047	A630 Pool Green Roundabout	58,833	0	0	0	58,833
				CGF007	Holmes Tail Goit Pumping Stn	2,860,209	0	0	0	2,860,209
				CGF016	GOIT - Highway Bridge	934,000	0	0	0	934,000
				CGN055	A630 Sheffield Parkway widenin	167,351	0	0	0	167,351
				CGS006	Traff Signal renewal Prog	722,913	0	0	0	722,913
				CGY012	Clean Air Zones Elec Chrg Pts	20,482	0	0	0	20,482
			Major Schemes			32,372,427	14,438,000	0	0	46,810,427
			Network Management	CGN078	Bawtry Rd Bramley mor to ch ln	89	0	0	0	89
				CGNBUN	Unallocated Network Management	300,750	450,000	450,000	450,000	1,650,750
			Network Management			300,839	450,000	450,000	450,000	1,650,839
		Transportation & Highways				34,588,357	16,083,450	1,495,450	1,495,450	53,662,707
		Planning, Regen & Transport				59,459,006	37,492,865	30,074,590	30,620,486	157,646,947
		Regeneration & Environment				78,897,460	56,411,990	43,820,915	41,035,811	220,166,176
		Summary				113,129,175	76,770,741	64,286,960	63,470,952	317,657,828

Capital Programme General HRA 2020/21 to 2024/25

Directorate	Current Year	2021/22	2022/23	2023/24	Total Project
	Budget (£)				
HRA	58,080,316	55,924,605	44,177,848	43,197,848	201,380,617
Total	58,080,316	55,924,605	44,177,848	43,197,848	201,380,617

Funding:

Funding Stream	Current Year	2021/22	2022/23	2023/24	Total Project
	Budget (£)				
Grants And Contributions	10,213,678	1,957,821	0	0	12,171,499
Major Repairs Allowance	28,634,255	30,302,843	22,860,000	23,443,000	105,240,098
Revenue Contribution	13,916,864	8,045,419	4,839,240	6,077,000	32,878,523
Usable Capital Receipts	5,315,519	12,103,522	1,908,332	928,198	20,255,571
Unsupported Borrowing	0	3,515,000	14,570,276	12,749,650	30,834,926
Total	58,080,316	55,924,605	44,177,848	43,197,848	201,380,617

Capital Programme HRA 2020/21 to 2024/25

Directorate	Service Area	Service Area	Sub Service	Project Code	Project	Current Year	2021/22	2022/23	2023/24	Full Project Budget
						Budget (£)	Budget (£)	Budget (£)	Budget (£)	Budget (£)
				CJF303	Adapts - OTHERS - Public Major	549,000	0	0	0	549,000
				CJF304	Adapts - LOT1 - Public Major	766,500	0	0	0	766,500
				CJF305	Adapts - LOT2 - Public Major	773,500	0	0	0	773,500
				CJF404	Adapts - LOT1 - Public Minor	150,000	0	0	0	150,000
				CJF405	Adapts - LOT2 - Public Minor	143,000	0	0	0	143,000
				CJFBUN	Public Adapts Bud Unall	0	2,632,000	2,632,000	2,632,000	7,896,000
			Aids and Adaptations (Public S			2,382,000	2,632,000	2,632,000	2,632,000	10,278,000
			Fair Access to All			2,382,000	2,632,000	2,632,000	2,632,000	10,278,000
		Improving Council Housing & Ho	Asbestos	CJQ101	Asbestos-Testing & Removal	400,000	400,000	400,000	0	1,200,000
			Asbestos			400,000	400,000	400,000	0	1,200,000
			District Heating	CJ0602	District Heating Conversions	50,000	0	0	0	50,000
				CJTBUN	District Heating Bud Unall	0	50,000	50,000	0	100,000
			District Heating			50,000	50,000	50,000	0	150,000
			Electrical Board & Bond	CJJ301	WDP - Board and Bond	50,000	0	0	0	50,000
			Electrical Board & Bond			50,000	0	0	0	50,000
				CJEBUN	Environmental Bud Unall	800,000	1,000,000	1,000,000	0	2,800,000
			Environmental Programme			800,000	1,000,000	1,000,000	0	2,800,000
			External Insulation	CJN401	Thermal Improvments	500,000	1,000,000	1,000,000	0	2,500,000
			External Insulation			500,000	1,000,000	1,000,000	0	2,500,000
			Garage Site Investment	CJ0806	Unsustainable Garage Sites	0	250,000	250,000	0	500,000
			Garage Site Investment			0	250,000	250,000	0	500,000
			General Structures	CJM301	Capital Structural Work	750,000	750,000	750,000	0	2,250,000
			General Structures			750,000	750,000	750,000	0	2,250,000
			IHMS (IT System)	CJ1003	HIMS Computer System	1,250,000	1,250,000	0	0	2,500,000
			IHMS (IT System)			1,250,000	1,250,000	0	0	2,500,000
			Improving Council Housing	CJZBUN	Improving Council Housing	0	3,250,000	4,500,000	21,566,848	29,316,848
			Improving Council Housing			0	3,250,000	4,500,000	21,566,848	29,316,848
			Major Voids Capital Prog	CJC101	Fortem - Major Voids	1,250,000	1,250,000	1,250,000	0	3,750,000
				CJC102	Mears - Major Voids	1,250,000	1,250,000	1,250,000	0	3,750,000
			Major Voids Capital Prog			2,500,000	2,500,000	2,500,000	0	7,500,000
			Refurbishments	CJA102	Mears - Site Prelims	450,000	0	0	0	450,000
				CJA119	Aston/Org/Swall Extnals Ph 1	1,650,000	0	0	0	1,650,000
				CJA120	Thrybergh Externals Phase 1	550,000	0	0	0	550,000
				CJA122	Soil Stacks	229,331	0	0	0	229,331
				CJA123	Beeversleigh Concrete Repairs	200,000	0	0	0	200,000
				CJA124	Manor Farm Externals	620,000	0	0	0	620,000
				CJA125	Kilnhurst Externals	1,145,000	0	0	0	1,145,000
				CJA126	Broom Valley Externals	700,000	0	0	0	700,000
				CJA127	Bramley Externals	270,000	0	0	0	270,000
				CJA128	Wickersley Externals	830,000	0	0	0	830,000
				CJA129	Rotherwood Cres Externals	269,136	0	0	0	269,136
				CJA130	Hurley Croft Externals	297,167	0	0	0	297,167
				CJA730	Dinnington FSB/RWG	207,000	0	0	0	207,000
				CJA731	The Lanes Roofing	1,000,000	0	0	0	1,000,000
				CJA734	FRA & Bin stores	500,000	0	0	0	500,000

Directorate	Service Area	Service Area	Sub Service	Project Code	Project	Current Year	2021/22	2022/23	2023/24	Full Project Budget
						Budget (£)	Budget (£)	Budget (£)	Budget (£)	Budget (£)
				CJA401	Mears - Internal-Mears C5 1A	1,000,000	0	0	0	1,000,000
				CJB101	Replacement Windows	300,000	0	0	0	300,000
				CJB102	Fire Doors Replacement	2,100,000	0	0	0	2,100,000
				CJABUN	Refurb Bud Unall	406,328	9,716,848	9,716,848	0	19,840,024
				CJA725	Sprinkler Systems	500,000	0	0	0	500,000
				CJA726	Communals	1,626,000	0	0	0	1,626,000
				CJA732	Communal Walkways	200,000	0	0	0	200,000
				CJA733	Ridgeway maisonette/shops	150,000	0	0	0	150,000
				CJA801	Design & Appraisal	75,000	0	0	0	75,000
				Refurbishments		15,274,962	9,716,848	9,716,848	0	34,708,658
			Replacement of Central Heating	CJJ101	Fortem - Ad Hoc Boiler Repl'ts	1,300,000	1,300,000	1,300,000	0	3,900,000
				CJJ105	Fortem-Boilers Scheme 1	800,000	0	0	0	800,000
				Replacement of Central Heating		2,100,000	1,300,000	1,300,000	0	4,700,000
			Sheltered Housing Communal Are	CJR101	Community Centre Improvements	100,000	100,000	100,000	0	300,000
				Sheltered Housing Communal Are		100,000	100,000	100,000	0	300,000
			Improving Council Housing & Ho			23,774,962	21,566,848	21,566,848	21,566,848	88,475,506
			New Housing Provision	Site Clusters	CJP001	Site Cluster Braithwell	688,918	0	0	688,918
					CJP002	Site Cluster Rotherview Road 1	759,574	0	0	759,574
					CJP003	Site Cluster Rotherview Road 2	1,169,327	0	0	1,169,327
				Site Clusters		2,617,819	0	0	0	2,617,819
				SOAHP delivery	CJP008	Bellows Road SOAHP	838,085	0	0	838,085
					CJP009	Rothwell Grange SOAHP units	2,981,262	0	0	2,981,262
					CJP010	Braithwell Rd SOAHP Bungalows	816,893	0	0	816,893
					CJP012	SOAHP Bungalows - 4 units	210,000	0	0	210,000
					CJG003	HRA Housing Growth Programme – Ph	0	2,290,000	2,290,000	4,580,000
					CJG004	HRA Housing Growth Programme – Ph	0	1,526,000	8,141,000	8,989,000
				SOAHP delivery		4,846,240	3,816,000	10,431,000	8,989,000	28,082,240
				Town Centre Development	CJP100	Millfold House	4,550,611	3,078,177	0	7,628,788
					CJP101	Sheffield road	9,498,451	6,615,650	0	16,114,101
					CJP102	Henleys Site	6,155,764	2,709,173	0	8,864,937
				SOAHP delivery		20,204,826	12,403,000	0	0	32,607,826
				MMC	CJP200	MMC Bungalows	1,952,014	0	0	1,952,014
				MMC		1,952,014	0	0	0	1,952,014
					CJ0124	Pennypiece Lane	440,212	0	0	440,212
					CJH001	Phase 2 acquisitions	1,643,000	4,928,000	0	6,571,000
					CJH002	Phase 3 Acquisitions	0	4,860,000	9,548,000	10,010,000
					CJG001	Phase 2 small sites	219,243	630,757	0	850,000
					CJG002	Phase 2 Netherfield Eastwood	0	5,088,000	0	5,088,000
				Strategic Acquisitions		2,302,455	15,506,757	9,548,000	10,010,000	37,367,212
			New Housing Provision			31,923,354	31,725,757	19,979,000	18,999,000	102,627,111
			Neighbourhood Capital Program			58,080,316	55,924,605	44,177,848	43,197,848	201,380,617
HRA						58,080,316	55,924,605	44,177,848	43,197,848	201,380,617
Summary						58,080,316	55,924,605	44,177,848	43,197,848	201,380,617

Initial Equality Screening Assessment (Part A)

As a public authority we need to ensure that all our strategies, policies, service and functions, both current and proposed have given proper consideration to equality and diversity.

A **screening** process can help judge relevance and provide a record of both the process and decision. Screening should be a short, sharp exercise that determines relevance for all new and revised strategies, policies, services and functions.

Completed at the earliest opportunity it will help to determine:

- the relevance of proposals and decisions to equality and diversity
- whether or not equality and diversity is being/has already been considered, and
- whether or not it is necessary to carry out an equality analysis.

Directorate: Finance and Customer Services	Service area: Finance
Lead person: Rob Mahon	Contact number: 01709 254518

1. Title:

Is this a:

Strategy / Policy

Service / Function

Other

If other, please specify

2. Please provide a brief description of what you are screening

The Council has a framework of budgetary monitoring and reporting that ensures budget management is exercised within annual cash limits.

Each month the Budget Manager receives timely information on income and expenditure to enable them to fulfil their budgetary responsibilities. Following the review of the budget information, each budget manager provides a forecast of their projected outturn position on each service. The Strategic Director subsequently provides a consolidated forecast for their directorate to the Chief Finance Officer and relevant Cabinet Member.

A budget monitoring report, which includes an up-to-date outturn forecast, information about significant variances from approved budgets and proposals for dealing with them, is submitted to Cabinet at least 6 times a year, culminating with

the Councils Financial Outturn report.

Whilst the framework described above relates to revenue budgets, the capital programme is also similarly monitored and reported alongside the Council's revenue position.

The financial monitoring position report summarises the key variances for each directorate and considers the key financial pressures and risks.

Given that the revenue and capital budgets have been approved by Council in February 2019, when equality assessments would have been considered at that time in respect of the budget proposals, there are no further issues in respect of equality and diversity.

3. Relevance to equality and diversity

All the Council's strategies/policies, services/functions affect service users, employees or the wider community – borough wide or more local. These will also have a greater/lesser relevance to equality and diversity.

The following questions will help you to identify how relevant your proposals are.

When considering these questions think about age, carers, disability, gender reassignment, race, religion or belief, sex, sexual orientation and any other relevant characteristics (for example socio-economic status, social class, income, unemployment, residential location or family background and education or skills levels).

Questions	Yes	No
Could the proposal have implications regarding the accessibility of services to the whole or wider community?		X
Could the proposal affect service users?		X
Has there been or is there likely to be an impact on an individual or group with protected characteristics?		X
Have there been or likely to be any public concerns regarding the proposal?		X
Could the proposal affect how the Council's services, commissioning or procurement activities are organised, provided, located and by whom?		X
Could the proposal affect the Council's workforce or employment practices?		X

If you have answered **no** to all the questions above please complete **sections 5 and 6**.

If you have answered **yes** to any of the above please complete **section 4**.

4. Considering the impact on equality and diversity

If you have not already done so, the impact on equality and diversity should be considered within your proposals prior to carrying out an **Equality Analysis**.

Considering equality and diversity will help to eliminate unlawful discrimination, harassment and victimisation and take active steps to create a discrimination free society by meeting a group or individual's needs and encouraging participation.

Please provide specific details for all three areas below and use the prompts for guidance.

- **How have you considered equality and diversity?**
n/a

- **Key findings**
n/a

- **Actions**
n/a

Date to scope and plan your Equality Analysis:	n/a
Date to complete your Equality Analysis:	n/a
Lead person for your Equality Analysis (Include name and job title):	n/a

5. Governance, ownership and approval

Please state here who has approved the actions and outcomes of the screening:

Name	Job title	Date
Judith Badger	Strategic Director – Finance and Customer Services	26 June 2020

6. Publishing

This screening document will act as evidence that due regard to equality and diversity has been given.

If this screening relates to a **Cabinet, key delegated officer decision, Council, other committee or a significant operational decision** a copy of the completed document should be attached as an appendix and published alongside the relevant report.

A copy of **all** screenings should also be sent to equality@rotherham.gov.uk For record keeping purposes it will be kept on file and also published on the Council's Equality and Diversity Internet page.

Date screening completed	23-6-2020
If relates to a Key Delegated Decision, Executive Board, Council or a Significant Operational Decision – report date and date sent for publication	
Date screening sent to Performance, Intelligence and Improvement equality@rotherham.gov.uk	

Committee Name and Date of Committee Meeting

Cabinet – 20 July 2020

Report Title

Community Energy Switching Scheme

Is this a Key Decision and has it been included on the Forward Plan?

No, but it has been included on the Forward Plan

Strategic Director Approving Submission of the Report

Paul Woodcock, Strategic Director of Regeneration and Environment

Report Author(s)

David Rhodes, Environment, Energy and Data Manager
01709 254017 or david.rhodes@rotherham.gov.uk

Ward(s) Affected

Borough-Wide

Report Summary

Following an Official Journal of the European Union (OJEU) procurement process to identify a potential partner to develop a Community Energy Switching Scheme, Cabinet approved the contract award to Robin Hood Energy (RHE) 16th September 2019. Since RHE was awarded the contract a new management team has been enlisted and their business model reviewed and changed. As a result, RHE could not agree to the specification under the terms of the procurement process and so the contract could not be awarded.

The recent tender process has provided a valuable insight into market conditions, and this learning has been taken forward into a revised proposal to re-tender the community energy switching scheme contract and to run an internal switching scheme in parallel with the procurement process to support Rotherham residents and gain essential market data. This report therefore covers the proposed activity for 2020/21 which includes:

- a market engagement and tender process for a new community energy switching scheme;
- an internally delivered switching scheme to run during the tender process; and,

- a Smart Meter project funded by National Energy Association (NEA) which will help to support the switching scheme

Recommendations

1. That it be noted that the previous decision to award the community energy switching scheme to Robin Hood Energy has not been able to be progressed.
2. That approval be given to the re-tendering of the community energy switching scheme contract.
3. That approval be given to the development and implementation of an internal independent community energy scheme to run during the tender process.

List of Appendices Included

Appendix 1 Equalities Initial Impact Assessment

Background Papers

None

Consideration by any other Council Committee, Scrutiny or Advisory Panel

None

Council Approval Required

No

Exempt from the Press and Public

No

Community Energy Switching Scheme

1. Background

- 1.1 At its meeting on 17th December 2018 Cabinet approved the OJEU procurement process to identify a potential partner to develop a community energy switching scheme.

The community energy switching scheme would be open to all Rotherham residents and the aim of the scheme is to reduce the number of households paying high tariffs for gas and electricity, and consequently to reduce fuel poverty. Although the scheme would be open to all residents, it should be particularly beneficial in assisting vulnerable tenants to change to a cheaper tariff. Residents could save up to £300 per year in an average 3-bedroom semi-detached house.

- 1.2 At its meeting on 16th September 2019 Cabinet approved the development of a community energy switching scheme in partnership with Robin Hood Energy. Since RHE was awarded the contract, a new management team has been enlisted and their business model reviewed and changed. As a result of their review, RHE could not agree to the specification and terms & conditions set out in the procurement process and so the contract could not be awarded.

2. Key Issues

- 2.1 Changes to the contract and terms & conditions to comply with RHE requirements would necessitate a material change, which would not comply with procurement regulations and could result in a legal challenge from other organisations that considered submitting a bid.
- 2.2 However, the challenge of fuel poverty remains, and tendering a community energy switching scheme still represents a key opportunity to offer cheaper tariffs to a higher number of residents.
- 2.3 The recent tender process has provided a valuable insight into market conditions, and this learning has been taken forward into a revised proposal.

3. Options considered and recommended proposal

- 3.1 There are two fundamental options that have been considered to take forward the community energy switching scheme:
- 3.2 **Option 1** – Amend the specification and terms & conditions following a market engagement process to attract bids and re-tender the community energy switching scheme contract.
- 3.3 **Option 2** – Develop and run an internal independent community energy scheme based on assisting residents to change tariffs through comparison website approved by OFGEM.

3.4 Recommended proposal and activity for 2020/21

3.4.1 The recommended proposal is to take forward both options, re-tendering a revised contract community energy switching scheme contract for the longer term, and in the shorter term running an internal switching scheme in parallel with the procurement process. This will enable further market testing to see whether a contract which is beneficial for residents is able to be procured and also develop in-house expertise to provide support to residents in the immediacy and which, if further market testing is not successful, could be considered for expansion.

3.4.2 Market engagement and tender process

Re-tendering the contract will provide financial support, marketing support, market expertise and experience, and achieves the aim of securing cheaper utility tariffs for residents.

In preparation for the tender process, a market engagement is underway to ask potential bidders specific market question to ensure the specification is attractive to potential bidders and provides the necessary service to Rotherham residents. The engagement will run for one month and the information gathered will inform further development of the specification.

3.4.3 Internally delivered switching scheme

An internal switching scheme is being developed to run alongside the procurement process. This shorter-term activity will provide immediate support to Rotherham residents and will gain essential market data, networks and reputation in order to inform and test the quality of the tender process.

- 3.4.4 - The scheme would be communicated and promoted through the council's communication channels including staff briefings; Council newsletters; void property information packs; social media and Council website.
- Support would be provided to help households understand their invoice; compare with potential offers and assist in direct switching.
 - Resources used would include comparison sites such as Money Supermarket, U-switch or other comparison tools recommended by Ofgem.
 - Customers would be informed that any contract is between the supplier and the household, not the Council. A record will be maintained on numbers switched, type of house etc. to provide essential market information for a future partnership scheme.
 - Events would be arranged to promote the scheme (subject to Covid-19 guidelines).

3.4.5 Smart Meter Projects

The Council has also secured a National Energy Association (NEA) grant to fund a scheme that communicates the benefits of smart meters to residents aged 65 and over in Rotherham. The project will run 1st August to 1st December 2020. The data, networks, contacts and reputation from the scheme will also support any future community energy switching scheme.

4. Consultation on proposal

4.1 No additional consultations have been carried

5. Timetable and Accountability for Implementing this Decision

5.1 If approval is given to the recommendation as presented above, this will be actioned immediately through:

1. Completion of market analysis in July 2020.
2. Final Amendment of T&Cs, Specification and final consultation with legal, procurement and Finance 31st July 2020.
3. Procurement process and contract award 1st August 2020 – February 2021.
4. Cabinet report for award approval February 2021.
5. Award of contract February 2021.
6. Initial inception meeting; contract / service development February 2021 – March 2021.
7. Contract commencement and scheme launch April 2021.

All dates are projected and circumstances may accelerate or delay the development of the scheme.

6. Financial and Procurement Advice and Implications

6.1 In the report presented to Cabinet in September 2019 it was explained that, based on the procurement exercise undertaken, the development of a community energy switching scheme was not financially self-supporting. The analysis at that time demonstrated that the proposal would result in a small financial deficit over a 3 year period (less than £5k, in year one and unlikely to exceed £16k in any one year) if the projected take-up figures were achieved. The deficit would increase if take up is lower and decrease if take up is higher than the projected figures. It was explained that if a small deficit did arise it would be contained within the overall Directorate budget.

6.2 The new proposal means that delivering the scheme will cost £81,000 over a three year period requiring a Community Energy Officer and a small marketing, communication and administration budget. Funding is available from NEA Smart Energy GB grant (£14k), supplier referral income and approved budget within Asset Management. In the intervening period since the original procurement exercise the funding conditions have become less favourable resulting in a potentially higher deficit, changing from £23k net to £39k net (with up to a £18k deficit in year one but unlikely to exceed £11k in any of the other two years). This plan is based on prudent assumptions. Expectations of further NEA style funding is high but any deficit funding will be contained within the overall Directorate budget

6.3 The Procurement Service will support the re-tendering process and the results of the market engagement activity currently underway will be incorporated into a full procurement business case to ensure a robust plan is in place for this project and that all risks have been assessed and routes to

market appraised to ensure the replacement contract will be successful and deliver against expectations. The replacement tender will also include a social value assessment against the Themes, Outcomes and Measures within the Social Value Policy.

- 6.4 All procurement activity will be carried out in accordance with UK Public Contract Regulations 2015 and the applicable EU public procurement law.

7. **Legal Advice and Implications**

- 7.1 As with the previous exercise, any re-procurement should be conducted as a concession opportunity. If the value to the successful operator is over £5m, then the Council would need to comply with the Concession Contracts Regulations 2016. If the value is below that threshold, those regulations would not apply. However, the procurement procedure would still need to meet normal public procurement requirements of transparency, equal treatment etc. As with the previous procurement exercise, Legal Services should be instructed to prepare a suitable contract for this procurement exercise.

- 7.2 In relation to the temporary internally delivered switching scheme, the Council would have the power to operate this scheme non-commercially under its charging powers under the section 93 of the Local Government Act 2003.

8. **Human Resources Advice and Implications**

- 8.1 The recruitment of a Community Energy Officer is complete and the revenue gained from the scheme helps to fund the post.

9. **Implications for Children and Young People and Vulnerable Adults**

- 9.1 The scheme should benefit households to reduce the cost of energy and reduce fuel poverty.

10. **Equalities and Human Rights Advice and Implications**

- 10.1 An initial Equalities Impact Assessment has been carried out and will be updated as more data, information and actions are obtained.

11. **Implications for Ward Priorities**

- 11.1 The energy supply offer will be made available to all households in Rotherham.

12. **Implications for Partners**

- 12.1 The implications for partners are positive with a service for residents and the reduction of fuel poverty.

12.2 The success of the scheme will require support from all relevant Council directorates to communicate and engage with hard to reach and vulnerable tenants, to identify and change to a cheaper tariff.

13. Risks and Mitigation

13.1. The Council or supplier cannot guarantee that a given tariff will always be the cheapest. Online comparison sites may (but not always) provide the best saving, however, a community energy switching scheme is aimed at residents that are unable or not confident enough to switch energy providers. The energy supply market is volatile and the cheapest market price can be superseded the next day by another provider.

13.2 There is a reputational risk for the Council if there are complaints about billing and the Council may receive criticism for any price increases set by the supplier. Customer service and customer retention performance would be regularly monitored.

13.3 There is a financial risk to the Council if the number of residents that sign up to the scheme is insufficient to cover the staff, marketing and administration costs. The energy cap introduced by the Government/OFGEM will increase the risk and householders may believe the cap results in the best saving. Marketing and communication will address this.

13.4 This will be a concession contract, so the majority of the risk is with the supplier.

14. Accountable Officers

David Rhodes, Environment, Energy and Data Manager
Jonathon Marriott, Head of Asset Management

Approvals obtained on behalf of Statutory Officers:-

	Named Officer	Date
Chief Executive	Sharon Kemp	29/06/20
Strategic Director of Finance & Customer Services (S.151 Officer)	Judith Badger	29/06/20
Head of Legal Services (Monitoring Officer)	Stuart Fletcher	29/06/20

*Report Author: David Rhodes, Environment, Energy and Data Manager
01709 254017 david.rhodes@rotherham.gov.uk*

This report is published on the Council's [website](#).

PART A - Initial Equality Screening Assessment

As a public authority we need to ensure that all our strategies, policies, service and functions, both current and proposed have given proper consideration to equality and diversity.

A **screening** process can help judge relevance and provide a record of both the process and decision. Screening should be a short, sharp exercise that determines relevance for all new and revised strategies, policies, services and functions.

Completed at the earliest opportunity it will help to determine:

- the relevance of proposals and decisions to equality and diversity
- whether or not equality and diversity is being/has already been considered, and
- whether or not it is necessary to carry out an Equality Analysis (Part B).

Further information is available in the Equality Screening and Analysis Guidance – see page 9.

1. Title	
Title: Community Energy Switching Scheme	
Directorate: Regeneration and Environment	Service area: Asset Management
Lead person: David Rhodes	Contact number: 01709 254017
Is this a:	
<input type="checkbox"/> Strategy / Policy	<input checked="" type="checkbox"/> Service / Function
	<input type="checkbox"/> Other
If other, please specify	

2. Please provide a brief description of what you are screening
<p>A community energy switching scheme which aims to reduce the cost of living for people by getting a fair price on gas and electricity.</p> <p>The community energy switching scheme would be open to all Rotherham residents and the aim of the scheme is to reduce the number of households paying high tariffs for gas and electricity, and consequently to reduce fuel poverty. Although the scheme would be open to all residents, it should be particularly beneficial in assisting vulnerable tenants to change to a cheaper tariff.</p>

3. Relevance to equality and diversity

All the Council's strategies/policies, services/functions affect service users, employees or the wider community – borough wide or more local. These will also have a greater/lesser relevance to equality and diversity.

The following questions will help you to identify how relevant your proposals are.

When considering these questions think about age, disability, sex, gender reassignment, race, religion or belief, sexual orientation, civil partnerships and marriage, pregnancy and maternity and other socio-economic groups e.g. parents, single parents and guardians, carers, looked after children, unemployed and people on low incomes, ex-offenders, victims of domestic violence, homeless people etc.

Questions	Yes	No
Could the proposal have implications regarding the accessibility of services to the whole or wider community? <i>(Be mindful that this is not just about numbers. A potential to affect a small number of people in a significant way is as important)</i>		X
Could the proposal affect service users? <i>(Be mindful that this is not just about numbers. A potential to affect a small number of people in a significant way is as important)</i>		X
Has there been or is there likely to be an impact on an individual or group with protected characteristics? <i>(Consider potential discrimination, harassment or victimisation of individuals with protected characteristics)</i>		X
Have there been or likely to be any public concerns regarding the proposal? <i>(It is important that the Council is transparent and consultation is carried out with members of the public to help mitigate future challenge)</i>		X
Could the proposal affect how the Council's services, commissioning or procurement activities are organised, provided, located and by whom? <i>(If the answer is yes you may wish to seek advice from commissioning or procurement)</i>		X
Could the proposal affect the Council's workforce or employment practices? <i>(If the answer is yes you may wish to seek advice from your HR business partner)</i>		X

If you have answered no to all the questions above, please explain the reason

A community energy switching scheme aims to reduce the cost of living for people by getting a fair price on gas and electricity, which will be open to all communities and groups which will have a positive financial impact upon consumers.

If you have answered **no** to all the questions above please complete **sections 5 and 6**.

If you have answered **yes** to any of the above please complete **section 4**.

4. Considering the impact on equality and diversity

If you have not already done so, the impact on equality and diversity should be considered within your proposals before decisions are made.

Considering equality and diversity will help to eliminate unlawful discrimination, harassment and victimisation and take active steps to create a discrimination free society by meeting a group or individual's needs and encouraging participation.

Please provide specific details for all three areas below using the prompts for guidance and complete an Equality Analysis (Part B).

- **How have you considered equality and diversity?**

(think about the scope of the proposal, who is likely to be affected, equality related information, gaps in information and plans to address, consultation and engagement activities (taken place or planned) with those likely to be affected)

- **Key findings**

(think about any potential positive and negative impact on different equality characteristics, potential to promote strong and positive relationships between groups, potential to bring groups/communities into increased contact with each other, perception that the proposal could benefit one group at the expense of another)

- **Actions**

(think about how you will promote positive impact and remove/reduce negative impact)

Date to scope and plan your Equality Analysis:

Date to complete your Equality Analysis:

Lead person for your Equality Analysis
(Include name and job title):

5. Governance, ownership and approval

Please state here who has approved the actions and outcomes of the screening:

Name	Job title	Date
David Rhodes	Environment, Energy and Data Manager	29.06.2020
Jonathan Marriott	Head of Asset Management	29.06.2020

6. Publishing

This screening document will act as evidence that due regard to equality and diversity has been given.

If this screening relates to a **Cabinet, key delegated officer decision, Council, other committee or a significant operational decision** a copy of the completed document should be attached as an appendix and published alongside the relevant report.

A copy of **all** screenings should also be sent to equality@rotherham.gov.uk For record keeping purposes it will be kept on file and also published on the Council's Equality and Diversity Internet page.

Date screening completed	29 June 2020
Report title and date	Community Energy Switching Scheme Cabinet – 13 July 2020
If relates to a Cabinet, key delegated officer decision, Council, other committee or a significant operational decision – report date and date sent for publication	Report Date 13 July 2020 – Date sent for publications 29 June 2020
Date screening sent to Performance, Intelligence and Improvement equality@rotherham.gov.uk	29 June 2020

Committee Name and Date of Committee Meeting

Cabinet – 20 July 2020

Report Title

Consultation on Public Spaces Protection Orders – Town Centre and Borough Wide Dog Fouling

Is this a Key Decision and has it been included on the Forward Plan?

Yes

Strategic Director Approving Submission of the Report

Paul Woodcock, Strategic Director of Regeneration and Environment

Report Author(s)

Lewis Coates, Service Manager Regulation and Enforcement
01709 823117, lewis.coates@rotherham.gov.uk

Ward(s) Affected

Dog Fouling – Borough Wide
Town Centre – Boston Castle

Report Summary

The current Town Centre Public Spaces Protection Order and the transitioned borough-wide dog fouling Public Spaces Protection Order expire in September and October 2020 respectively. This report proposes to undertake consultation to establish views in relation to Public Spaces Protection Orders and support a future decision about these Public Spaces Protection Orders. Views will be sought about the conditions that might be included within both Orders at the same time.

Recommendations

1. That approval be given to carry out a consultation in relation to a new Town Centre Public Spaces Protection Order.
2. That approval be given to carry out a consultation in relation to a Borough wide Public Spaces Protection Order specifically dealing with dog fouling.

List of Appendices Included

- Appendix 1 Dog Fouling Complaints and Fines
- Appendix 2 South Yorkshire Police Anti-Social Behaviour Analysis
- Appendix 3 Public Spaces Protection Orders Consultation Plan
- Appendix 4 Initial Equality Screening Assessment

Background Papers

Cabinet and Commissioners' Decision Making Meeting – 11 September 2017
(<https://modern.gov.rotherham.gov.uk/documents/s111846/Introduction%20of%20a%20Public%20Spaces%20Protection%20Order%20PSPO%20for%20Rotherham%20Town%20Centre.pdf>)

*Anti-social Behaviour, Crime and Policing Act 2014: Anti-social behaviour powers
Statutory guidance for frontline professionals*, Home Office, August 2019

Anti-social Behaviour, Crime and Policing Act 2014

Consideration by any other Council Committee, Scrutiny or Advisory Panel

None

Council Approval Required

No

Exempt from the Press and Public

No

Consultation on Public Spaces Protection Orders – Town Centre and Borough Wide Dog Fouling

1. Background

- 1.1 The Anti-Social Behaviour, Crime and Policing Act 2014 created powers to introduce Public Spaces Protection Orders (PSPOs) in order to prevent individuals or groups committing anti-social behaviour in public spaces.
- 1.2 The introduction of these powers superseded previous legislation, such as Dog Control Orders, contained within the Clean Neighbourhoods and Environment Act 2005. This allowed that the Council's existing Dog Control Order, which prohibited the fouling of land by dogs, was transitioned into a PSPO. This PSPO will expire on 19th October 2020.
- 1.3 On 11th September 2017, Cabinet and Commissioners Decision Making Meeting agreed the implementation of a new Public Spaces Protection Order from 1st October 2017, prohibiting a number of behaviours in the town centre that are considered to be anti-social behaviour. This is effective until the Order expires on 30th September 2020.

2. Key Issues

- 2.1 The Anti-Social Behaviour, Crime and Policing Act 2014 provides for PSPOs, including those that are transitioned: to be extended by three years; to be varied; and to be discharged, alongside the powers to introduce new Orders.
- 2.2 The Act requires that, where Orders are to be introduced or extended, consultation must be undertaken with:
 - The Chief Officer of Police, and the local policing body, for the police area that includes the restricted area;
 - Whatever community representatives the local authority thinks it appropriate to consult;
 - The owner or occupier of land within the restricted area.
- 2.3 In addition, the Act also stipulates that necessary publicity must be undertaken which means:
 - In the case of a proposed order or variation, publishing the text of it
 - In the case of a proposed extension or discharge, publicising the proposal.
- 2.4 Moreover, the Council is obliged to notify the parish council or community council, of the proposed order, extension, variation or discharge.
- 2.5 Enforcement of the transitioned Order for dog fouling has been by Council officers and through Service Level Agreement arrangements with Doncaster Council. Whilst South Yorkshire Police are authorised to undertake dog fouling enforcement, they do not currently undertake this activity. The Town Centre Order has been enforced by South Yorkshire Police and Council Officers.

- 2.6 Incidents of Anti-Social Behaviour (ASB) in the town centre and complaints of dog fouling across the Borough have been declining since 2016. Whilst this is encouraging, it is important to recognise that the issues remain and suitable tools and powers still need to be in place to tackle the problems as they arise. Complaints relating to rowdy or inconsiderate behaviour remain significant as a proportion of anti-social behaviour incidents recorded by South Yorkshire Police in the town centre and Public Spaces Protection Orders provide the tools for officers to tackle the problems.
- 2.7 The Council and partners continue to receive complaints relating to the town centre from business, Councillors and visitors alike. In addition, the town centre is a priority for crime reduction across the partnership. Moreover, the Barnsley and Rotherham Chamber of Commerce have raised concerns around activities in the town centre that affect business and the attractiveness of the town to visitors.
- 2.8 The town centre is a mixed area, including residential, with regeneration and development projects planned and completed, together with the implementation for the town centre masterplan already underway. A key part of the masterplan implementation is additional residential developments in and around the town centre. It is important that the Council and partners support residents and improvements through robust measures to tackle anti-social behaviour.
- 2.9 Although the reports made to the Council of dog fouling have reduced over the years, the issue remains an important issue to local communities where both risks to health and physical repulsion are significant. Complaints from Councillor, Parish and Town Councils and residents continue to highlight areas of concern. Appendix 1 provides details of the complaints received by the Council and the fines issued over the past five years. In addition, the spread of complaints demonstrated in the data, shows that this is not a localised problem but rather occurs throughout the Borough.
- 2.10 South Yorkshire Police Data, attached at Appendix 2, provides analysis of the overall trends in ASB in the town centre across time. This analysis shows a reduction in the number of incidents since the PSPO was introduced. However, issues remain, with rowdy/inconsiderate behaviour being the most significant categorisation of problems and accounting for 62% of all complaints. The next most prevalent problems are begging/vagrancy at 9% and nuisance vehicles at 7% of all complaints.
- 2.11 It is important to note that Public Spaces Protection Orders guidance states that they:
- “... should not be used to target people based solely on the fact that they are homeless or rough sleeping, as this in itself is unlikely to mean that their behaviour is having an unreasonably detrimental effect on the community’s quality of life which justifies imposing restrictions using a PSPO. ... These Orders should be used only to address any specific behaviour that is causing a detrimental effect on the community’s quality of life which is within the control of the person concerned. Councils should therefore consider carefully the nature of any potential Public Spaces Protection Order that may impact on*

homeless people and rough sleepers ... The council should also consider consulting with national or local homeless charities when considering restrictions or requirements which may impact on homeless people and rough sleepers.”

- 2.12 Since the measures were introduced a total of 168 fines for breaches of the Town Centre Public Spaces Protection Order have been issued to 106 individuals. Six cases have progressed to court with successful convictions resulting in penalties and costs imposed of £2,180. The most common behaviours which have attracted penalties include use of foul and offensive language, street drinking, urinating, and causing alarm and distress.
- 2.13 Over the duration of the transitioned Dog Fouling PSPO, since October 2019, 39 fines have been issued to individuals who have allowed their dogs to foul on land open to the public and have not cleared up after.
- 2.14 The Town Centre PSPO has been regularly reviewed by Councillors through the Council’s Improving Places Select Commission, initially in January 2019 and subsequently in March 2020. The latest review made the following recommendations and as a result, the committee will be engaged within the consultation process:
1. That the report be noted.
 2. That the results of the upcoming analysis in summer 2020 be submitted to Improving Places Select Commission for scrutiny with the goal of making recommendations about a possible further order in the Town Centre.

3. Options considered and recommended proposal

- 3.1 The Council could take the view that the town centre PSPO and the transitioned dog fouling Public Spaces Protection Order have served their purpose and that the downward trend in reported ASB and dog fouling is sufficient to negate the need to have PSPOs in place. It is not however considered that this would be a preferred option given that the issues remain, albeit on a downward trend, and the lack of a PSPO could have a negative impact on the positive trajectory. In addition, the PSPO offers the Council and partners tools to tackle very specific problems, without which effective responses to these types of behaviours are limited.
- 3.2 The consultation process is a statutory requirement prior to implementation of a PSPO. The consultation process will enable the Council to collate information about the nature and impact of ASB and to make an informed decision as to whether a PSPO is appropriate and proportionate in the circumstances. The consultation will form part of the evidence gathering in order to establish whether the conditions set out in the legislation, which are to be satisfied, before making a PSPO, are met. It will guide the Council as to the types of measures that should remain in place as part of a new PSPO and what, if any, new measures should be introduced.

4. **Consultation on proposal**

4.1 It is proposed that the consultation will commence on Monday 27th July 2020 and end on Sunday 23rd August 2020.

4.2 Consultees will include amongst others, South Yorkshire Police, Police and Crime Commissioner, Ward Members, relevant RMBC teams, voluntary, faith and community organisations, businesses, and the public.

4.3 The consultation will focus on the existing conditions, together with identifying any emerging issues that may be of concern to partners and the public. The following issues will be consulted upon:

- **Town Centre PSPO**

- Acting in a way that causes or may cause harassment, alarm or distress
- Approaching people for marketing or fund-raising purposes, without a license
- Dropping litter
- Keeping dogs on a lead (other than in the designated dog exercise areas in Clifton Park)
- Urinating or defecating in public
- Spitting
- Drinking alcohol when not within the boundaries of a licenced premise

- **Borough Wide Dog Fouling PSPO**

- Failing to remove and appropriately dispose of dog faeces

4.4 A consultation plan is attached at **Appendix 3**.

5. **Timetable and Accountability for Implementing this Decision**

5.1 It is proposed that the consultation will begin on 27th July 2020 and end on 23rd August 2020.

5.2 Following consultation, a report will be presented to Cabinet in September 2020 detailing the findings of the consultation and any recommendations that may be required.

5.3 Tom Smith, Assistant Director Community Safety and Street Scene, and Sam Barstow, Head of Service Community Safety and Regulatory Services, will be accountable for implementing this decision.

6. **Financial and Procurement Advice and Implications**

6.1 The majority of this proposed consultation exercise will be undertaken on-line, so costs associated with this process will be limited to IT costs and minimal printing and postage costs. The overall cost of this consultation exercise is estimated to be no higher than £2k and will be contained within the Service's approved revenue budget.

7. Legal Advice and Implications

7.1 The power to introduce a Public Spaces Protection Order is set out in the Anti-Social Behaviour, Crime and Policing Act 2014. The Act gives the Council the authority to draft and implement a Public Spaces Protection Order in response to particular issues affecting the community, provided it is satisfied on reasonable grounds that two conditions are met.

The first condition is that:

- (a) activities carried on in a public place within the authority's area have had a detrimental effect on the quality of life of those in the locality, or
- (b) it is likely that activities will be carried on in a public place within that area and that they will have such an effect.

The second condition is that the effect, or likely effect, of the activities:

- (a) is, or is likely to be, of a persistent or continuing nature,
- (b) is, or is likely to be, such as to make the activities unreasonable, and
- (c) justifies the restrictions imposed by the notice.

7.2 The Council will need to weigh up the all evidence in order be satisfied that the above conditions are met and to be satisfied that the Public Spaces Protection Order is necessary and proportionate in the circumstances.

7.3 The Act itself sets out the ability to challenge the validity of any Order and so it is vital the Council follows the correct process in terms of the implementation of the Order and this includes the requirement to consult. The Council must carry out the necessary consultation and the necessary publicity, and the necessary notification (if any), before:

- (a) making a public spaces protection order,
- (b) extending the period for which a public spaces protection order has effect, or
- (c) varying or discharging a public spaces protection order.

The Council must consult with:

- (a) the chief officer of police, and the local policing body, for the police area that includes the restricted area;
- (b) whatever community representatives the local authority thinks it appropriate to consult;
- (c) the owner or occupier of land within the restricted area (this does not apply to land that is owned and occupied by the local authority and applies only if, or to the extent that, it is reasonably practicable to consult the owner or occupier of the land).

7.4 The Council must also comply with the necessary publicity and notification requirements set out in the Act. The necessary publicity means

- (a) in the case of a proposed order or variation, publishing the text of it;
- (b) in the case of a proposed extension or discharge, publicising the proposal;

The necessary notification requirements means notifying the following authorities of the proposed order, extension, variation or discharge:

- (a) the parish council or community council (if any) for the area that includes the restricted area;
- (b) in the case of a public spaces protection order made or to be made by a district council in England, the county council (if any) for the area that includes the restricted area.

7.5 Any Order can last for a maximum of 3 years, unless extended under the provisions of the Act, and any such Order can be varied and/or discharged at any time.

7.6 The Council will need to consider the potential impact of a Public Spaces Protection Order on different sections of the community. Any Order should comply with the requirements of the public sector equality duty under the Equalities Act 2010. Although not contained with the legislation, the Council should carry out an Equalities Impact Assessment to establish whether any proposed Public Spaces Protection Order will have a disparate impact on groups with protected characteristics. The Council will need to ensure that any such groups are properly consulted where possible.

8. **Human Resources Advice and Implications**

8.1 There are no direct Human Resources implications arising from this report.

9. **Implications for Children and Young People and Vulnerable Adults**

9.1 There are no Children and Young People or Vulnerable Adults implications contained within or arising from the report.

10. **Equalities and Human Rights Advice and Implications**

10.1 There are no Equalities and Human Rights Advice implications contained within or arising from the report.

10.2 An Initial Screening Assessment has been included at **Appendix 4**. A full Equality Impact Assessment will be undertaken prior to support the decision in the light of the consultation results.

11. **Implications for Ward Priorities**

11.1 The consultation touches on key Ward priorities relating to safe and clean agendas and subsequently it is likely that the consultation proposed will generate interest in most Wards and in particular Boston Castle and those Wards with Parish or Town Councils.

11.2 Ward Members, Parish and Town Councils, will be consulted in accordance with the requirements of the Anti-Social Behaviour, Crime and Policing Act 2014, following the attached Consultation Plan at **Appendix 3**.

12. **Implications for Partners**

12.1 South Yorkshire Police are a key partner in delivery of the requirements of Public Spaces Protection Orders.

12.2 Businesses, particularly those within the town centre are likely to have some interest in ensuring that any impacts on the town centre from unacceptable behaviours, are made known to the Council, and in particular where these behaviours might affect the attractiveness of Rotherham as a place to do business or visit.

12.3 South Yorkshire Police, the Police and Crime Commissioner, and Barnsley and Rotherham Chamber of Commerce will be consulted under the provisions of the Anti-Social Behaviour, Crime and Policing Act 2014, following the attached Consultation Plan at **Appendix 3**.

13. Risks and Mitigation

13.1. The consultation may highlight further problems that may need to be addressed beyond what the consultation includes. Consequently, there will be a need to review such issues and consider them as part of the later proposed consultation report to Cabinet.

13.2 The findings from consultation must be considered in the context of the staff and resources available to enforce any provisions that are suggested in order to ensure that any measures that may be desired can be included in a meaningful way.

14. Accountable Officers

Tom Smith, Assistant Director Community Safety and Street Scene
Sam Barstow, Head of Service, Community Safety and Regulation

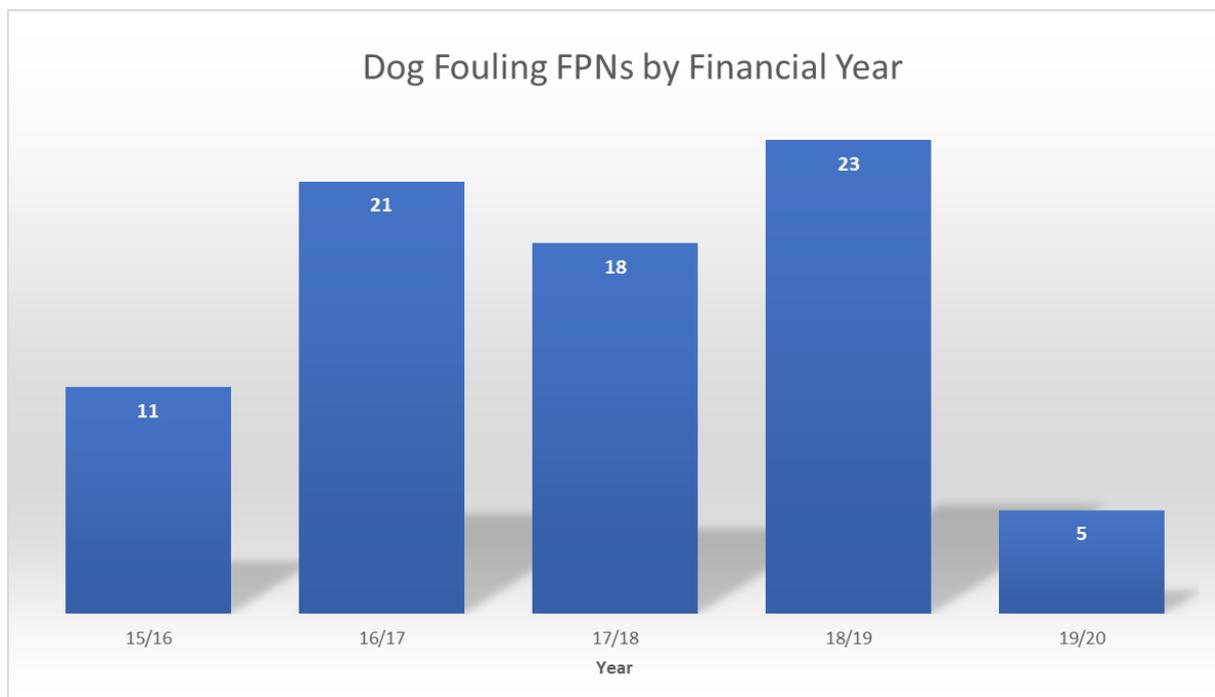
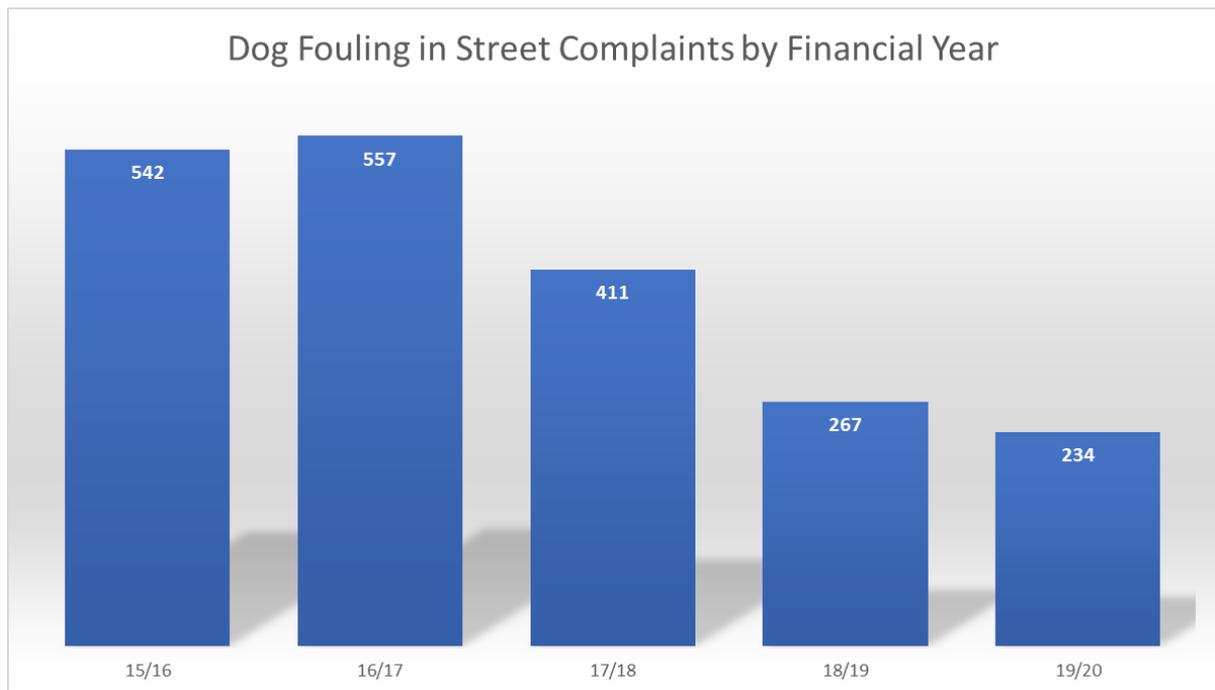
Approvals obtained on behalf of Statutory Officers:-

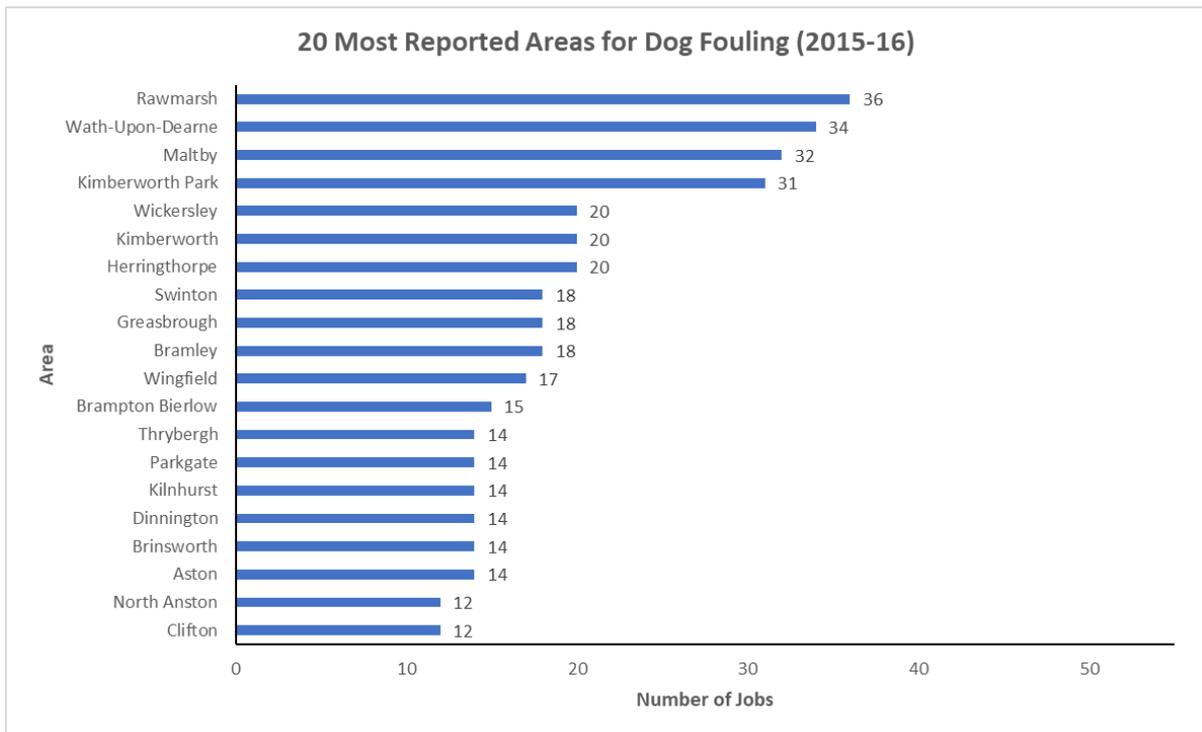
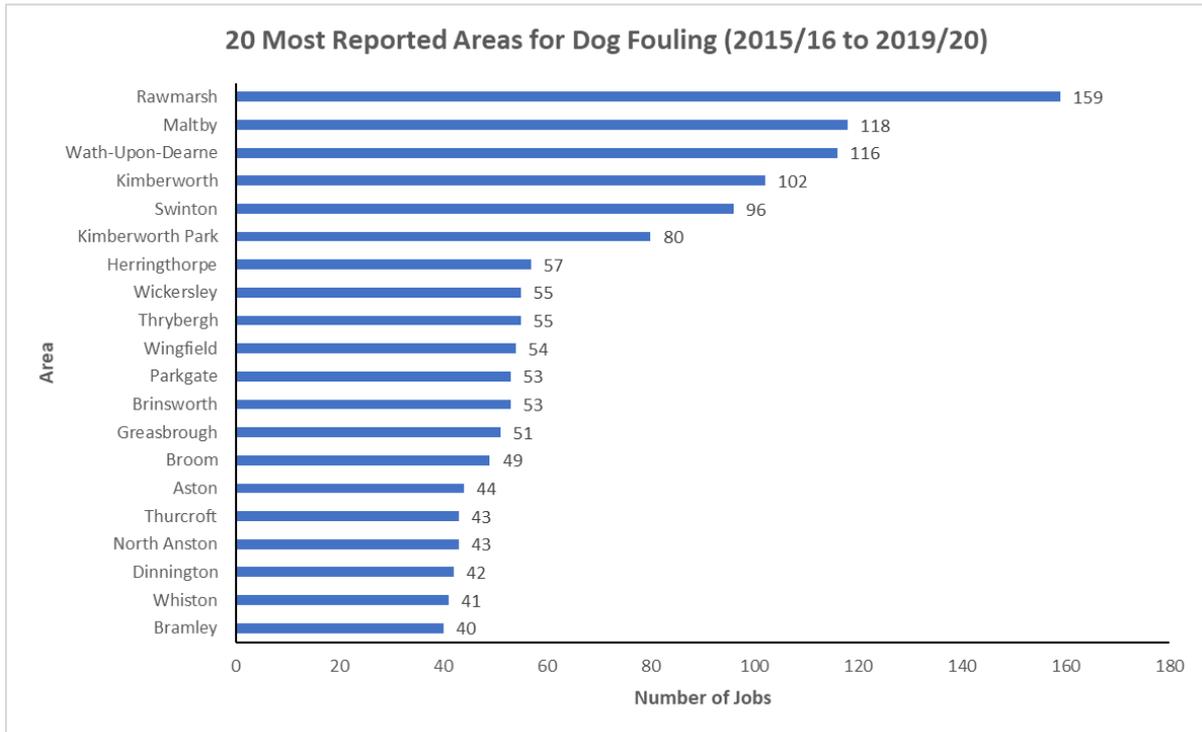
	Named Officer	Date
Chief Executive	Sharon Kemp	03/07/20
Strategic Director of Finance & Customer Services (S.151 Officer)	Judith Badger	03/07/20
Head of Legal Services (Monitoring Officer)	Stuart Fletcher	03/07/20

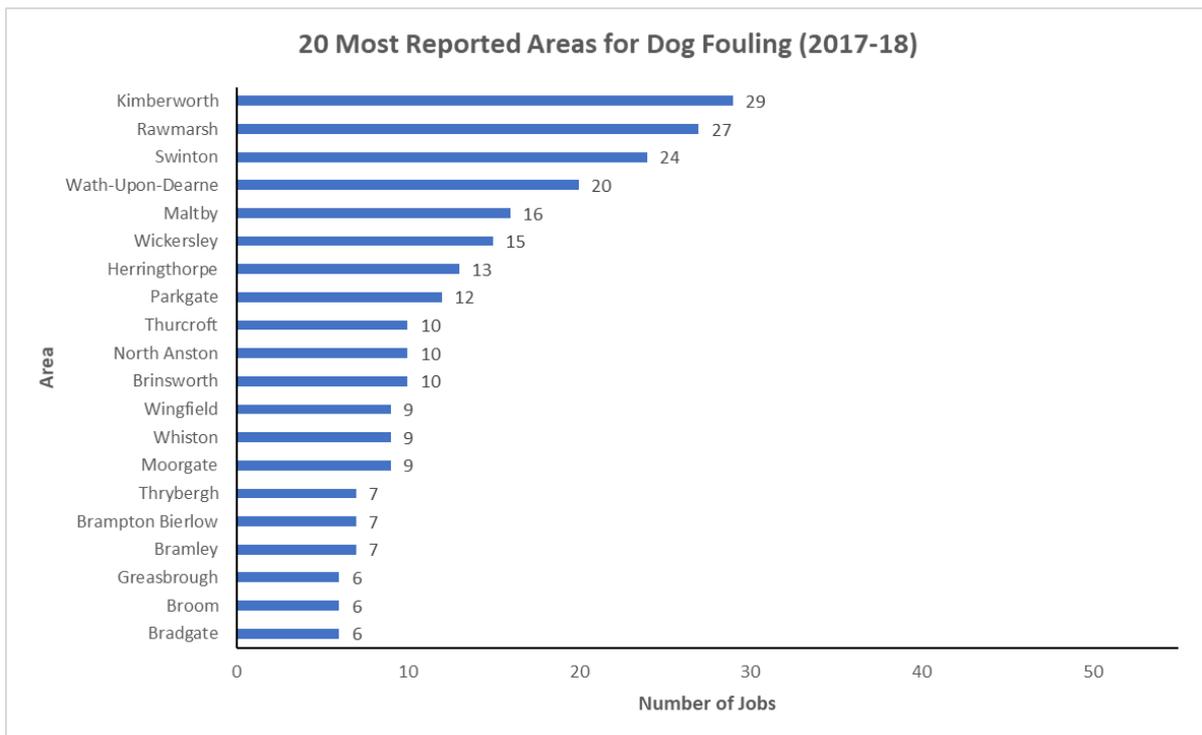
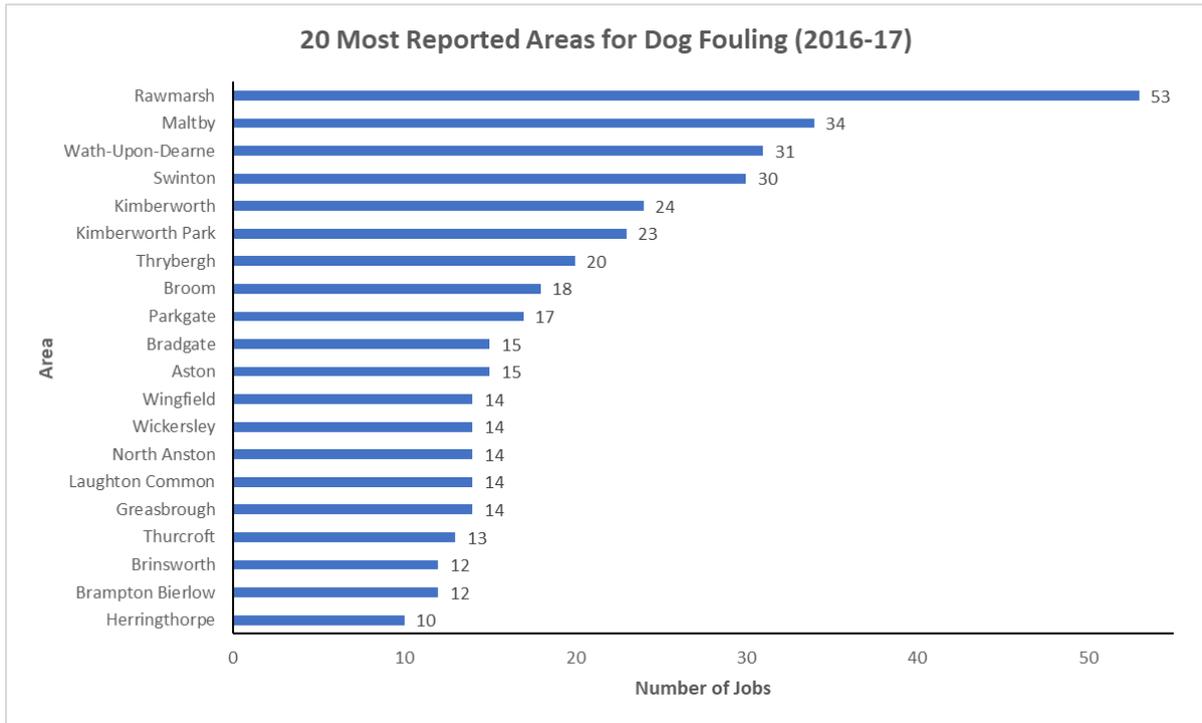
*Report Author: Lewis Coates, Service Manager Regulation and Enforcement
01709 823117, lewis.coates@rotherham.gov.uk*

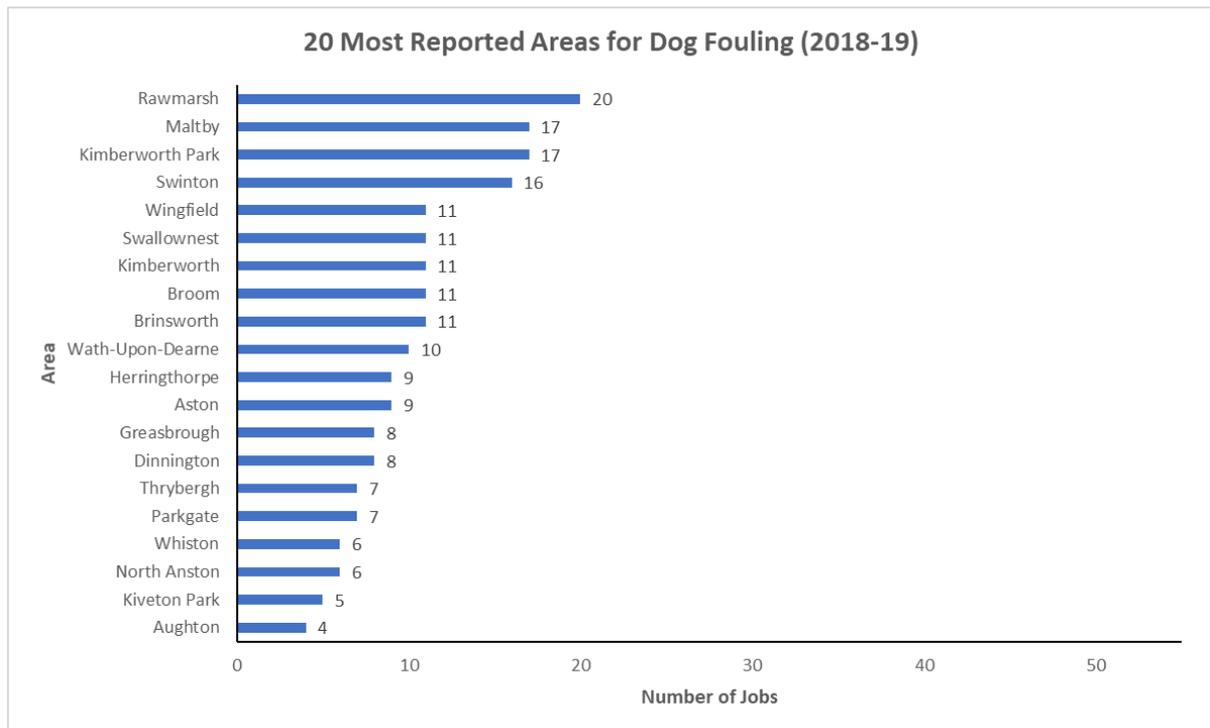
This report is published on the Council's [website](#).

Appendix 1 - Dog Fouling Complaints and Fines









Appendix 2: South Yorkshire Police Anti-Social Behaviour Analysis

OFFICIAL



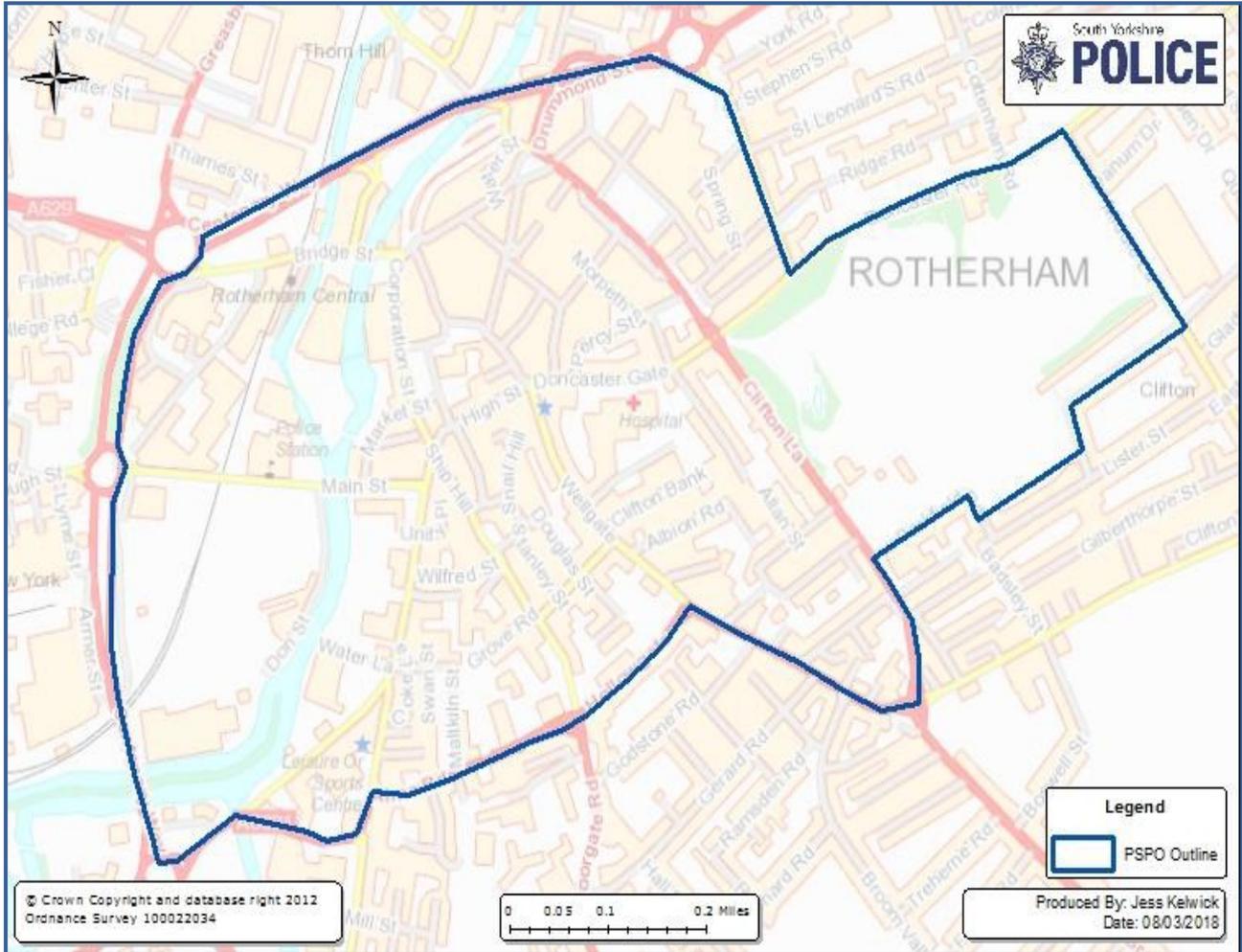
Force Intelligence Analyst Unit

Rotherham Town Centre PSPO Update

Compiled by	Jenna Lee	Owner	Sam Barstow (RMBC)
EXT	01709 832653	Ref	AN20MAY24
Data Period	01/10/2016 – 30/04/2020	Protective Marking & Handling Instructions	Official
Aim & Purpose	The aim is to provide an update of ASB incidents committed within the Town Centre PSPO area since the last review updated up to 28 th July 2019.		
Sources & Parameters	Data is extracted from Procad and Smart Contact and processed through ArcGIS/ESRI software.		
Limitations	<p>Due to the methods of data extraction and anomalies with the geocoding of data, any figures provided within this report should be treated as unaudited. The force has a clear policy on the issuing of unaudited data externally and should you wish to share this data externally you take full responsibility for doing so.</p> <p>This report is the position of the South Yorkshire Police as of 21st May 2020. Any data prior to 13th November 2018 was sourced from Procad. The latest data used within this report was sourced from Smart Contact and extracted on 21st May 2020. Any changes to the data used following this date will not be captured within the report. Therefore if the data held within the report is required for use elsewhere in the future due to the Retain, Review, Delete requirements of MOPI additional checks may be required to ensure accuracy of the information.</p> <p>All the maps within this document, original representations or otherwise, are reproduced from the Ordnance Survey mapping with the permission of the Controller of Her Majesty's Stationery Office Crown Copyright. Unauthorised reproduction infringes Crown Copyright and may lead to prosecution or civil proceedings. SYP Licence No. PA 0103. Geocoding is not always accurate and as such there may be anomalies in the data.</p>		

Methodology and Limitations

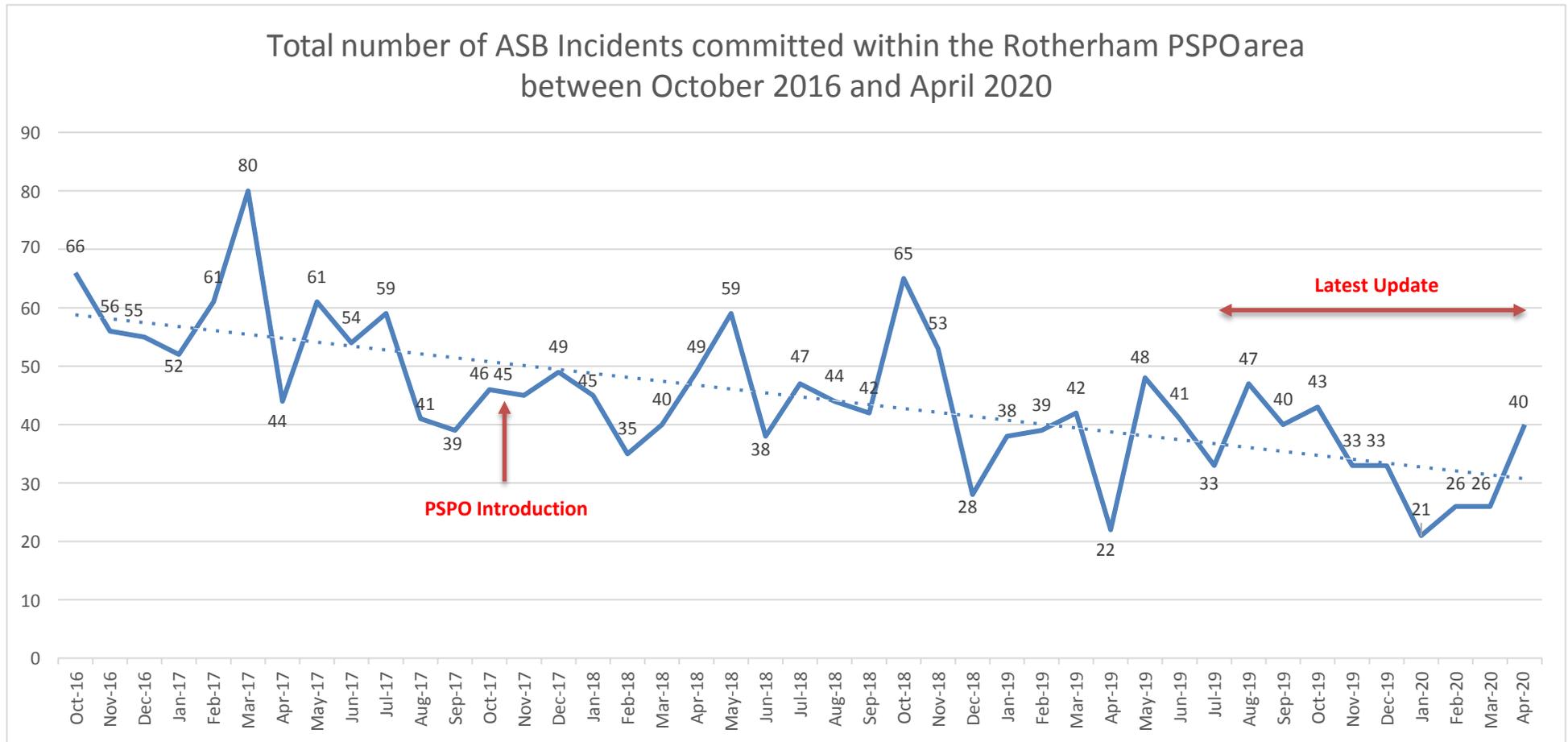
ASB incident data has been extracted for the whole of Rotherham. Then filtered to isolate incidents committed within the 'Public Spaces Protection Order' (PSPO) area for analysis. The defined PSPO shape is shown below and has been drawn using Arc Mapping software following receipt of an illustrative map from RMBC on the 28th February 2018. Due to recording practices and human error, some ASB may have been incorrectly included or excluded from the PSPO; however, every effort has been taken to avoid this.



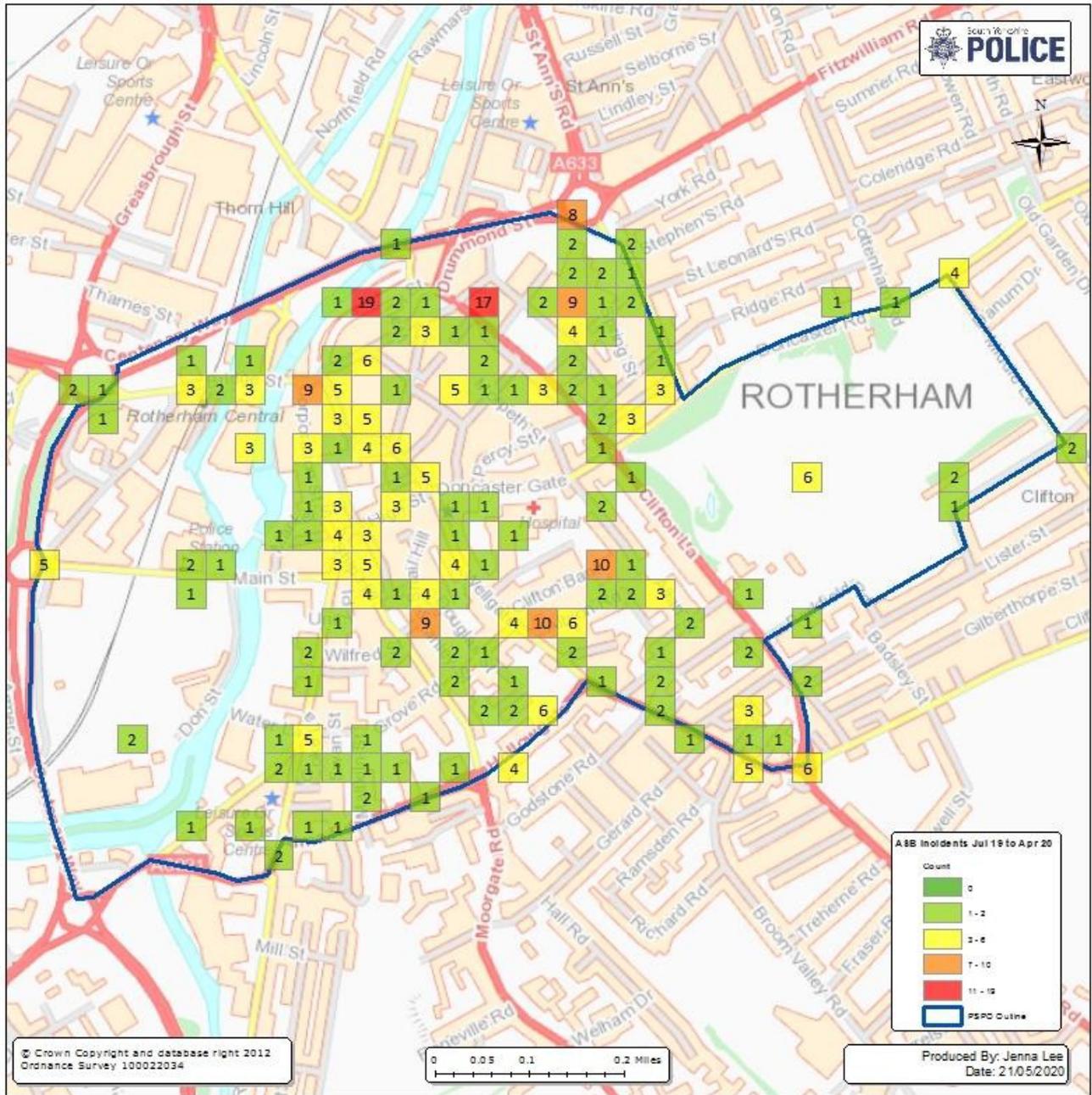
PSPO Overview

The PSPO was introduced on 20th October 2017 and has been in force since this date. Therefore, to analyse its effectiveness, ASB incidents between 1st October 2016 to 31st October 2018 were initially extracted for analysis. The previous update included ASB data between 1st November 2018 to 28th July 2019. The latest update includes ASB data between 1st July 2019 (to ensure the full month was covered) to 30th April 2020. Since 1st July 2019, there have been 342 ASB incidents in the Town Centre PSPO area.

Please note that since the COVID-19 pandemic first case to public notice, there have been notable changes in anti-social behaviour across the country. This has been further impacted by the enforcement of the ‘stay home’ policy implemented by the government on 23rd March 2020, with notable increases seen in ASB. Therefore, the impact of COVID-19 should be considered when considering any changes in ASB volumes, particularly for March and April 2020.



Grid Map - July 2019 to April 2020¹



The above map highlights key areas of note for ASB incidents, particularly at the Rotherham Interchange and Tesco, Drummond Street as well as Albion Road/Wellgate area, Bridgegate/Corporation Street/Frederick Street area, Spring Walk area, William Street, The Crofts, and College Street.

¹ Please note that 42 offences were captured within the edge of the grid area but occurred outside of the PSPO outline. Whilst this does not affect the incident figures provided for the PSPO area, please be aware when reviewing the above grid map.

Incident Types July 2019 – April 2020

The most common incident type was rowdy/inconsiderate behaviour, which accounts for 62% of all incidents recorded in the Town Centre PSPO area between July 2019 and April 2020.

Incident Title	Count
ROWDY/INCONSIDERATE	211
BEGGING/VAGRANCY	30
VEH NUISANCE/INAPP USE	24
NUISANCE NEIGHBOUR	16
FIREWORKS	15
ABND VEH NOT STOLEN	14
ANIMAL PROBLEM	7
STREET DRINKING	6
NOISE	6
NUISANCE ²	6
LITTER/DRUGS TRAPPINGS	3
OFF ROAD BIKE/QUAD	2
TRESPASS	2
Total	342

2- Year Comparison

This 2-year comparison shows that ASB incidents between May 2019 and April 2020 have decreased by 17% compared to the previous 12-months. The only notable increase is in April 2020, which is likely due to the impact of COVID-19 and the 'stay home' policy implemented.

Month	May 18 - Apr 19	May 19 - Apr 20	Change	% Change
May	59	48	-11	-19%
Jun	38	41	3	8%
Jul	47	33	-14	-30%
Aug	44	47	3	7%
Sep	42	40	-2	-5%
Oct	65	43	-22	-34%
Nov	53	33	-20	-38%
Dec	28	33	5	18%
Jan	38	21	-17	-45%
Feb	39	26	-13	-33%
Mar	42	26	-16	-38%
Apr	22	40	18	82%
Total	517	431	-86	-17%

² There are a small number of incidents where there was no specific incident type recorded and were recorded under the ASB categories of Environmental, Nuisance or Personal only.

Appendix 3: Public Spaces Protection Orders Consultation Plan

Public Spaces Protection Orders

Consultation Plan

Introduction and Overview

Following analysis, consultation with stakeholders and a broad public consultation, a Public Spaces Protection Order (PSPO) was introduced in Rotherham town centre and Clifton Park in October 2017. Following a period of three years, the process must once again be followed in order to consider the making of a further order. This process requires a period of consultation to be carried out with appropriate Organisations, Groups and Community Representatives.

Previously the Council had in place a Dog Control Order issued in November 2009 that transitioned to a PSPO through the provisions of the Anti-Social Behaviour, Crime and Policing Act 2014, which gave powers to the Council to issue fines across the Borough to individuals who were witnessed not clearing up after their dogs had defecated.

PSPO's are intended to deal with a nuisance or problem in a specific area that is detrimental to the local community's quality of life, by imposing appropriate and proportionate conditions on the use of that area which apply to everyone.

Current Town Centre PSPO Prohibitions

- Acting in a way that causes or may cause harassment, alarm or distress
- Approaching people for marketing or fund-raising purposes (unless licensed to do so)
- Dropping litter
- Dogs must be on a lead (other than in the designated dog exercise areas in Clifton Park)
- Urinating or defecating in public
- Spitting
- Drinking alcohol (other than within a licensed premise)

Current Dog Fouling Transitioned PSPO Prohibitions

- Failing to remove and appropriately dispose of dog faeces

Issues to be consulted upon

- **Town Centre PSPO**
 - Acting in a way that causes or may cause harassment, alarm or distress
 - Approaching people for marketing or fund-raising purposes, without a license
 - Dropping litter
 - Keeping dogs on a lead (other than in the designated dog exercise areas in Clifton Park)
 - Urinating or defecating in public
 - Spitting
 - Drinking alcohol when not within the boundaries of a licenced premise

- Borough Wide Dog Fouling PSPO
 - Failing to remove and appropriately dispose of dog faeces

Consultation Period

The consultation period will commence on Monday 27th July 2020 and end on Sunday 23rd August 2020.

Who will be consulted?

- South Yorkshire Police – Inspector Chris Blake
- Police Crime Commissioner – Dr Alan Billings
- South Yorkshire Fire and Rescue
- Communities and Communities of Interest:-
 - Any identified Community Groups or Residents Associations
 - Voluntary and Community Sector Organisations
 - Groups representing protected characteristics and especially visually impaired for conditions related to dogs
- All Ward Councillors
- RMBC departments:
 - Early Help – Kirsty Woodhead
 - Youth Offending Service – Emma Ellis
 - Community Protection – Craig Cornwall, Richard Bramall, Chris Stone
 - Housing – Paul Walsh
 - Homelessness Team – Jill Jones/Kim Firth
 - Neighbourhoods – Martin Hughes
 - Greenspaces – Andy Lee
 - Highways – Colin Knight
 - Rotherham Youth Cabinet
- Transport
 - Travel South Yorkshire – Rotherham Interchange
 - National Rail – Rotherham Railway Station
- Schools and Colleges
 - Rotherham College
- Community Organisations
 - REMA – Emma Sharp
 - Friends of Clifton Park Community Group
- Businesses
 - Rotherham Voice (all town centre businesses) – Simeon Leach
 - Barnsley and Rotherham Chamber of Commerce – Simeon Leach
 - Rotherham Markets – Dean Thurlow
- Parish and Town Councils
 - Anston Parish Council
 - Aston cum Aughton Parish Council

- Bramley Parish Council
- Brampton Bierlow Parish Council
- Brinsworth Parish Council
- Catcliffe Parish Council
- Dalton Parish Council
- Dinnington St. John's Town Council
- Firbeck Parish Council
- Gildingwells Parish Council
- Harthill with Woodall Parish
- Hellaby Parish Council
- Hooton Levitt Parish Council
- Hooton Roberts Parish Council
- Laughton en le Morthen Parish Council
- Letwell Parish Council
- Maltby Town Council Council
- Orgreave Parish Council
- Ravenfield Parish Council
- Thorpe Salvin Parish Council
- Thrybergh Parish Council
- Thurcroft Parish Council
- Todwick Parish Council
- Treeton Parish Council
- Ulley Parish Council
- Wales Parish Council
- Waverley Community Council
- Wentworth Parish Council
- Whiston Parish Council
- Wickersley Parish Council
- Woodsetts Parish Council

Methods of consultation

- In writing (Partners/Organisations)
- Online survey (RMBC Website)

Communication

- RMBC Website
- Safer Rotherham Partnership Website
- Social media
- Posters/leaflets in local community
- Neighbourhood Communications, working alongside Councillors

Consultation Calendar
Monday 27th July 2020 – Sunday 23rd August 2020

Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	Sunday
27 Consultation starts	28 Online survey goes live Launch on social media	29	30 Consultation letters out Email shots sent out to any relevant networks	31	1 Public Stand/Engagement in Town Centre (Covid 19 restrictions permitting)	2
3 Update Social media (To Be Confirmed) Briefing Ward Cllrs (by email or Members' Seminar if possible)	4	5	6	7	8	9 Public Stand/Engagement in Clifton Park (Covid 19 restrictions permitting)
10 Update Social media	11	12	13	14	15	16
17 Update Social media	18	19	20	22	22	23 Consultation ends

Appendix 4: Initial Equality Screening Assessment



PART A - Initial Equality Screening Assessment

As a public authority we need to ensure that all our strategies, policies, service and functions, both current and proposed have given proper consideration to equality and diversity.

A **screening** process can help judge relevance and provide a record of both the process and decision. Screening should be a short, sharp exercise that determines relevance for all new and revised strategies, policies, services and functions.

Completed at the earliest opportunity it will help to determine:

- the relevance of proposals and decisions to equality and diversity
- whether or not equality and diversity is being/has already been considered, and
- whether or not it is necessary to carry out an Equality Analysis (Part B).

Further information is available in the Equality Screening and Analysis Guidance – see page 9.

1. Title	
Public Spaces Protection Orders consultation for the town centre and, for dog fouling Borough wide	
Directorate: Regeneration and Environment	Service area: Regulation and Enforcement
Lead person: Lewis Coates	Contact number: 01709823117
Is this a:	
<input type="checkbox"/> Strategy / Policy	<input checked="" type="checkbox"/> Service / Function
<input type="checkbox"/> Other	
If other, please specify	

2. Please provide a brief description of what you are screening

To undertake statutory and public consultation in relation to developing Public

Spaces Protection Orders for Rotherham Town Centre and dog fouling across the Borough.

It is not anticipated that the undertaking of a consultation will require an assessment to be undertaken. However, if after consultation it is deemed appropriate to consider introducing Public Spaces Protection Orders, then an assessment will be undertaken.

The consultation plan includes consulting with the public including hard to reach groups

3. Relevance to equality and diversity

The consultation is specifically required to seek the views of statutory consultees in relation to the benefits of introducing Public Spaces Protection Orders.

Questions	Yes	No
Could the proposal have implications regarding the accessibility of services to the whole or wider community?		X
Could the proposal affect service users?		X
Has there been or is there likely to be an impact on an individual or group with protected characteristics?		X
Have there been or likely to be any public concerns regarding the proposal?		X
Could the proposal affect how the Council's services, commissioning or procurement activities are organised, provided, located and by whom?		X
Could the proposal affect the Council's workforce or employment practices?		X

If you have answered no to all the questions above, please explain the reason

The proposal is in relation to carrying out a statutory consultation with defined consultees.

If you have answered **no** to **all** the questions above please complete **sections 5 and 6**.

If you have answered **yes** to any of the above please complete **section 4**.

4. Considering the impact on equality and diversity

<ul style="list-style-type: none"> • How have you considered equality and diversity? 	
<ul style="list-style-type: none"> • Key findings 	
<ul style="list-style-type: none"> • Actions 	
Date to scope and plan your Equality Analysis:	
Date to complete your Equality Analysis:	
Lead person for your Equality Analysis (Include name and job title):	

5. Governance, ownership and approval

Please state here who has approved the actions and outcomes of the screening:		
Name	Job title	Date
Lewis Coates	Service Manager – Regulation and Enforcement	

6. Publishing

This screening document will act as evidence that due regard to equality and diversity has been given.

If this screening relates to a **Cabinet, key delegated officer decision, Council, other committee or a significant operational decision** a copy of the completed document should be attached as an appendix and published alongside the relevant report.

A copy of **all** screenings should also be sent to equality@rotherham.gov.uk For record keeping purposes it will be kept on file and also published on the Council's Equality and Diversity Internet page.

Date screening completed	10 th June 2020
Report title and date	Public Spaces Protection Orders – Town Centre and Borough Wide Dog Fouling
If relates to a Cabinet, key delegated officer decision, Council, other committee or a significant operational decision – report date and date sent for publication	Cabinet 13 th July 2020 Publication date to be confirmed
Date screening sent to Performance, Intelligence and Improvement equality@rotherham.gov.uk	First draft 10 th June 2020

Public Report
Overview and Scrutiny Management Board

Committee Name and Date of Committee Meeting

Overview and Scrutiny Management Board– 15 July 2020

Report Title

Overview and Scrutiny Annual Report 2019-20

Is this a Key Decision and has it been included on the Forward Plan?

No

Strategic Director Approving Submission of the Report

Judith Hurcombe, Interim Assistant Chief Executive

Report Author(s)

Janet Spurling, Governance Advisor, Assistant Chief Executive's Directorate
janet.spurling@rotherham.gov.uk 01709 254421

Ward(s) Affected

Borough-Wide

Report Summary

This report presents the final draft of the Overview and Scrutiny Annual Report for 2019-20 for Members to consider and approve prior to its presentation at the Council meeting on 22 July 2020. The draft report is attached as Appendix 1.

The headline scrutiny work programme, included in draft in the annual report, helps to achieve corporate priorities by addressing key policy and performance agendas and adding value to the work of the Council.

Recommendations

That the Overview and Scrutiny Management Board:

- 1 Receive and approve the draft Annual Report 2019-20, subject to any changes agreed at the meeting.
- 2 Note that membership details for 2020-21 may be subject to change following the Council meeting on the 22 July 2020 and will be reflected in the final published version.
- 3 Note that the draft outline work programme will be updated following discussions by the Board.

List of Appendices Included

Appendix 1 Overview and Scrutiny Annual Report 2019-20

Background Papers

Minutes of Scrutiny meetings during 2019-20
Scrutiny Review/Workshop reports and responses from Cabinet
Scrutiny Updates to Council

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Council - 22 July 2020

Council Approval Required

Yes

Exempt from the Press and Public

No

Overview and Scrutiny Annual Report 2019-20

1. Background

- 1.1 The Overview and Scrutiny Annual Report provides a retrospective summary of the work undertaken and outcomes achieved by the Overview and Scrutiny Management Board (OSMB) and the three Select Commissions last year. It also offers a look ahead for 2020-21 in terms of future priorities through a headline work programme.
- 1.2 The intention is to show tangible outcomes that have been achieved and which may be directly attributable to the work of Scrutiny, illustrating the added value afforded by Scrutiny to the Council.
- 1.3 It also presents a good opportunity to provide information about the role and work of Scrutiny and to thank Scrutiny Members and our co-optees formally for their contributions.

2. Key Issues

- 2.1 The scrutiny work programme contributes to the achievement of corporate priorities by addressing key policy and performance agendas and adding value to the work of the Council.
- 2.2 The Annual Report (draft report is attached as Appendix 1) aims to cover all aspects of Scrutiny work including pre-decision scrutiny, holding to account, performance management, raising concerns and policy development undertaken during the last year.
- 2.3 The report is a key tool for engaging Members, partners, officers and the general public in the detail of Scrutiny work and hopes to encourage wider involvement in scrutiny, as an important aspect of local democracy and the Council's governance processes. Although this has had a renewed focus in the last two years it will be developed further in the year ahead.
- 2.4 When the report is considered at the Council meeting on the 22 July 2020, there may also be potential changes to membership of the scrutiny committees for 2020-21. Therefore, the published report will be amended to include any changes that are subject to approval, immediately following the Council meeting.
- 2.5 The detail of the draft work programme for 2020-21 will be developed further by OSMB and the Select Commissions, including determining the most effective approach to scrutinising each item, for example through a review, by a sub-group or a report.

3. Options considered and recommended proposal

- 3.1 This report presents the final draft of the Overview and Scrutiny Annual Report for 2019-20 for consideration and approval by the OSMB prior to the Council meeting on 22 July 2020.

4. Consultation on proposal

4.1 This section is not applicable for this report.

5. Timetable and Accountability for Implementing this Decision

5.1 It is anticipated that once the report has been approved by the OSMB it will be presented at Council in July and then published on the Council website by the Head of Democratic Services and Statutory Scrutiny Officer.

6. Financial and Procurement Advice and Implications

6.1 There are no financial or procurement implications associated with the Overview and Scrutiny Annual Report.

7. Legal Advice and Implications

7.1 Article 8(6) of the Constitution requires the Overview and Scrutiny Management Board to submit an annual report to the Council and this is that report.

8. Human Resources Advice and Implications

8.1 There are no direct human resource implications associated with this report.

9. Implications for Children and Young People and Vulnerable Adults

9.1 The specific focus of the work of the Improving Lives Select Commission is on services for children and young people and there is also crossover on physical and mental health and wellbeing with the work programme of the Health Select Commission.

9.2 Improving Lives scrutinised the annual reports of both the Rotherham Local Children's Safeguarding Board and the Rotherham Safeguarding Adults Board.

9.3 OSMB supported Rotherham Youth Cabinet in its work on raising awareness about hate crime as part of the Children's Commissioner's Takeover Challenge.

10. Equalities and Human Rights Advice and Implications

10.1 Scrutiny focuses on promoting equality through improving access to services and support and ensuring the needs of groups sharing an equality protected characteristic are taken into account.

11. Implications for Partners

11.1 As the Council increasingly works in partnership with a range of other organisations, this is reflected in the scrutiny work programme with various partners attending meetings and providing information for Scrutiny.

12. Risks and Mitigation

12.1 There are no risks associated with the Overview and Scrutiny Annual Report.

13. Accountable Officer(s)

James McLaughlin, Head of Democratic Services

This report is published on the Council's website or can be found at:-

<http://moderngov.rotherham.gov.uk/ieDocHome.aspx?Categories=>

Overview and Scrutiny in Rotherham



DRAFT

**Annual Report 2019 - 2020
Work Programme 2020 - 2021**

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Welcome to the Annual Report of Overview and Scrutiny



This report presents a summary of the scrutiny work programme undertaken last year by the Overview and Scrutiny Management Board (OSMB) and the Select Commissions - Health (HSC), Improving Lives (ILSC) and Improving Places (IPSC). It encompasses the full range of scrutiny work undertaken - pre-decision scrutiny; focused work on a specific issue; standard agenda items considered each year; and areas such as service transformation that continue over a longer period.

Although an outline work programme is developed early in each new municipal year, Scrutiny also needs to have sufficient flexibility to consider and respond to other important issues as they arise. This was the case again last year with the inclusion of additional items for all four scrutiny committees. Most notably this was seen when OSMB held the Council's first virtual meeting in April to consider the Council's response to the Covid-19 pandemic that has had such a devastating impact across our community. Further scrutiny of the response to the pandemic and recovery plans will be central to scrutiny in 2020-21.

As last year, much of the work of ILSC has focused on scrutinising progress on key strategies; probing specific areas in depth to be assured about our provision for children and young people. One positive has been for Members to hear directly from service users about their experiences of Early Help Services and Youth Offending Services respectively. Hopefully, similar service user input will be something which can be incorporated more consistently into scrutiny work across the committees.

HSC continued to look closely at service transformation and greater integrated working between health and social care, such as developments to simplify the pathways for intermediate care and reablement and achieve better outcomes for people. Members have also scrutinised the effectiveness of multi-agency working in relation to social and emotional wellbeing and mental health, which is such an important issue for us all.

In pre-decision scrutiny OSMB considered various major strategies and policies in 2019-20 and it is pleasing to see equality analyses coming forward routinely and improving in detail and quality following previous challenge from OSMB. The Board maintained a close eye on the in-year budget position and service pressures and will continue to do in these challenging times.

Another positive has been the opportunity for IPSC to undertake pre-decision scrutiny at an earlier stage when it considered the emerging proposals in the review of Area Housing Panels. As with ensuring greater public participation in Scrutiny, this is something to build upon and encourage for other policy proposals.

One of my personal highlights is working with Rotherham Youth Cabinet when they take over an OSMB meeting in the Children's Commissioner's Takeover Challenge (CCTOC). This year's theme was awareness raising around hate crime and the young people made several good recommendations, with the response due back in the autumn.

Finally, I wish to thank all Scrutiny Members for their hard work and commitment to carrying out meaningful scrutiny in Rotherham. I would also like to thank our co-optees who have given their time voluntarily to enhance the scrutiny process.

As always, I feel confident that the scrutiny function in Rotherham will continue to develop and provide the challenge needed to deliver improvements and positive outcomes.

Cllr Brian Steele, Chair of Overview and Scrutiny Management Board

Scrutiny – a Key Function

Introduction

The scrutiny function remains critical within the Council's formal governance arrangements, in ensuring oversight, accountability and transparency. It will continue to evolve, as it has over the last few years, but will still provide the necessary challenge to drive improvements and ensure further progress. Scrutiny's role in policy development will be especially crucial in the recovery and restart following the Covid-19 pandemic.

Scrutiny roles

Scrutiny is an important means of engaging Members, Council officers, partner agencies and the public in local democracy by considering major issues that affect the Borough and our communities.

- ❖ **Holding to account** - Scrutiny provides a "critical friend" to decision makers in ensuring that their decisions reflect the views and priorities of local people and that decisions are implemented properly. The Executive may be held to account by scrutiny reviewing a decision before it is implemented, known as "call in".
- ❖ **Policy development** - Our scrutiny committees undertake reviews into areas of concern, consider policies and practices, and look at performance information before making recommendations or suggestions to Cabinet and partners about how policies and services could be improved.
- ❖ **Pre-decision scrutiny** - OSMB use the Forward Plan of Key Decisions to identify a small number of policy decisions to scrutinise in advance of them going to Cabinet. Details of these and additional recommendations from OSMB are on pages 7-8.

Work programme

Each year Members develop a scrutiny work programme using a prioritisation process to make sure their focus is on the right issues. The financial, economic and health impact and implications of the pandemic, for our communities and for the council and partner organisations, will be central to the work programme for the remainder of 2020-21. Proposals for service transformation or reconfiguration will be an area in which Scrutiny seeks assurances that issues such as consultation, equality, communication, access and long term sustainability are all fully taken into account.

As in previous years, Members have employed various methods to deliver their work programme during 2019-20. Performance sub-groups; task and finish groups; workshops; visits to service providers; service user feedback; and focused single item meetings have all featured, in addition to reports or presentations to full committee. This flexible approach will continue to ensure the most effective approach for each issue under scrutiny.

Our Scrutiny Members continue to participate in sub-regional scrutiny to ensure Rotherham has a voice and that our priorities and concerns are heard. We have been represented on the Sheffield City Region Combined Authority Overview and Scrutiny Committee; the South Yorkshire, Derbyshire and Nottinghamshire Joint Health Overview and Scrutiny Committee; and the South Yorkshire Police and Crime Panel.

Next steps

In light of recent Statutory Guidance on Overview and Scrutiny in Local and Combined Authorities, OSMB agreed to convene a working group to review the authority's position against the guidance. Scrutiny is always open to learning from practices and experiences in other councils and this will also form part of the overall discussion, with a view to making recommendations for improvements to be implemented from 2020. The intention remains to keep a strong focus on holding the Executive to account but also maximising the opportunities for a wider role in policy development.

Getting Involved in Scrutiny

Scrutiny Services constantly look at ways to raise public awareness of scrutiny work to try and encourage more people to be involved, either by providing information or giving their views. It is important that scrutiny reflects the needs and concerns of everyone who lives and/or works in Rotherham. We engage with the public in different ways alongside the positive contributions to scrutiny made by our co-optees. Other examples include:

OSMB

- Standard agenda item for Rotherham Youth Cabinet to raise issues or concerns.
- Consideration of petitions from members of the public with 600 or more signatures that are referred from Council.
- Work with the Youth Cabinet in the Children's Commissioner's Takeover Challenge to improve services for young people.

HSC

- Contact with Healthwatch for relevant information to inform scrutiny reviews or scheduled agenda items.
- Colleagues from Speak Up shared their personal experiences of autism.
- Service users at Carnson House produced short video clips to help Members understand the challenges involved in their recovery from substance misuse.

ILSC

- Links with the Young Inspectors who share key findings from their inspections.
- Service users shared experiences of Early Help and Youth Offending Services.
- Use of case studies (with consent from service users) to ensure how the voice of service users is captured by service providers.

IPSC

- Visits to RotherFed to engage with tenants and residents.
- Ensures relevant information is circulated to Parish Councils.
- Links with community in relation to developments in bereavement services.

Scrutiny meetings take place in public and include a dedicated slot for members of the public to ask questions regarding items on the agenda. More detailed information about the scrutiny process and the work carried out by the scrutiny committees is included on our webpages [here](#). This includes a link to email us as we appreciate your comments on our reviews, submissions of evidence for reviews and suggestions for future topics. Broad areas for scrutiny in the 2020-21 work programme are set out on page 29, providing a flavour of the work coming up. Once the final version has been confirmed we will regularly update the webpages about current and upcoming work and welcome your involvement.

Public engagement during 2019-20

The scrutiny bodies continued to welcome members of the public to their meetings as observers and co-opted members. Scrutiny Members appreciate their input in reviews and discussions and would like to thank the following co-optees who served in 2019-20.

Health - Robert Parkin, Speak Up

Improving Lives - Joanna Jones, Voluntary Sector

Improving Places - Wendy Birch and Mary Jacques, RotherFed

Contact us by email: governance@rotherham.gov.uk or telephone 01709 382121.

Alternatively, you can write to us: Governance Unit, Rotherham MBC, Riverside House, Main Street, Rotherham S60 1AE

Outcomes from Scrutiny

This section highlights examples where positive changes have resulted, or are under way, following work undertaken by Scrutiny, either this year or ensuing from previous recommendations. As the sections for each committee show later in the report, some outcomes are less tangible than ones provided here. They tend to be ones where Scrutiny has closely examined a particular service, or implementation of a strategy, over time, until sufficiently assured regarding progress and improvements. Examples include oversight by ILSC of developments in services for Looked After Children, progress on Care Quality Commission (CQC) actions at Rotherham Hospital by HSC; and IPSC maintaining oversight of Time for Action and Dignity.

○ Reporting Performance Information

As a result of a broad recommendation on performance management, reports have been redesigned and this has been an example of longer term impact and influence from scrutiny. ILSC have since requested to have the Education Performance Outcomes data in future years reformatted in the style of the Council Plan update to make it easier to understand and interpret.

○ CCTOC

Public Transport

The final Youth Transport Charter and promotional poster developed by young people with South Yorkshire Passenger Transport Executive (SYLTE), following a Children's Commissioner's Takeover Challenge, was published in January and a new webpage launched: <https://travelsouthyorkshire.com/youthcharter/>

Work experience

Youth Cabinet heard a progress update in November 2019 on initiatives in schools and with employers. Resources have been developed to share with employers and local businesses to enhance links between schools and business. Young people have also been involved in consultation on a new careers app.

Young Carers

Although the full response has not been reported at the time of writing, a positive meeting took place between the Deputy Leader and the Young Carers service at Barnardo's to discuss ideas. People for Places Leisure organised a free activity day for young carers in February half term which was advertised to encourage other young carers to come forward in addition to those the service worked with already.

○ Safer Rotherham Partnership (SRP)

OSMB made recommendations in respect of further work to establish protocols for sharing local offender management plans, or information supporting such plans, to ward councillors. SRP and its statutory partners created a new meeting structure known as Community Action Partnerships (CAPs) to ensure the exchange of information between the Police, the Council and local ward councillors. This mechanism has enabled positive examples in relation to the release of offenders, with guidance offered to officers to ensure that this is relevant and appropriate. Following another Scrutiny recommendation, public contact numbers for domestic abuse and safeguarding were now included in the SRP annual report.

○ Response to Scrutiny Workshop - Adult Residential and Nursing Care Homes

All the recommendations were progressing and emails had been circulated to relevant Ward Members to update them on Care Quality Commission (CQC) ratings for homes in their wards. Detailed briefings were also provided if there were any concerns or if CQC had been in a care home.

- **Dignity Funerals Ltd – Extended Hours Pilot**
IPSC had recommended that the impact of the pilot on local traffic should be monitored and officers reported in their update that no complaints had been received and that monitoring would continue. Explanatory letters about the pilot had been sent out to clergy across the borough in February 2019 as requested. Positive evaluation of the pilot resulted in a recommendation to make the extended hours permanent and this has been implemented.
- **South Yorkshire Fire and Rescue Service - Integrated Risk Management Plan**
Last year OSMB recommended that future iterations of the plan should include more detail on crewing arrangements to provide greater public confidence. This was information was included in the draft plan on which scrutiny committees were consulted. Further recommendations were submitted for consideration by the Chief Fire Officer regarding consultation, equalities, home safety checks, and provision of detailed statistical information, with plans to address any adverse trends identified.
- **Rotherham Integrated Health and Social Care Plan**
As a result of HSC feedback about autism being discrete from mental health and learning disability, the transformation group added the broader umbrella term neurodevelopmental into their title to reflect all three elements of their work. Other points raised have been taken back for discussion and consideration by partners.
- **Annual Compliments and Complaints Report 2018-19**
Members often receive compliments arising from their casework which were not being recorded which was an area for improvement. A further suggestion was to incorporate the recommendations from the Local Government Ombudsman annual report and officers confirmed that this could be done in future.
- **RotherFed**
Following a recommendation from IPSC after their work with young tenants, RotherFed have appointed a young person onto the RotherFed Board as a Trustee. She has been involved in a range of tenant engagement activities including the Housing Improvement Panel, Tenant Scrutiny and the Young Tenants Group. Colleagues confirm she has brought a fresh perspective to the Board and is enjoying her role and involvement, which is adding to her personal development.
- **Pause Project**
The women engaged in this project have achieved many positive outcomes as a result of the assertive intervention of the Rotherham Pause Practice, in areas such as debt management, dealing with housing issues, registering with a GP, ending abusive relationships, re-establishing contact with children, making new friends and completing college and university courses.

The Deputy Leader, Cllr Watson, thanked members of ILSC for their support of the project from the outset, and the role they had played in getting others to see the benefits, in order for the initial implementation of the project to happen.
- **Home to School Transport**
Officers were asked to consider looking into the use of contactless cards on buses to support independent travel by young people who might have less visible support needs, such as autism. SYPTE advised that 'journey cards' were available for travellers which could be shown to bus drivers when boarding to inform them of a disability. The cards covered a number of disabilities that were not always recognised by sight and where the driver might be able to provide support to enable the person to travel independently. SYPTE will be happy to work with the Council to develop cards to cover disabilities or activities that were not already covered.

○ **CCTV – Mobile Cameras**

OSMB recommended that all Members should be notified of the arrangements and process for relocating cameras in their wards and for arrangements to be made to notify residents of deployment and use of mobile cameras. The process for identifying locations for cameras is primarily driven through CAPs, which involve all ward members and the final authorisation and decisions are also notified back through CAPs. Notification to residents is mainly through signage accompanying any CCTV deployment by the Council but when residents have been involved in making a complaint or are a victim of behaviours the camera seeks to prevent; they are notified directly. Ward members are able to inform local residents if they are responding to residential complaints or feedback.

○ **Primary Care**

As not all surgeries seemed to offer appointments at the hubs, HSC suggested that surgeries could play a recorded message when people were holding on the phone alerting them to the option to go elsewhere. The Clinical Commissioning Group asked all GP practices to consider putting a message on and will remind them.

○ **Time for Action**

At pre-decision scrutiny stage IPSC made a number of recommendations. One was to extend the service to include issuing of parking fines and since January 2019, parking enforcement has been undertaken as part of the agreement. Another was for service provision to be distributed equally across outlying areas and wards. Parking enforcement has been predominantly focused outside of the town centre to deliver extra capacity into areas of need.

○ **Social Emotional and Mental Health (SEMH) Strategy**

In order to simplify communication, HSC had called for consideration to be given to having a lead case worker for families as their dedicated single point of contact. This is happening but who it is depends on where the child is in the system. It could be a social worker, someone from Early Help, the Education Health and Care Plan coordinator or a single point of contact within the school.

Regarding support for Lesbian, Gay, Bisexual and Trans (LGB&T+) young people and whether anything specific had been included in the strategy, or in the schools Trailblazer, for that cohort this had not been highlighted in either but could be picked up. As a result of HSC feedback on the draft strategy, whole school approaches and prevention would be strengthened in the document.

○ **Lifestyle Survey in Schools**

The annual survey continues to be refreshed and updates each year with input from ILSC informing developments and refinements and ensuring current issues and concerns are incorporated in the survey questions.

○ **Rotherham Loneliness Action Plan and Rotherham Suicide Prevention and Self Harm Action Plan**

The final drafts of both plans incorporated feedback from HSC - references to research and links with schools and the Carers Strategy in the former and presentation of the local picture information in a simpler format in the latter. Feedback was provided to HSC on how other recommendations would be taken forward. Partners implementing the Loneliness Action Plan will look to work with schools in Rotherham to address loneliness amongst young people. Further funding may be secured for mental health and suicide prevention training in the new financial year and future courses will be promoted to foster carers.

Outcomes from Pre-Decision Scrutiny

OSMB undertakes the majority of this work but also delegates proposals to the Select Commissions to scrutinise where more appropriate. The recommendations proposed in the pre-decision reports considered by Scrutiny in 2019-20 were all supported but Scrutiny also successfully made additional recommendations to Cabinet and this section reflects these. Some necessitate further work and will require a progress update to Scrutiny in 2020-21. Requests for specific information and intentions for future monitoring are also outlined later in this section.

○ **Rotherham's Cultural Strategy 2019 – 2026**

The protected characteristics in the Equality Analysis were updated to reflect current terminology prior to consideration of the strategy by Cabinet. A structure chart was also produced to outline the governance arrangements and lines of accountability.

○ **Adults Independent Advocacy Services - Commissioning & Procurement Approach**

OSMB wished to encourage GPs to learn about the advocacy service as a low number of referrals originated from them. Details were included in one of the CCG's practice bulletins circulated widely and to all GP practices.

○ **Rotherham Town Centre Car Parking Strategy**

Four recommendations were approved which focused on:

- Taking a proactive approach to tackle the dangers of poor lighting in Council car parks, given the usage by the public in the early morning and evening during dark hours in the autumn and winter.
- Improving the reliability of access/egress equipment at car parks and also to seek to develop, as funding becomes available, the use of mobile applications for customers.
- Considering the creation of further disabled parking spaces strategically within Rotherham Town Centre.
- Making the diagram clearer that showed the connectivity under 'Strategic Principles' within the strategy.

○ **Housing Revenue Account Rents and Service Charges 2020-21**

- For the number of Universal Credit claimants to be closely monitored, with a view to reducing the level of rent arrears from tenants in receipt of that benefit.
- For consideration be given to including the measure in the Housing Service Plan 2020-21 and for this to be included in a report to IPSC.

○ **Rotherham Employment and Skills Strategy**

The Equality Analysis was updated to make sure it reflected gender inequality in the employment and skills market.

○ **Adult Services Non-residential Care Charging Policy Proposals**

The recommendation for future consultation or engagement exercises involving public drop-in sessions to be arranged in locations in the north, south and centre of the borough was referred to the Corporate Consultation and Engagement Group. Although unpaid carers are not formally a protected group under the Equality Act 2010, it was acknowledged they should always be included in future consultations.

- **House to House Collection Policy**
 Cabinet supported the recommendation regarding recyclable charity collection bags and would encourage recycling opportunities from within the policy.
- **Social Value Policy**
 A Member Seminar in respect of the policy took place in January 2020.
- **Ethical Procurement**
 - With regard to corporate policy review mechanisms, consideration should be given to reviewing Council policies on at least a three yearly cycle, unless an agreed framework had already been prescribed.
 - When engaging with external contractors, arrangements should be made to ensure that ethical outcomes will be effectively captured and presented in an annual report published on the Council’s website.
- **Selective Licensing Designation**
 - Consideration should be given to presenting data in a user-friendly format when summarising findings from public consultation or other complex statistical information, with the preferred approach being the style used in Council Plan Performance reports.
 - Arrangements should be made to ensure Ward Members are briefed ahead of any future consultations that affect specific wards and provided with information to circulate to residents.
 - The findings of the review of alternative arrangements for Little London in Maltby will be reported back to OSMB prior to any final decision.
- **Budget, Medium Term Financial Strategy and Council Tax**
 Further reports will be brought to the Overview and Scrutiny Management Board on the potential impact of any significant changes to government funding streams received by the Council.

Follow up reports to either OSMB or the relevant Select Commission have been requested in 2020-21, specifically to monitor the implementation of new initiatives, strategies or service changes:

- **Rotherham's Cultural Strategy 2019 - 2026** – progress with implementation
- **Social Value Policy** – to review the impact once baseline position established
- **Advice Services Review** – Phase 2 implementation and Phase 3 outline proposals
- **Adult Services Non-residential Care Charging Policy Proposals** - impact
- **Community Energy Switching** – impact of the scheme for residents
- **Adults Independent Advocacy Services** – performance and outcomes following the award of the new contract
- **Gambling Act 2005 - Statement of Licensing Policy**
 HSC will be looking into data from Public Health on the impact of gambling on the people’s wellbeing, as part of its work programme in 2020-21.

Overview and Scrutiny Management Board

The remit for the OSMB includes:

- Leading on pre-decision scrutiny
- Monitoring the Council's budget, medium term financial strategy and achievement of efficiencies
- Designated Crime and Disorder committee
- Scrutinising the annual budget setting process
- Monitoring and holding to account the performance of service delivery
- Call-in, Councillor Call for Action and Petitions referred from Council
- Assigning overview and scrutiny work as appropriate to the Select Commissions

○ **Council Plan: Refresh and Performance Reporting**

OSMB noted the update to the Council Plan Performance Management Framework following the annual review of the performance measures, in line with good practice, and the new targets for 2019-2020. The removal of the measure for successful treatment of non-opiate drug users was queried and information reported later to HSC.

The 2018-2019 Annual Performance Report summarised all targets and provided a snapshot of progress against the 13 delivery outcomes underpinning the Council's priorities and associated key performance measures. OSMB noted the achievements and direction of travel and took account of concerns raised in relation to hate crime. This issue was included in their work programme, alongside fly-tipping, sickness absence and steps to address the gender pay gap (see below). Scrutiny of in-year quarterly reports concentrated on measures highlighted as areas for improvement and the actions required to improve performance.

○ **Safer Rotherham Partnership Annual Report**

The Borough's Community Safety Partnership, the SRP, presented its annual report with detail provided on work under each of the five key priorities. OSMB welcomed the report, the good practice taking place and the easy to read format. Members explored a number of issues in greater depth, including how Rotherham compared with South Yorkshire neighbours on the priority areas; celebration of religious festivals in Rotherham; and stalking and harassment issues.

Discussion focused on the difficulties in achieving the 20% target for the hate crime positive outcome rate. Improvements were anticipated following the launch of a new restorative justice partnership across the region in May 2019. This renewed approach was consistent with what community members told services they wished to see in response to hate crime, focusing on education and prevention.

OSMB requested an update to ILSC on the actions and recommendations to address stalking and harassment and a further update on steps to address hate crime. The Board also agreed to be involved in pre-scrutiny work on the Hate Crime Strategy.

○ **Financial Outturn 2018-19 and In-year Financial Monitoring**

The final revenue and capital outturn position for 2018/19 was a balanced budget which required £3.2m less use of corporate reserves than planned for in the original budget. The Board sought clarification on the reserves, what these were dependent upon and if this was sustainable. It was confirmed that actions to address the budget overspend were mainly from one off opportunities. In terms of sustainability, the service felt comfortable with the outturn achievements given the scale of the challenges but advised that the Council could not sustain high level of overspends in the future.

Following their scrutiny of the outturn report, OSMB's attention turned to the in-year financial position. Monitoring reports set out the forecast year-end position on the General Fund, based on actual costs and income in the year to date and the forecast

for the remainder of the financial year. As at December 2019, the Council had a forecast year-end overspend of £4m on the General Fund.

OSMB noted the forecast overspend and planned actions in mitigation and continued to scrutinise actions taken within Adult Social Care and Children and Young People's Services (CYPS) through a series of further reports. In CYPS this encompassed scrutiny of the two-year budget recovery plan to reduce the budget pressures from previous years and the particular areas of service demand pressure described below.

○ **CYPS – Budget, Fostering and High Needs Block**

After scrutinising the High Needs Block last year, OSMB were supportive of having a recovery plan to enable future budget sustainability. Considerable pressures existed in meeting the needs of pupils with Special Educational Needs and Disability (SEND), growing numbers with Education, Health and Care plans and demand for specialist education provision. Scrutiny acknowledged that it was early days in the recovery plan process but steps had been outlined to reduce the deficit.

The number of placements of Looked After Children in residential care was also creating budget pressures. Assurance was provided that the service was aware of the challenges and working to address them and although confident that the savings target would be met, it was difficult to be precise on a timescale. Officers were optimistic regarding recruitment of new foster carers, with a competitive offer and activity to increase the number of in-house foster carers, and the impact this would have on fostering targets and the budget. The overall position would be closely monitored by the Board and ILSC would continue to monitor SEND Sufficiency.

○ **Monitoring Report - Implementation of Recommendations from the Scrutiny Review of Agency, Consultancy and Interim Staff**

This review had sought assurance that the Council measured performance and value for money in this area and took appropriate action to maintain spend within acceptable limits. From previous updates OSMB had welcomed the progress made but agreed to maintain a focus on agency spending.

The Workforce Management Board (WMB) continued to monitor and control agency costs by challenging usage across the Council, taking account of factors such as risks in not filling a role, including safeguarding, budget and whether the work could be delivered in another way. The WMB also reviewed spend on a monthly basis.

Referring to transformational changes within Adult Care, Members sought assurances that posts could be filled without recourse to agency or interim staff. Recent recruitment activity had been successful and the position would be kept under review. Members questioned how the Regeneration and Environment directorate might reduce agency spend and introduce multitasking within the existing workforce to build capacity and reduce the need for additional cover. A large in-year reduction in agency spend was anticipated. Agency costs were largely due to seasonal work from March to October and the potential for work to be done outside peak hours was being explored with the trade unions. A further update would follow in November 2020.

○ **Visit to Riverside House Reception**

Members from all four Scrutiny committees gave their views on how the reception area looked and operated now and fed in their ideas to inform future plans. Flexibility and meeting the needs of all customers for access to services and information were highlighted. Support for people less confident in using digital channels was also raised. Members inquired about testing the redesigned reception process and on-line form in advance and suggested local groups who could be asked for their thoughts.

○ **Cabinet Response to Scrutiny Review Modern Methods of Construction (MMC)**

MMC is a generic term covering different types of homes manufactured in a factory environment and fully or partially assembled in the factory, or the component parts are assembled on site. This review focused on the viability of providing low cost housing for young single individuals and young families in one and two bedroom modular accommodation, incorporating suitable technology if possible, to reduce running costs.

One recommendation was for the learning from MMC pilot schemes to inform any future MMC housing projects. Housing Services were at the final stage of entering into a contract for 12 bungalows, subject to planning permission and the evaluation would consider quality, customer experience, costs and maintenance costs, which would help with lessons learned in terms of future schemes. It was agreed that a 'lessons learned' report would be submitted to IPSC following completion of the pilot project.

Another recommendation related to capturing capital cost and repairs/maintenance costs for MMC properties to allow practical comparison with traditional built homes. This was accepted and costs will be broken down in relation to the individually built properties for review and analysis as part of the evaluation work going forward.

In relation to energy efficiency, work was being developed to look at the introduction of solar panels and energy efficiency measures to all affordable housing stock, not just MMC developments. The Board asked if the Council was considering other options including green credentials and eco-efficient initiatives and were advised that this was being looked at for properties built in Rotherham and Sheffield City Region as a whole.

○ **Annual Compliments and Complaints Report 2018-19**

Members were particularly pleased to see improvements in complaints handling and the learning and trends identified, specifically referencing the opportunities for improvement. Reference was made to avoidable contact and how the authority was undertaking to reduce calls to the Council. Work was underway, but it was difficult to quantify volumes as data was still manually recorded. The new system to improve customer experience had been procured and once operational would provide greater insight. Customer access would continue to be a focus for Scrutiny.

○ **Customer and Digital Programme**

OSMB are maintaining a watching brief on developments within this programme of transformation of customer access, which is also due to deliver significant budget savings. A large number of projects are underway, each of which with varying complexity, dependencies and timescales. The programme plan continues to be regularly reviewed to ensure the timescales and priority order satisfy Council objectives and stakeholder needs. Members reiterated their concerns regarding ensuring access and inclusion for all customers, including those less confident in using digital channels or who may face barriers due to disability.

○ **Adult Social Care: Budget, Performance and Target Operating Model**

A workshop session provided an overview of the new operating model to be implemented from October 2019, which was a major undertaking for the service. Members felt the workshop had provided a useful insight into the new model and welcomed the opportunity to explore issues in detail. The six monthly Financial and Performance Update was scrutinised, including details of the reassessment programme and forecast savings delivery over the next four years for all cohorts. An indication of trends in service demand and average weekly costs was also provided.

A further progress update followed in March in respect of the Adult Social Care budget, restructure and pathways, which aimed to ensure a more customer focused and

responsive offer. Progress had been good in the six months since implementation but embedding new practices and new ways of working took time. Improvements in performance were acknowledged by Members who inquired about feedback from social workers about the changes, especially the move from specialist social work teams to more generic working. Other issues raised were ensuring equality for service users across the pathways, making sure each person received a tailored service and the need for good communication and publicity about the new offer. Although matters were progressing well a further update was requested for September.

OSMB also discussed the Adult Care, Housing and Public Health Market Position Statement, setting out the Council's intentions and its aim of working with providers in a new way. HSC agreed to scrutinise issues in relation to mental health data and development of the mental health pathway and IPSC any issues in relation to housing.

○ **Children's Commissioner's Takeover Challenge (CCTOC) – Hate Crime**

Rotherham Youth Cabinet chose hate crime as the theme for this CCTOC, as it was one of the key priorities in their manifesto for 2020 after emerging in the top three issues following the annual "Make Your Mark" consultation with young people.

The young people noted the good work taking place in Rotherham to raise awareness about hate crime and to challenge attitudes and behaviour, which needed to continue and develop further. Similarly, with initiatives to encourage people to come forward and report incidents, as under-reporting was still perceived as an issue, in particular for disability-related incidents. Media coverage of events and issues was a concern shared by participants in the CCTOC but acknowledged as difficult to control. The Licensing Service had recognised concerns in respect of hate incidents experienced by taxi drivers and their families, which had informed the revised policy.

A number of recommendations resulted from the CCTOC in relation to engagement, work in and between schools, a potential peer educator initiative and an awareness raising campaign about reporting incidents. The review report was presented to Cabinet in July, with the response to the recommendations expected in the autumn.

○ **Performance Sub-groups - Fly Tipping, Sickness Absence and Hate Crime**

Members were provided with the definition of each measure, current performance, benchmarking data and what actions had been taken or were planned.

Fly Tipping - The sub-group explored various issues including the costs of clearing up rubbish that had been dumped, how repeat offences were handled and potential options for commercial waste. Overall, Members felt reassured by the actions in place which had led to an upward trend in performance. They suggested more should be done to raise awareness and publicise achievements. For example, having the number of prosecutions on the website to give people confidence the Council was taking action and give clear messages that people would be prosecuted. Awareness raising should cover duty of care responsibilities around waste removal and disposal.

Sickness Absence - Directorates provided more detail of actions they were taking and highlighted service-specific matters. The sub-group felt positive about recent improvements on this measure but emphasised the importance of training and guidance, to ensure greater consistency in the application of policies across all job roles and services. Members recognised the use of measures to keep people in work with the right support and acknowledged how redeployment and phased returns could facilitate this process. It was noted that wider policies to support disabled employees and people with caring responsibilities would remain important. Recommendations from the workshop were endorsed by the Board to be forwarded to Cabinet for a

response. These related to guidance for managers around enhanced emotional support for employees during a restructure; disaggregation of data; and avoidance of any potential knock on effect in a team due to long term sickness.

Hate Crime - After in-depth discussion and questions on the information presented, OSMB sought greater assurance regarding joined up working and on actions to address hate crime/incidents across all the relevant equality characteristics. They also agreed to invite a representative from the Independent Scrutiny Panel when the next SRP annual report would be considered. Three recommendations resulted: more focused work to address hotspot areas; to review the impact of the interventions; and more work with community groups to provide oversight/lead on specific actions.

○ **Gender Pay Gap Report**

Local authorities are statutorily obliged to publish a report showing the difference in the average pay between all men and women in a workforce. The Council also chooses to report on the pay gap for Black, Asian and Minority Ethnic employees and for disabled employees. Since 2013 both the median and the mean gender pay gap had fallen from around 20% to around 10%. Further progress would depend on breaking down gender stereotypes around job roles and addressing the impact of career breaks for caring responsibilities that still impacted more on female staff.

Questions were posed on tracking the career progression of part-time employees, opportunities for job sharing at senior level and breaking down barriers. Assurances were given that the pay structure and job evaluation scheme were fit for purpose and a fair and transparent system. Data would be provided on part time employees, tracking promotions and the outcomes of recruitment advertisements

○ **Update on the Council's Response to Covid-19 Emergency**

A brief overview of the current situation in Rotherham was outlined before detailed discussion ensued on the following areas and actions under the various workstreams:

- | | |
|-------------------------------|--------------------------------------|
| ◆Governance | ◆Management & control arrangements |
| ◆Rotherham Community Hub | ◆Personal Protective Equipment (PPE) |
| ◆Impact on services | ◆Access to data on Covid-19 cases |
| ◆Communication and engagement | ◆Supporting the workforce |
| ◆Neighbourhood working | ◆Managing the deceased |

Members sought clarification on several issues and highlighted concerns regarding PPE, impact on the budget and implications for ongoing services. As this was such an important issue it was agreed to continue to monitor and scrutinise the response to and recovery from COVID-19 as a key element of the Scrutiny work programme in 2020-21.

○ **Draft Library Strategy and Consultation Outcomes**

Members were satisfied that consultation on proposed changes to the location of the central library had been comprehensive and had sought to consult with groups who shared protected equality characteristics. They were also assured by the proposed direction for the strategy and supported the action plan. In particular, they welcomed co-location of services under the libraries umbrella as a community asset and the prospects for partnership working. Volunteering opportunities were seen as a way of developing skills and ensuring viability of the service, particularly with proposed longer opening hours. "Digital First" principles were supported, with the proviso that support would be needed for some customers, echoing the visit to Riverside Reception. However, the sub-group did seek reassurance that a robust mapping exercise had been undertaken on the ability to deliver the opening hours and on the capacity to recruit, train and manage volunteers, who should be reflective of the wider community.

Health Select Commission

The remit is to carry out overview and scrutiny as directed by the OSMB in relation to:

- being the Council's designated scrutiny body for health issues relating to health or public health
- partnerships and commissioning arrangements for health and well-being and their governance
- scrutinising the integration of health and social care services and budgets
- health improvements and the promotion of wellbeing for adults and children of Rotherham
- measures to address health inequality
- food law and environmental health
- issues referred from Healthwatch

○ Sexual Health Strategy for Rotherham (Refresh 2019-2021)

The draft strategy sets out the priorities for the next three years for improving sexual health outcomes for the local population. It provides a framework for planning and delivering commissioned services and interventions (within existing resources), aimed at improving sexual health outcomes across the life course.

Members probed into the statistics and questioned awareness raising; work with young people and vulnerable groups; delivery of healthy relationships education in schools; and access to LARC (long-acting reversible contraception). Concerns were raised that the performance measures focused primarily on infection control rather than covering all the wider issues within the strategy. Greater focus could be given to issues around healthy relationships, consent, sexual abuse and reduction of sexual assault.

Feedback from Scrutiny had been for the strategy group to consider developing a broader and SMART range of performance indicators to measure success. Discussion has commenced on the need to broaden the focus from infection control to more on prevention. The next stage would be looking at indicators to reflect this.

○ Response to the Scrutiny Workshop - Adult Residential and Nursing Care Homes

The purpose of the review had been to consider progress in bringing about improvements to safety, quality and effectiveness in the sector. In addition, it had provided an opportunity to explore the impact of the Care Homes Support Service, as the care home sector was one of the transformation initiatives under the Rotherham Integrated Health and Social Care Place Plan (RPP).

Discussion ensued to clarify the work taking place on training, career pathways and capturing the voice of care home residents. HSC inquired whether the position with a shortage of nursing care beds had improved, as this was a national issue. Assurance was sought about the service being confident in spotting neglect and abuse at an early stage in residential care. The Commission also inquired about progress with the work of the Quality Board and will schedule an update next year.

○ 2018 Annual Report of the Director of Public Health

Previous annual reports focused on the life course but the 2018 report sought to champion the strengths of Rotherham's local communities and share experiences of what kept residents healthy, happy and well. Two main themes flowed through the report – community and the environment – as well as the 'five ways to wellbeing'.

HSC were keen to see coordination between different workstreams, such as Adult Community Learning, neighbourhood working and health to focus on health inequalities. Challenges around behavioural changes were acknowledged and Members supported the actions in the plan. HSC asked that its concerns about addressing health inequalities be raised with the Health and Wellbeing Board and the Rotherham Together Partnership. Further information about the incidence of depression was requested and provided and will be followed up in 2020-21.

○ **Developing Rotherham Community Health Centre**

The centre had been purpose built to house the walk-in centre, a GP practice, dental services and community/outpatient facilities, but services had changed resulting in much of the centre lying empty. HSC discussed proposals to relocate Ophthalmology Outpatient Services there, which would benefit many patients in being a town centre location and help to reduce footfall on the busy Rotherham Hospital site. The proposed change would meet CQC requirements for separate services for children and adults and ensure sufficient space to meet current and future demand.

Members requested a follow up report following the public engagement through the summer and this was discussed in September, with the majority of responses in favour. HSC were supportive of making better use of this facility as proposed after verifying what would happen for patients requiring urgent eye care and for pharmacy provision. A final update will be considered in 2020 once the new arrangements are in place, to evaluate the impact of the changes and ensure everything has gone to plan.

○ **Investment and Evolution - Primary Care**

A short presentation provided the Select Commission with an overview of the key ambitions for the NHS for the next ten years. In particular the focus was on new models of care and implications for general practice given the requirement to develop Primary Care Networks (PCN), which sees a major change in how GPs will work. HSC delved into various issues including capacity in community services; promotion of appointments at the hubs; use of the Rotherham App; holistic care; First contact physiotherapy; and care navigation. Questions were asked about addressing health inequalities and whether the changes would lead to parity and consistency in terms of access to services. Members were given assurance that population health data would be used and would pinpoint areas where more support might be needed. Following scrutiny of the refresh of the RPP (see below) HSC agreed to have a future agenda item to focus on developments with the PCN.

○ **Progress Report – Scrutiny Review: Drug and Alcohol Treatment and Recovery**

Following its previous spotlight review the Select Commission considered a monitoring report regarding the outstanding recommendations. Current performance and service developments were noted and Members acknowledged the challenges for people with long-term methadone use in leaving services. They questioned performance on non-opiate user service exits and were reassured to learn that a specific psychosocial interventions package had recently been introduced. Progress was being made but more work was needed to achieve the targets for service exits. Further questions asked about reviews following the death of any service user and learning from cases.

The new joint pathway between drug and alcohol services and mental health services that had resulted from the review provided greater clarity for staff on referrals. Services were asked to provide updates on future pathway developments and to consider encompassing wider issues such as domestic abuse.

○ **Enhancing the Respiratory Pathway**

Members considered the rationale for change and details of the proposals. Attention was drawn to poorer outcomes; fragmentation across the system; higher numbers going into hospital and longer hospital stays. Proposed changes had been clinically led and should result in greater standardisation of care; improved patient education and support for patients to self-manage; care closer to home through a specialist community respiratory team plus a dedicated respiratory unit at the hospital.

Clarification was sought on the scale of the difference in outcomes currently compared to other areas and whether prevention would be included as well as self-management. Information was requested to show what significant improvement would look like in

terms of success measures. A more detailed data pack was shared after the meeting. Plans for public engagement were outlined using a variety of approaches, although HSC opined that the timeframe seemed quite short, which was fed back.

A second session updated HSC on the outcomes of the public consultation and further developments, including more information about the model and how it would create opportunities for diagnosis and review of more patients with respiratory conditions. Confirmation was given that initially people would go to primary care, as with diabetes, with support to GPs from Breathing Spaces, rather than directly to a specialist team.

Members questioned the impact on GPs and their capacity to respond in a timely manner and asked about the pathway for patients going to the hospital by ambulance. Previous concerns about digital inclusion were reiterated with the focus on websites, apps and social media but assurance was given that other means of communication and contact would continue and patients did identify their preferred means of contact. Regarding the statistics presented for the North East and Yorkshire, HSC inquired about specific indicators for Rotherham; what success would look like and how it would be reported. Reduced hospital admissions would be one measure but full detail on the key performance indicators would be shared with HSC. Implementation of the new model would be a phased approach working towards the full structure being in place by winter 2020. A further update would be scheduled for October 2020.

○ **Update on proposals for Intermediate Care and Reablement**

HSC were provided with more detail on how the work would be taken forward to implement new integrated pathways between Adult Social Care and Health. Members sought clarification on the success measures that would show the difference the new pathways would make. They queried the role of GPs in the pathways, questioned support for carers and asked about any likely difficulties in both recruiting and retaining staff within the new model. Information sharing and the fact that partners used different IT systems was raised as a potential risk and assurance was provided that the key was to have the same decision points agreed in both systems, to remove any confusion.

Two six week pilots, the first of which experienced some initial problems, had taken place in preparation for implementation from the end of October as proof of concept. Good outcomes had resulted from one team operating differently in the pilot which involved changes to ways of working and a cultural shift for managers and staff. It was confirmed this would then take time to cross over into mental health and learning disability but would happen. HSC were supportive of the new approach and will consider another update post-implementation.

○ **Workshop - Refresh of Suicide Prevention and Self-harm Action Plan**

HSC participated in a focused session in order to seek assurances regarding current activity, future plans and resources for work on suicide prevention and self-harm and to scrutinise and have input into the draft action plan. Members went through the plan in detail asking a number of questions regarding the various workstreams and touching on broader issues in relation to the information provided. Key issues explored included funding and sustainability; work with primary care; training; governance; work with schools; contacts and information for parents/carers; and the small grants scheme.

Members were reassured about the multi-agency work taking place in Rotherham on suicide prevention and self-harm. They acknowledged the benefits of the real time data surveillance and welcomed the refreshed plan, recognising that this did not represent all the work on these issues. Officers were asked to consider presenting information about the local picture differently so it was clearer. Issues raised were taken away for consideration and a response fed back in respect of foster carers; LGBT people as a specific cohort; autism, and letters on debts and financial issues.

○ Rotherham Hospital - Achieve an improved CQC rating

The Chief Nurse provided an update regarding the findings and ongoing actions to improve the rating for the Trust, in particular for the Urgent and Emergency Care Centre (UECC). A comprehensive action plan had led to significant progress to address concerns raised by the CQC and examples of activity and improvements were outlined across all five domains.

Members questioned the extent to which the CQC had picked up on teething problems given the reorganisation within the Trust. Some recognition had been given and team building and organisational development were being worked on, including leadership and support for escalating issues, but it was also about delivery to required standards. In relation to mandatory training, work with certain staff had been mentioned and HSC inquired what more was needed to ensure full compliance. Safeguarding support had been increased in the department along with training compliance, with weekly safeguarding supervision provided by the Named Nurse. Further work was taking place with some medical colleagues but as it could be difficult to release staff from the sharp end in the UECC alternative delivery methods were being explored. Significant staffing issues had been present in the paediatric UECC but no agency staff had been used since early 2019. The hospital's own staff and bank staff covered extra shifts and the Trust now exceeded CQC requirements for paediatric nursing staffing.

Other points explored were ways to reward positive role models and staff behaviours; numbers attending the UECC and impact on bed availability for patients needing to be admitted; and what the monthly culture checks with staff were showing. Members also asked how differently things would look and feel now from a patient perspective in the UECC. They were assured that the UECC was busy but would feel like a calmer and safer environment, with staff now more engaged and better communication. With a high throughput of patients, delays were inevitable but triage times were monitored and staff were ensuring people were streamed appropriately from the front door.

Progress was noted and the positive report was welcomed with the hope of it being formally confirmed in due course when the outcome of the unannounced UECC inspection in August 2019 was known. Follow up work at the quality sub-group earlier this year confirmed the re-inspection had resulted in improved ratings for the UECC.

○ Draft Social, Emotional and Mental Health Strategy and Schools Mental Health Trailblazer Pilot

Short presentations provided an overview of the pilot and the latest draft of the new Social, Emotional and Mental Health Strategy. An action plan covering the six core priorities was incorporated within the draft strategy and set out timescales to implement the Mental Health Trailblazer. This pilot was a new approach that would see Mental Health Support Teams established in 22 schools/education settings across Rotherham to help address and prevent mild to moderate mental health problems.

HSC asked about training and support across the wider workforce and if it would encompass understanding behaviours and how to deal with them, especially regarding some of the challenges of complex behaviours of Looked After Children. This was the vision of what the outcome of the training should be, although it would be a significant undertaking. Clarification was requested on the extent of the focus on prevention as it was not included as a priority but would underpin part of the model. An explanation was requested of how the strategy would support current work in schools.

A query was raised with regard to seeming heavy reliance on the Trailblazer and if that meant any concerns about sustainability, such as future funding. It was acknowledged that Trailblazer had a strong focus in 2019-20 with going live but not all priorities relied on Trailblazer and they had separate funding streams to support them. As an NHS

England programme, the Trailblazer needed to demonstrate clear outcomes and the measures would include a reduction in inappropriate referrals and increased confidence in schools (measured by school workforce perception surveys) which could be reported back in 12 months. Further information was shared after the meeting with details of the training and types of interventions to be delivered in schools.

○ **Performance Sub-group – Adult Social Care Outcomes Framework (ASCOF)**

The sub-group met in November to consider the year-end report on the framework measures for 2018-19 and benchmarking data. Detailed analysis of findings from the annual ASC Service User Survey and biennial Carer Survey were discussed at length. Overall Rotherham remained in a similar “mid-pack” position to where it had been the previous year, with measures in all four quartiles. All five Carer Survey measures were in the top 50% and good progress was seen on several measures. Members probed into those measures which had declined and sought assurances that the new model and ways of working would be reflected in improvements to the measures as they became embedded. Support for carers was acknowledged as an area for improvement and will feature in the HSC work programme in 2020.

○ **Rotherham Integrated Health and Social Care Place Plan Refresh – Workshop**

The purpose of the session had been to consider and comment on the general thrust of the plan, priorities and focus – including any perceived gaps and any specific issues in relation to any of the three transformation workstreams. Delivery and governance arrangements and how partners would measure success were also covered.

Members acknowledged the comprehensive nature of the plan and the strong partnership working behind it that characterises Rotherham. HSC has long advocated the importance of prevention and early intervention and viewed the new prevention enabling group as a positive step. After exploring a number of issues and workstreams comments from HSC were fed back to officers and partners for consideration.

○ **Loneliness Plan**

Loneliness is a priority within the Health and Wellbeing Board Strategy (Aim 4) and the plan is a key element on the preventative side due to the strong negative impact that loneliness may have on the mental and physical health of people of all ages.

Members recognised the difficulties in detecting loneliness and that it was hard for people to admit to feeling lonely. They highlighted raising awareness with partners of what was already happening in communities and officers confirmed they were trying to bring activity together in GISMO, the on-line database hosted by Voluntary Action Rotherham. Assurance was sought in relation to funding to maintain and update the site and it was hoped to access South Yorkshire and Bassetlaw funding for the “connectedness” workstream. HSC emphasised the need for a link to the Carers Strategy, future reporting with detailed examples and developing links to schools.

○ **Progress on Autism Strategy and Implementation Plan**

A presentation updated HSC on the development of the all-age autism strategy, which was close to finalisation. Key activity, guiding principles and priorities were outlined, plus an overview of the local context and current challenges around diagnosis and post-diagnosis support, as Rotherham is an outlier for autism spectrum prevalence.

Issues explored in more depth included links with other strategies such as SEND Sufficiency, Early Years, and Employment and Skills Strategy; the Autism Alert card; adult diagnosis and pathways; and the Transforming Care Partnership. HSC welcomed the work to increase diagnostic capacity and to understand the high level of demand and requested that the results of the on-line diagnosis pilot with Healios be reported back. A further update will be presented in 2020-21.

Improving Lives Select Commission

The remit is to carry out overview and scrutiny as directed by the OSMB in relation to:

- the Every Child Matters agenda (for every child to be safe, healthy, enjoy and achieve; make a positive contribution and achieve economic well-being)
- the early intervention and prevention agendas
- the implementation of Rotherham's plans to tackle Child Sexual Exploitation
- other cross-cutting services provided specifically for children and young people

In a similar approach to last year, much of the work of ILSC in 2019-20 built upon previous scrutiny work and probed into specific areas, to be assured on progress and on the safety and wellbeing of young people. Members welcomed the extra places for young people with Special Educational Needs and Disabilities (SEND) after closely scrutinising matters.

○ **Children & Young People's Services (CYPS) 2018-2019 Year End Performance**

A summary of performance in relation to Early Help and Family Engagement; Children's Social Care; Education and Inclusion was presented to ILSC. This outlined areas that were working well and the areas of concern and actions to address these.

In response to a query about persistent absence, details were given about the range of interventions in place to support and escalate concerns. Assurance was given that schools took action and raised concerns promptly, and these were monitored closely by the performance leads in Early Help and Family Engagement. Members inquired about any barriers which prevented completion of Early Help Assessments by partners. Early Help leads were working closely with agencies to clarify the process and levels of involvement and expected the number to increase. ILSC asked for clarification on the customer feedback measure, incentives for accessing Children's Centres and if a detailed cohort breakdown of young people not in education, employment or training (NEET) was captured. Benchmarking and the current staffing position were discussed.

○ **Children Missing from Education and Children Missing from Care and Home**

A short presentation outlined the different legislative frameworks that guide the responses to children missing from care and home and to children missing from education. Reference was made to research which highlighted that missing from care and home could indicate wider safeguarding concerns outside the family. A Missing from Home and Care Scorecard is produced monthly, providing a clear understanding around the Missing Cohort and identifying patterns and trends. Strong links had been established with partners in relation to Children Missing from Education (CME).

In terms of the increase in new CME referrals, including some recurrent cases, Members were assured that evidence suggests this is largely due to families being transient and then returning to Rotherham, rather than key concerns related to vulnerability and/or safeguarding issues. However, it has a financial impact on schools and council services due to the additional resource required to support CME. Looked After Children form the largest cohort of missing children and the Return Home Interview offers an opportunity to explore reasons why the young person went missing and to reduce future missing episodes.

Clarification was sought on information sharing, particularly in relation to children missing; if any very specific concerns had been identified; and if Child Abduction Warning Notices had been utilised. Further details were required on the increase of numbers of children at risk of CSE who had missing episodes and it was confirmed that there was good oversight in relation to the Missing and CSE teams. The number of permanent exclusions at primary school had risen and data on exclusions would be provided as part of the Educational Outcomes report. A further update on progress will be included as part of the Select Commission's 2020-21 work programme.

○ **Regional Schools Commissioner (East Midlands and the Humber Region)**

Certain decision making powers in relation to academies have been delegated to the Regional Schools Commissioners who work with academies to ensure they are underpinned by sound governance and finance to deliver good educational outcomes. It was recognised that Rotherham outcomes had not kept pace with improvements seen nationally and the Commissioner gave a commitment to work with Trusts and individual schools in the Borough to drive improvement and leadership.

Members explored a number of issues with regard to Elective Home Educated (EHE) pupils and were assured that data was available and work was underway to analyse trends and good practice, which would be submitted to a future meeting of the Commission (see below). Responsibility for the safeguarding of children who were home educated remained with the local authority.

Clarity was sought on work to support schools with larger numbers of newly-arrived pupils, particularly those joining the school roll after the standard transfer date, and the challenge of working with transient populations. Members were apprised of the approaches across the region to mitigate the impact of this and the expectation was that school leaders used their funding allocation appropriately to meet pupils' needs. It was later fed back that including an element in the national funding formula to reflect pupil mobility was under consideration.

○ **Rotherham Education Strategic Partnership (RESP) Update**

The RESP brings the work of key partners from across Rotherham's education system into a coherent and effective strategic plan. The aim is to maximise outcomes and improve life chances for children and young people, promote inclusion and reduce inequalities, to ensure that no school and no child or young person was left behind.

Members probed a number of specific issues including support available for children with SEND or other vulnerabilities; addressing concerns about the traded offer of services schools could buy in; dual funding and host schools; home visiting in relation to disadvantaged two year olds; monitoring EHE; and attainment of more able pupils.

ILSC requested the evaluation of the Early Years Home Visiting Project for a future meeting and a report to reflect the difference that the RESP was making, with details of the key timelines, milestones and outcomes. This should also include information on the actions taken to boost the performance of high performing and more able pupils.

○ **Rotherham Multi-Agency Arrangements for Safeguarding Children**

ILSC considered the Rotherham Multi-Agency Arrangements for Safeguarding Children which replaced former Rotherham Safeguarding Children Board in September 2019.

After a detailed presentation, questions addressed the following issues:-

- Child Death Overview Panel and its functions
- Additional arrangements in Rotherham that went beyond those prescribed
- Ensuring transparency and challenge between partner agencies
- Involvement of schools and powers in respect of school involvement
- Role of the Multi-Agency Public Protection Arrangements (MAPPA) Board
- Information sharing protocols
- Child exploitation in all forms
- Funding after the first year
- Continuation of the wider multi-Board meetings around safeguarding issues

Members agreed scrutiny of safeguarding arrangements would continue, with the Annual Report to be presented. They requested an update in six months following the implementation and transition to the new process.

○ Counter Extremism - Work in Schools

A briefing paper detailed the proactive work RMBC is undertaking in schools and colleges across the Borough to counter extremist narratives and build the resilience of young people to reject extremism, intolerance and hatred. Positive relationships had been developed with schools and colleges to deliver this initiative sensitively and a number of partners were engaged and committed. Future developments will include work with adults with reference to neighbourhood working and engaging people in dialogues about their communities.

Members asked questions around evaluating the impact of the work, training for school staff, targeting resources appropriately and work with schools that were less engaged. They were assured by the work in schools and colleges but requested an update on the planned future developments, together with a paper on how the local authority is meeting its Prevent duty in 2020-21.

○ Elective Home Education (EHE)

EHE is the term used to describe a legal choice by parents to provide education for their children at home - or in some other way which they choose - instead of sending them to school full-time. EHE Officers conduct home visits to discuss the education a child in EHE is receiving and review samples of work, progress made and future plans. Where there are concerns about the suitability of the education provided, the officers will discuss alternative options with parents/carers.

More parents had been requesting information about EHE or considering alternatives to current schools. Without sufficient EHE Officer capacity to discuss issues rapidly, local knowledge and school admissions/other service links, many families would have chosen EHE without fully understanding all the implications or the education options and support available, often at a time of crisis. It was highlighted that a small but increasing number of families had declined EHE Officer visits or refused to send supporting evidence that their child was receiving a 'suitable education'.

Members raised a number of concerns that were discussed at length:

- access to public examinations and potential impact of having no formal qualifications
- actions to support improvement
- transition to post 16 education, training or work and adult life
- identifiable trends in the rise in EHE applications
- monitoring progress

Assurance was sought on measures to safeguard children properly and that if concerns were raised these could be escalated appropriately. Officers stressed the importance of building relationships with parents within the boundaries of legislation relating to EHE. Parents could refuse access but if safeguarding concerns were raised these would be escalated appropriately. Assurance was also provided about information sharing between agencies and the EHE team links in with the Operational and Strategic Missing Groups. Another update on EHE will be considered later in the year.

○ Rotherham Early Help and Youth Offending Services - Service User Experience

Service users gave an account of their experiences of early help services and youth offending services respectively. They outlined the support and advice received from staff and the positive impact of the interventions. These included support for new parents, assistance with benefit and financial advice, employment support and transition into adult services. They also highlighted how different agencies were co-ordinated to deliver tailored provision that reflected their assessed needs. With regard to young offenders, the lack of education and employment opportunities was flagged and picked up in recommendations made on the Youth Justice Plan (see below).

○ Rotherham Youth Justice Plan 2019-21

A presentation outlined the role of the Youth Offending Team (YOT), who are located within the Early Help Service and work with partners to achieve the national youth justice strategic objectives. Areas that were working well were highlighted and in respect of areas for improvement, reoffending rates continued to be of concern and the Looked After Children status of the offending cohort was increasing as a percentage.

Different agencies worked together to disrupt anti-social behaviour, to prevent escalation and possible entry into the youth justice system. Further clarification was sought regarding the lower rate of entrants in Rotherham and on the evidence which supported the assertion that Rotherham was performing better than other South Yorkshire authorities. Details were outlined of the assessment and triage process, which contributed to lower levels of entrants into the system. Members probed into how risks around child criminal exploitation and peer radicalisation were assessed for first time entrants and re-offenders. Assurance was given that there was good oversight of the Prevent and criminal exploitation agenda. Examples were given of the assessment process, restorative justice in relation to hate crime and work undertaken with young people in schools. Work was underway to track data in a more timely way and assertions given that the service was 'inspection ready'.

ILSC made two recommendations:-

- That the Deputy Leader explore if further measures could be taken to identify Council apprenticeship opportunities for young people involved in the youth justice system and engage the business community in similar initiatives such as job fayres.
- That the involvement of service users in awareness raising in schools and/or peer support to other young offenders, or those at risk of offending, be explored.

○ Rotherham Early Help Offer

Members discussed an overview of performance and plans moving forward. Following previous lines of enquiry, information was provided on early help assessments (EHA) completed by partners and how the voice of children and young people was captured. Steps taken to improve partner completions of EHA were outlined including sharing good practice, quality checks and providing information and advice, plus support where appropriate. In relation to capturing the voice of the child or young people, details of consultation and engagement events were provided. Practice learning days also highlighted how workers considered the voice of the child and young people. Exit Surveys and case closures had been adapted to ensure specific questions were asked.

Clarification was sought on the current budget and future sustainability of the service. It was highlighted that 40% of the Early Help Service was funded from external sources, although uncertainty existed over certain funding streams. Limited research was available on cost avoidance for partners arising from early help interventions but higher level data showed early help services were making a positive impact. The Chair requested further scrutiny on the early help offer, to include an analysis using the ISOS framework, with a sub-group to scope the specific elements which required assurance.

A follow up report in March 2020 updated Members on the delivery of the Council's Early Help and Social Care Pathway and its ten component workstreams. The positive progress over the last few years was welcomed and ILSC requested a future update on implementation of the Liquid Logic case management system.

○ Children and Young People's Services Directorate Workforce Strategy

ILSC supported and endorsed the CYPS Workforce Strategy and noted the integral part of the Rotherham Learning Academy in supporting delivery of the strategy. A progress report on delivery would be brought back in a year.

○ **Persistent Absence from School - Workshop**

Following concerns about levels of persistent absence, a sub-group met to examine how the Early Help service was working with schools to address this issue. Members considered the new referral pathway which had been co-designed to ensure consistency in support and guidance to schools and parents prior to enforcement action being requested. The pathway was aligned to Rotherham's Continuum of Need to ensure appropriate support for all children with additional needs. Questions were asked about the escalation process and how this was applied fairly to ensure requests for enforcement were only submitted when clear evidence showed all strategies to support attendance and wider social and emotional wellbeing had been exhausted. The new pathway was introduced early in the Autumn term and had been received positively by schools. ILSC agreed to review implementation to monitor how well it had become embedded and to share feedback and learning on the approach.

○ **2019 Education Performance Outcomes**

The provisional, unvalidated educational outcomes of children and young people in all Rotherham schools and academies for the academic year 2018-2019 were presented to ILSC. Overall education outcomes had not improved and following investigation a comprehensive action plan had been written to address the issues, particularly at KS2, by focusing on the key basics that would have most impact on performance.

Members inquired about under performance in Early Years provision and whether there should be an increased focus on creative activity, including in the teaching of reading and writing. With regard to capturing information on breakfast clubs and other activities that ensured children were ready to learn it was confirmed this could be presented in future reports. One recent initiative was the Attendance Pathway to monitor non-attendance and to challenge and support families referred to above. Details of collaborative working with schools and other strategic partners were provided showing increased levels of engagement from academies.

○ **Implementation of Looked After Children Sufficiency Strategy**

ILSC scrutinised a progress report on the latest developments under each of the key priorities. Challenges remained but Members were advised that over the long term the trends for all data in the report were travelling in the right direction and showing a positive improvement. The sufficiency budget remained significantly overspent but the actions by their very nature would take time to deliver the requisite outcomes.

The low numbers of foster carers registered with the Council was noted and concern expressed that this could result in children being placed in residential care instead of with foster carers. The care setting had to be right for the young person and although the majority of care was provided with foster families, due to the lack of Rotherham registered foster carers, some placements were through foster agencies, which were more expensive. Members asked how the quality of provision by third party providers was monitored and were assured that a child's social worker would continue to work with a child once placed and ensure care aligned with the Rotherham Family approach.

○ **CSE Support Services Re-commissioning**

Members noted the proposal for CSE Support Services to be re-commissioned by the Council and that the draft needs analysis would be supplemented by consultation with the public during March 2020. The service specification will be developed based on findings of the full needs analysis, with the commissioning timescale aligned with the Trauma and Resilience Service. Having regard to the revised timescales for the recommissioning, benchmarking work by ILSC last summer will be recommended to feed into the development of the CSE Support Services needs analysis.

Improving Places Select Commission

The remit is to carry out overview and scrutiny as directed by the OSMB relation to:

- Housing and Neighbourhood strategies
- Economic development and regeneration strategies
- Environment and sustainable development strategies
- Community cohesion and social inclusion
- Tourism, culture and leisure

○ Rotherham Employment and Skills Strategy 2019-2025

The strategy was approved by the Rotherham Together Partnership in April 2019, with implementation overseen by the Employment and Skills sub-group of the Business Growth Board. IPSC were updated with regard to progress on mapping and identifying existing employment and skills provision; development of a detailed delivery plan; schools' involvement; and the launch of Skills Bank 2 and Skills Support for the Workforce - funding for businesses to train and upskill their existing workforce.

When the draft strategy had been considered by OSMB during pre-decision scrutiny a number of issues had been raised and the report set out a response to each of these issues. The Equality Analysis had been updated and although a more detailed action plan was being developed this was unlikely to be finalised until 2020.

Members inquired about plans for work with specific cohorts of people such as people with learning disability, carers and women. They also explored funding streams, ways of encouraging more businesses to become involved and links with schools. Follow up information was requested and circulated and the comments from IPSC were fed back. The outcome of the mapping exercise of present employment and skills provision and the detailed action plan were requested for a future update in 2020-21.

○ Allotments Self-Management

It was agreed in July 2018 to adopt a new vision and specification for allotments in which the Council would approve the transfer of Council-owned allotments to a new borough wide self-management body and establish a Community Benefit Society for this purpose. Although management of the allotments would be undertaken by the new Rotherham Allotment Alliance (RAA), the Council still retained ownership of the sites and statutory responsibility for provision of allotments. No additional administrative burden was anticipated on either self-managed sites or for individual tenants. Assurance was given that the RAA had public liability insurance and that the Board of Directors was monitoring progress and ensuring due diligence. A further update will be reported to IPSC in December 2020 following the transfer of the allotment to the RAA.

○ Thriving Neighbourhoods - Update Report

A detailed progress report outlined achievements to date under each of the core drivers in the implementation plan, together with an indication of next steps for the coming year. Summaries of ward priorities and individual ward budget profiles showing spending and the themes/priorities invested in were also discussed at length. Questions ensued on issues concerning the budgets, such as the possibility of being able to bid for any underspend from ward base budgets that would otherwise return to the central pot; capital spend; avoiding duplication with parishes; and decisions by Area Housing Panels. More detail was provided on the Community Sport and Health Apprenticeships and how these roles would not focus solely on neighbourhood working or community development but also work actively with residents, dealing with some of the Council Plan indicators across the Borough and working with partners.

○ Response to Joint OSMB and IPSC Scrutiny Review: Modern Methods of Construction – see OSMB

○ Enforcement Contract - Kingdom/Doncaster MBC

The 'Time for Action' initiative provides a means to deliver enhanced enforcement around enviro-crime, particularly littering and parking offences. Joint arrangements with Doncaster Council have been operational since September 2018 and have delivered enhanced enforcement and greater visibility across various locations. Officers presented the position in relation to service performance, together with an indication of the challenges faced. Detailed breakdowns of parking charge notices issued and litter and dog fouling fines and patrols by location were examined. Members explored both practical and operational issues and received additional information about cases heard at the Single Justice Court.

A further update was scrutinised as some previous recommendations from Scrutiny were still in progress and delivery overall had been mixed, influenced by software reporting capabilities and contractor staffing turnover. The Council had exceeded its annual target for Fixed Penalty Notices for 2019-20, which had been set at 2,000 but the overall target within the Service Level Agreement would not be achieved. Officers acknowledged that improvements had yet to be made in relation to sharing information with ward members, on patrols and fines issued. Partnership data was under review and the service would aim to provide regular updates through the CAPs, which would also provide a forum to raise areas of concern in order to target enforcement patrols. More precise information was requested to be made available at CAPs, for example, maps with the location of every citation, plus details of the availability of individual officers or patrols in various areas of the Borough. IPSC also explored how Parish Councils might become more involved, including to help inform the targeted patrols; and asked about communication and other forms of interventions.

It was recommended that statistics be brought to the CAP meetings on a regular basis and delineated by ward if possible and that a clear improvement plan be submitted to the Select Commission for pre-decision scrutiny.

Other scrutiny of enforcement activity included an overview of the Public Space Protection Orders in Rotherham town centre and the Fitzwilliam Road area.

○ Workshop - Area Housing Panels Review

IPSC undertook a focused workshop to consider the emerging proposals for Area Housing Panels from 2020-21. An overview of the following issues was provided to introduce the session:-

- Focus of the review and response to the consultation.
- Future tenant engagement - the aim was to move towards a more agile way of engagement, one easily recognisable to tenants whilst reflecting ward needs.
- Three Ward pilots testing different ways of working began in September 2019.
- Future budget arrangements.
- Proposal to roll forward unspent budget (within a four year cycle).
- Budget and project governance - scope to align/match fund from other sources.

The sub-group probed into several issues and sought clarification on the detail behind some of the emerging proposals. Steps to encourage people's involvement, particularly where there had been low engagement previously, or a struggle to identify projects or spend money, were emphasised. Similarly, with means to engage with greater numbers of tenants. Other areas of focus were on ensuring flexibility in the ways to bid for funding and to reflect individual ward needs; clarifying the role of Elected Members; the approval process for projects and ensuring these aligned with ward priorities. Development of customer access, recognising that digital technology was not appropriate for all, was also touched upon. Budgets would be project specific

with funding for smaller scale projects generally accommodated within the Ward Housing budget, but larger scale projects would be funded through the Housing Capital Programme. Funding would be adjusted annually to reflect housing stock in a ward.

Members were assured by the emerging proposals to disestablish the existing Area Housing Panels at the end of the 2019-20 financial year and replace these with 25 Ward Housing Hubs. Their recommendations were presented and endorsed at OSMB.

○ **Review of re-deployable CCTV**

IPSC considered an update on the purchase and deployment of CCTV units as a result of additional capital funding of £60,000 made available in 2018. All the units bar one had been deployed, but two currently had faults and were awaiting repair. Officers highlighted that the installation of CCTV was often accompanied by a range of activities, including increased patrols, intelligence gathering, identification of offenders and issuing warnings or further sanctions as appropriate. Resolutions to issues would also explore wider problem solving opportunities, such as designing out crime and engagement activity. The CCTV cameras were primarily overt, providing a deterrent in the areas where deployed.

Members inquired about the number of overt/covert cameras and the quality of the images produced. Officers confirmed covert CCTV had greater effectiveness than overt at helping to catch fly-tippers and that the quality and capability of the cameras was set by law. Questions were posed about more investment in covert cameras as these proved effective in helping to deter fly-tipping and prosecute fly-tippers.

Clarification was sought regarding court awards and officers provided details on court awards and costs incurred by the Council if offences went on to court prosecution. IPSC also asked about available funding for repair and maintenance plans. The present system for checking that all the CCTV units were active and operational was explained but revenue funding would be required to set up any service agreement in the longer term. This would be explored during the upcoming review of CCTV use across the Borough, which would encompass fixed, re-deployable, overt and covert CCTV systems.

IPSC requested that the results of the full-system review of CCTV be reported back once completed. The Commission also recommended that the review consider addressing provision for maintenance and repairs and to expanding coordinated overt and covert camera use, on the grounds that results had been positive.

○ **Dignity Funerals Ltd**

IPSC considered the Annual Report from Dignity in June, followed by a further performance update in December on measures that had been rated as red or amber. In addition to the contractual performance indicators, officers reported on progress with additional recommendations made in February.

Performance - Good progress had continued during 2019 with only two measures/targets still rated as red. Regarding provision of environmentally friendly burial options, a consultation exercise would be required to assess likely take up. A safe had been ordered for secure storage for registers and records. Of the five measures rated as amber, three had progressed, with plans for the other two but no definite timescales.

Pilot - Between April and September 2019, the Council and Dignity trialled a new 'out of hours' service to enable short notice burials after 3pm in Rotherham. The pilot aimed to make a reasonable adjustment to the bereavement service to address concerns raised by the Muslim community and to improve the offer to other service users who wished to have a burial up until 6.30pm at East Herringthorpe cemetery.

Evaluation showed the pilot was successful, particularly in relation to better delivery of the Council’s commitment to the Public Sector Equality duty. 77% of respondents considered the pilot made a reasonable adjustment to meet community, religious and cultural needs and 71% viewed it as having a positive impact on community relations. Further work and research were carried out before the final decision was taken to extend the out of hours provision on a permanent basis.

Other issues - Clarification was provided regarding the out of hours fee. A question was raised on trying to facilitate information sharing more directly between the hospital, Coroner, Registrars and Dignity rather than through the family. New processes were being introduced from April 2020 between the hospital and Registrars and thus the link with the hospital would come naturally. Members explored other issues including availability of data on take up of the pilot from people outside Rotherham centre; progress in addressing the issue with waterlogging on one site; lengths of graves and pathways between them; and the possibility of allowing use of wooden kerb sets in the future. Officers agreed that a site visit to East Herringthorpe to see the planned expansion site could be accommodated.

○ **Workshop - Council Plan Performance Indicators**

A short session familiarised IPSC with all the performance indicators that fell within its remit under Priorities 3 and 4 of the plan. A small number of measures that met the agreed criteria for exception reporting were identified and scrutinised at OSMB in October 2019 and the outcomes reported back. Queries had emerged about other measures at the workshop and written responses to these questions submitted. Culture, Sport and Tourism Services agreed to review their processes for capturing resident satisfaction across all sites and return with a proposal for a future methodology that would have the requisite degree of independence and provide value for money.

○ **Major Incident Plan, Flooding Update and Future Flooding Alleviation Projects**

Consideration was given to a report detailing progress with the review of the Major Incident Plan, an update on the response to the flooding events of November 2019 and plans for future flood defences, which involved seeking £51m from central government. Members explored a number of key issues (summarised below) but it was recognised further scrutiny would ensue on these issues and a post-incident review by the Council would be undertaken of the emergency response and any lessons learned.

- | | |
|-------------------------------------------|--------------------------------------|
| ◆Evacuation areas and rest centres | ◆Building resilience |
| ◆Welfare of displaced families | ◆Increasing volunteer numbers |
| ◆Relief packages and processes | ◆Communications during the emergency |
| ◆Damage – property and businesses | ◆Liaison with affected residents |
| ◆Alternative plans if funding bids failed | ◆Future discussion with landowners |

The following recommendations were made:

- That the Cabinet reflect on the lessons learned in 2009, with past incidents being taken into consideration in future works on flood alleviation.
- That feedback from residents and business owners be captured in review of the Authority’s response to the floods and be shared with IPSC in 2020-21.
- That clarification be provided in respect of the approach to communications and decision-making in the event of flooding or other emergency incidents.
- That the Council partner with other authorities such as the Environment Agency and Yorkshire Water to inform our response to future flooding incidents.

The Chair and Councillor Wyatt, who chaired the Emergency Planning review, later met with officers to discuss future scrutiny in respect of flood management and emergency response by the Council, which will be scheduled in the work programme for 2020-21.

Scrutiny Membership 2020 – 2021 (tbc after Council 22/07/2020)



Overview and Scrutiny Management Board

- | | |
|----------------|----------------|
| Cllr Cusworth | Cllr Mallinder |
| Cllr R Elliott | Cllr Taylor |
| Cllr Jarvis | Cllr Tweed |
| Cllr Jepson | Cllr Walsh |
| Cllr Keenan | Cllr Wyatt |

Chair: Cllr Brian Steele

Vice-Chair: Cllr Napper

Meetings are held fortnightly at 11.00am on Wednesdays.

Health Select Commission



Improving Lives Select Commission



Improving Places Select Commission



**Chair:
Cllr Eve Keenan**

Vice-Chair: Cllr R Elliott

**Chair:
Cllr Victoria Cusworth**

Vice-Chair: Cllr Jarvis

**Chair:
Cllr Jeanette Mallinder**

Vice-Chair: Cllr Tweed

- Cllr Albiston
- Cllr Andrews
- Cllr Bird
- Cllr Brookes
- Cllr Cooksey
- Cllr Ellis
- Cllr Evans
- Cllr Jarvis
- Cllr Short
- Cllr John Turner
- Cllr Vjestica
- Cllr Walsh
- Cllr Williams

- Cllr Atkin
- Cllr Beaumont
- Cllr Buckley
- Cllr Clark
- Cllr Elliot
- Cllr Fenwick-Green
- Cllr Hague
- Cllr Ireland
- Cllr Khan
- Cllr Marles
- Cllr Marriott
- Cllr Pitchley
- Cllr Senior
- Cllr Julie Turner

- Cllr Atkin
- Cllr Buckley
- Cllr B Cutts
- Cllr Elliot
- Cllr Jepson
- Cllr Jones
- Cllr Khan
- Cllr McNeely
- Cllr Reeder
- Cllr Rushforth
- Cllr Sansome
- Cllr Sheppard
- Cllr Taylor
- Cllr Julie Turner
- Cllr Whysall
- Cllr Wyatt

Meetings (usually) held every six weeks at 2:00pm on Thursdays.

Meetings (usually) held every six weeks at 5:30pm on Tuesdays.

Meetings (usually) held every six weeks at 1:30pm on Tuesdays.

DRAFT Outline Work Programme 2020 – 2021

Overview and Scrutiny Management Board and Select Commissions

- Response and Recovery - COVID-19 Pandemic

Overview and Scrutiny Management Board

- | | |
|-------------------------------------------------------|------------------------------------------------|
| ○ Customer and Digital Programme | ○ Financial Monitoring |
| ○ Adult Care Budget and Service Development | ○ CYPS Budget |
| ○ Human Resources | ○ Social Value Policy Implementation |
| ○ Advice Services | ○ Safer Rotherham Partnership |
| ○ Budget and Medium Term Financial Strategy 2021-2022 | ○ Children’s Commissioner’s Takeover Challenge |
| ○ Community Hub | ○ Lead on Pre-decision Scrutiny |

Health

- | | |
|------------------------------------------------|------------------------------------------------|
| ○ Local Authority Declaration – Healthy Weight | ○ Local Response to Marmot Review: 10 Years On |
| ○ Intermediate Care and Reablement | ○ Depression and Mental Health |
| ○ Autism Strategy and Pathway | ○ Carers Strategy |
| ○ Public Health | ○ Learning Disability Transformation |
| ○ Care Homes | ○ Joint Health Scrutiny – SYDNo |
| ○ Respiratory Services | ○ Transformation of Primary Care |

Improving Lives

- | | |
|-----------------------------------------------------------|------------------------------------------------------------------|
| ○ Safeguarding – Children and Adults | ○ Youth Offending Team |
| ○ Implementation of recommendations from SEND Peer Review | ○ Re-referrals and Repeat Child Protection Planning |
| ○ CYPS Workforce Strategy | ○ Education Performance |
| ○ Looked After Children Sufficiency Strategy | ○ Counter Extremism Work in Schools and Prevent Duty |
| ○ Elective Home Education | ○ CYPS Performance Monitoring |
| ○ Rotherham Education Strategic Partnership | ○ Children Missing from Education and Missing from Care and Home |

Improving Places

- | | |
|----------------------------------------------------------------|---------------------------------------------------------------|
| ○ Homelessness and Rough Sleeper Prevention Strategy 2019-2022 | ○ Implementation of Rotherham's Cultural Strategy 2019 – 2026 |
| ○ Housing Repairs and Maintenance | ○ Town Centre Master Plan |
| ○ Employment and Skills Strategy | ○ Review of Re-Deployable CCTV |
| ○ Code of Practice for Highway Inspection and Assessment | ○ Housing Strategy and Housing Revenue Account |
| ○ Allotments Self-Management | ○ “Pollinator Friendly” Green Spaces |
| ○ Major Incident Plan | ○ Flood Management Plans |



If you or someone you know needs help to understand or read this document, please contact the Governance Unit:

Email: governance@rotherham.gov.uk

<h1>BRIEFING</h1>	TO:	Overview and Scrutiny Management Board
	DATE:	15 July 2020
	LEAD OFFICER:	Janet Spurling Governance Advisor, Assistant Chief Executive's Directorate 01709 254421
	TITLE:	Update on Work Programme for 2020-21
1. Background		
1.1	Members of the Overview and Scrutiny Management Board (OSMB) held a virtual work planning session on 27 May 2020 to consider items for inclusion in the Board's work programme for the 2020-21 municipal year.	
1.2	<p>The aims of the session were as follows:</p> <ul style="list-style-type: none"> ● to review the items requested during 2019-20 for further scrutiny in 2020-21 ● to consider the priorities for the work programme in 2020-21 in light of the Covid-19 pandemic ● to reflect on the balance to be struck between: <ul style="list-style-type: none"> - pre-decision scrutiny and general more in-depth scrutiny or reviews - scrutiny-led and Cabinet-led agendas - proactive work in policy and service development compared with reactive work such as financial or Council plan performance monitoring - exception reporting v routine reporting - strategic v operational focus ● to discuss approaches to scrutiny and key principles 	
2. Key Issues		
2.1	The work programme needs to address principal policy agendas, with clear emphasis on adding value by leading to improved outcomes for the people of Rotherham. It should also be clearly focused on issues Scrutiny will be able to influence at a strategic level.	
2.2	<p>Established working practices to ensure delivery of an effective work programme are anticipated to continue in 2020-21. In summary these are:</p> <ul style="list-style-type: none"> - Members, in conjunction with officers, will scope the terms of reference for a review and determine the most appropriate approach to ensure an effective outcome - e.g. workshop session; spotlight review; in-depth piece of work; task and finish group. - Each Select Commission Chair and Vice Chair will meet with the relevant portfolio holder(s) periodically to discuss policy and performance issues, any emerging issues within their portfolio, and planned and on-going scrutiny reviews. 	

	<ul style="list-style-type: none"> - Liaison will take place between the relevant Link Officer(s) and the Governance Advisor in relation to the work programme for each scrutiny committee and with regard to the papers/information required for items under scrutiny. - For performance monitoring, financial monitoring and pre-decision scrutiny of Cabinet reports, each Chair and Vice Chair will lead on questioning for areas in relation to the remit of their commission
2.3	The work programme should be realistic in what may be achieved and also retain a degree of flexibility to allow for scrutiny of any policy changes or emerging issues in year. Any such issues or urgent matters which may arise could necessitate a review and reprioritisation of the work programme during the year.
2.4	More specifically, the programme will need to take account of the response to and recovery from the Covid-19 pandemic. This work has already commenced with scrutiny of the Council's response to the pandemic by OSMB on 28 April 2020 (see minutes of that meeting in the agenda pack for issues explored by Members). Pre-decision scrutiny of proposals for financial support for older people's residential care homes and for learning disability day opportunity providers was undertaken on 7 May 2020. The Select Commissions have also initiated their scrutiny of issues related to Covid-19 during June.
2.5	This important scrutiny work will encompass not only the immediate response to the pandemic and any lessons learned but also the broader impact and implications for the Council and its partners and for the community. For example, the repercussions of any delay in planned transformation work; financial, economic and health and wellbeing impacts; and capacity to meet potential increased demand for certain services in the coming months.
2.6	As many services are being delivered very differently as a result of the pandemic, it also presents an opportunity to consider how things might be done in the future rather than a resumption to former ways of working or delivering services. Scrutiny will have a critical role in helping to shape the future in Rotherham and to ensure compliance with correct decision-making processes when changes are implemented.
2.7	Previous discussion on the timing of pre-decision scrutiny has highlighted how Scrutiny would have greater scope to influence policy or seek assurances if it were to take place much earlier than immediately prior to the decision by Cabinet. Linking this in with points 2.5 and 2.6 above, potentially means pre-decision scrutiny could become more direct work in policy development and transformation, from an early stage.
2.8	Following the announcement of a further relaxation of lockdown measures, the Chief Executive confirmed that the Council would continue to take a considered approach to re-opening services based on the detailed guidance from Government once received. A set of guiding principles is under development for how services will operate in the future and will form a framework for managers to consider before resuming or changing services. These principles will seek to ensure that the Council continues to promote public health messages, keeps the public and staff safe and learns from the experiences of managing the pandemic.
3. Key Actions and Timelines	
3.1	It was agreed at the planning session that the overall priority for the Overview and Scrutiny Management Board Work Programme 2020-21 would be scrutiny of issues arising from the Covid-19 pandemic.
3.2	An in-depth workshop session on the budgetary and financial implications of the pandemic will be held shortly. This will look at the impact on previously agreed savings,

income generation and reserves. Following from this it is likely that OSMB will wish to scrutinise the budget proposals for 2021-22 and the Medium Term Financial Strategy.

- 3.3** OSMB had previously determined to retain oversight of the Customer and Digital Programme as an important cross-Council budget proposal. This will remain a key area to explore given the current changes to ways of working and delivering services following the rapid and necessary channel shift away from face-to-face to on-line and virtual.
- 3.4** Besides financial matters and channel shift, other areas for possible scrutiny emerged at the planning meeting in relation to Covid-19 recovery and restart which will require further discussion and prioritisation by the Board:
- Future services – priority and non-priority/reconfiguration
 - Impact on local economy, businesses and employment
 - Council estate and assets
 - Impact of social distancing – productivity/working patterns/workspaces
 - Lessons learned from setting up the Community Hub/its future role?
 - Flexible and remote working – impact on staff and community/will this become the new norm?
 - Ways to build on the new relationship between Council and residents and develop public involvement/links to neighbourhoods
 - Delivery of Government requirements
 - Communications and dissemination of information
- 3.5** Enhancing public participation in scrutiny should be developed further to capture a wider and representative spectrum of resident and service user views and experiences, across all topics in the final work programme.
- 3.6** Timescales for various aspects of standard performance reporting have been affected by the emergency, such as the year-end report on the Council Plan performance. However, regular detailed data reports have been produced for Gold Group to monitor and manage the impact of Covid-19. This data will form a crucial part of the evidence base to inform future plans.
- 3.7** A number of items previously requested for further monitoring during 2020-21 have been included in the initial programme at Appendix 1; some with a longer timeframe than originally planned, due to the impact of the pandemic, such as Social Value.
- 3.8** The Board is asked to consider a number of items which have featured in the work programme for several years (see Appendix 2), or been suggested for 2020-21, and to decide which will be retained.
- 3.9** An indicative headline work programme for the three Selection Commissions is included at Appendix 3, subject to final discussion by the committees and approval by OSMB. With a focus on improving outcomes, three suggested core principles underpin the work programme:
- reducing inequalities
 - ensuring holistic approaches to policy development and service delivery from the Council and partners
 - having meaningful opportunities for resident and service user voice and influence to inform policies and services

4. Recommendations

- | | |
|------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 4.1 | <p>That the Overview and Scrutiny Management Board:</p> <ol style="list-style-type: none">1) Agree that scrutiny of the response to and recovery from the Covid-19 pandemic be the overarching priority for 2020-21.2) Approve the initial items scheduled in the work programme for 2020-21 as set out in Appendix 1.3) Determine which items in Appendix 2 should be retained in the work programme.4) Endorse the indicative headline work programme for the Select Commissions.5) Note that should any urgent items emerge during the year this may necessitate a review and re-prioritisation of the work programme. |
| 4.2 | <p>That Scrutiny Chairs and Vice Chairs meet with Cabinet to discuss scheduling major initiatives for scrutiny by OSMB during 2020-21 to inform the final work programme.</p> |
| 4.3 | <p>That discussion take place between the Chair of OSMB and the Leader on pre-decision scrutiny and the role of Scrutiny in policy development in the recovery and restart from Covid-19.</p> |

Appendix 1

Overview and Scrutiny Management Board Initial Work Programme 2020-21

Key:

- Meetings scheduled before Cabinet are shaded in pale lemon
- Agenda items shaded in pale blue are pre-decision scrutiny items

Date	Agenda Item
28 April 2020	Update on the Council Response to the Covid-19 Emergency
7 May 2020	Financial Support for Older People's Residential Care Homes during the Covid-19 Pandemic
	Financial Support for Learning Disability Day Opportunity Providers during the Covid-19 Pandemic
10 June 2020	Autism Strategy
	Support Services for Adult Survivors of Child Sexual Exploitation: Commissioning and Procurement Approach
	Finance Update and Budget Monitoring Report
	Covid-19 Discretionary Business Grants Scheme
	Children's Commissioner's Takeover Challenge (CCTOC) 2020 - Hate Crime Review Report
15 July 2020	Community Energy Switching Scheme
	Financial Outturn 2019/20?
	Consultation on Public Spaces Protection Orders - Town Centre and Borough Wide Dog Fouling
	Scrutiny Annual Report
	Update on Work Programme for 2020-21

Date	Agenda Item
29 July 2020	TBC
Date tbc	Workshop – Covid-19 Impact: Budget and Previous Savings Proposals
2 Sept 2020	Adult Care Budget (<i>requested from 2019-20</i>)
	CYPS Budget (<i>from work programme planning meeting</i>)
	Response to recommendations from Sickness Absence Workshop
16 Sept 2020	TBC - <i>see Appendix 4 Extract from Forward Plan of Key Decisions (FPKD)</i>
	Advice Services Review (<i>Requested from 2019-20 and on FPKD</i>)
14 Oct 2020	TBC
	Council Plan Performance 2019-20 Quarter 4 (<i>provisional date, not pre-decision</i>)
	Response to CCTOC 2019 - Young Carers
	Response to CCTOC 2020 - Hate Crime
4 Nov 2020	Adult Care - restructure and pathway development (<i>requested from 2019-20</i>)
	Customer and Digital Programme (<i>from 2019-20 as agreed to keep on scrutinising</i>)
	Safer Rotherham Partnership Annual Report
18 Nov 2020	TBC

Date	Agenda Item
2 Dec 2020	TBC
	Implementation of Recommendations from the Scrutiny Review of Agency, Consultancy and Interim Staff <i>(from 2019-20)</i>
16 Dec 2020	TBC
20 Jan 2021	TBC
10 Feb 2021	Budget, Council Tax and MTFS proposals for 2021-22
	TBC
24 Feb 2021	Social Value Policy <i>(requested from 2019-20)</i>
	TBC
March Date tbc	Children's Commissioner's Takeover Challenge 2021 TBC
17 March 2020	TBC

Appendix 2 Other Issues for Consideration/Scheduling

Regular items	
1	Complaints and Compliments Annual Report <i>(see note below)</i>
2	Council Plan <i>(see note below)</i>
3	Financial Monitoring in-year
4	Budget Consultation
5	Budget Proposals for 2021-22
6	Children's Commissioner's Takeover Challenge 2021
Exception reporting	
7	Forge Island
8	Council Plan Performance – red measures/negative direction of travel <i>(Q4 2019-20 report to Cabinet in Sept on FPKD)</i>
From 2019-20	
9	New HR and Payroll System Implementation – rationalisation of data held by HR/Finance
10	Hate Crime Strategy - update on addressing Hate Crime and pre-decision scrutiny <i>(timescale tbc)</i>

Note: As staff in Policy, Performance & Intelligence team in Assistant Chief Executive's Department are deployed in the Community Hub this will impact on capacity in relation to these items.

Appendix 3

Indicative Headline Work Programme for Select Commissions 2020-21

Health Select Commission	Improving Lives Select Commission	Improving Places Select Commission
		
Local Response to Marmot Review: 10 Years On	Safeguarding – Children and Adults	Homelessness and Rough Sleeper Prevention Strategy 2019-2022
Intermediate Care and Reablement	Implementation of recommendations from SEND Peer Review	Housing Repairs and Maintenance
Autism Strategy and Pathway	CYPS Workforce Strategy	Employment and Skills Strategy
Public Health	Looked After Children Sufficiency Strategy	Flood Management Plans
Care Homes	Elective Home Education	Allotments Self-Management
Respiratory Services	Rotherham Education Strategic Partnership	Major Incident Plan
Local Authority Declaration – Healthy Weight	Re-referrals and Repeat Child Protection Planning	Implementation of Rotherham's Cultural Strategy 2019 – 2026
Depression and Mental Health	Youth Offending Team	Town Centre Master Plan
Carers Strategy	Education Performance	Review of Re-Deployable CCTV
Learning Disability Transformation	Counter Extremism Work in Schools and Prevent Duty	Housing Strategy and Housing Revenue Account
Transformation of Primary Care	CYPS Performance Monitoring	“Pollinator Friendly” Green Spaces
Joint Health Scrutiny – SYDNo	Children Missing from Education and Missing from Care and Home	Code of Practice for Highway Inspection and Assessment
		

Note – subject to further discussion by the Select Commissions

KEY DECISIONS TO BE TAKEN ON 21 SEPTEMBER 2020 OR LATER			
Annual Housing Development Report	To determine the annual programme of new housing development and acquisitions enabled by the Council	Adult Care, Housing and Public Health	Borough-wide
July 2020/21 Financial Monitoring	To note the current revenue and capital monitoring position and agree any required actions	Finance and Customer Services	Borough-wide
Neighbourhood Road Safety Fund Programme	Approval of the programme following Ward Member engagement.	Regeneration and Environment	Borough-wide
Town Centre Public Spaces Protection Order, and a Dog Control Public Spaces Protection Order	To receive proposals for Town Centre Public Spaces Protection Order, and Dog Control Public Spaces Protection Order following consultation and agree the way forward.	Regeneration and Environment	Boston Castle
Towns Fund – Town Investment Plan	To approve the submission of the Town Investment Plan	Regeneration and Environment	Boston Castle, Rawmarsh, Rotherham East, Rotherham West, Wingfield
Council 12 Month Plan 2020/21**	To approve a twelve month plan for the Council during 2020/21.	Assistant Chief Executive's	Borough-wide
Voluntary Sector Infrastructure	To approve arrangements for co-design and completion of the three-year service level agreement and grant(s) for voluntary sector infrastructure services for the years 2021/22, 2022/23 and 2023/24.	Assistant Chief Executive's	Borough-wide
Advice Review and Advice Services SLA	To approve arrangements for co-design and completion of the three-year service level agreement and grant(s) for voluntary sector advice services for the years 2021/22, 2022/23 and 2023/24 and alignment and referrals to advice services.	Assistant Chief Executive's	Borough-wide
NON-KEY DECISIONS TO BE TAKEN ON 21 SEPTEMBER 2020 OR LATER			
Transport and Highways Capital Programme 2020-21	To approve the Transport and Highways Capital Programme 2020-21.	Regeneration and Environment	Borough-wide
Response to recommendations from CCTOC – Young Carers	To respond formally to the recommendations arising from the 2019 Children's Commissioner Takeover Challenge	Children and Young People's Services	Borough-wide
Response to recommendations from CCTOC – Hate Crime	To respond formally to the recommendations arising from the 2020 Children's Commissioner Takeover Challenge review of Hate Crime	Children and Young People's Services	Borough-wide
Council Plan Q4 2019/20 Performance Report	To report on the Council's performance against the Corporate Plan for quarter 4 in 2019/20.	Assistant Chief Executive's	Borough-wide

** Note this is a plan for the Council not a refreshed Council Plan